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| (51) International Patent Classification ⁷ : C12N 15/90, A61K 48/00, C12N 15/11 // A61P 11/00 | | A2 | (11) International Publication Number: WO 00/09734 |
| | | | (43) International Publication Date: 24 February 2000 (24.02.00) |
| (21) International Application Number: PCT/US99/18371 | | (81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG). | |
| (22) International Filing Date: 12 August 1999 (12.08.99) | | Published Without international search report and to be republished upon receipt of that report. | |
| (30) Priority Data: 09/133,717 13 August 1998 (13.08.98) US 09/158,863 23 September 1998 (23.09.98) US | | | |
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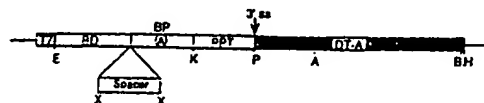
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(54) Title: METHODS AND COMPOSITIONS FOR USE IN SPLICEOSOME MEDIATED RNA TRANS-SPLICING

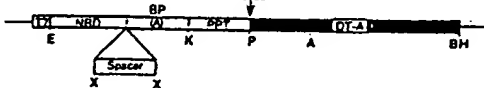
(57) Abstract

The molecules and methods of the present invention provide a means for *in vivo* production of a trans-spliced molecule in a selected subset of cells. The pre-trans-splicing molecules of the invention are substrates for a trans-splicing reaction between the pre-trans-splicing molecules and a pre-mRNA which is uniquely expressed in the specific target cells. The *in vivo* trans-splicing reaction provides a novel mRNA which is functional as mRNA or encodes a protein to be expressed in the target cells. The expression product of the mRNA is a protein of therapeutic value to the cell or host organism a toxin which causes killing of the specific cells or a novel protein not normally present in such cells. The invention further provides PTMs that have been genetically engineered for the identification of exon/intron boundaries of pre-mRNA molecules using an exon tagging method. The PTMs of the invention can also be designed to result in the production of chimeric RNA encoding for peptide affinity purification tags which can be used to purify and identify proteins expressed in a specific cell type.

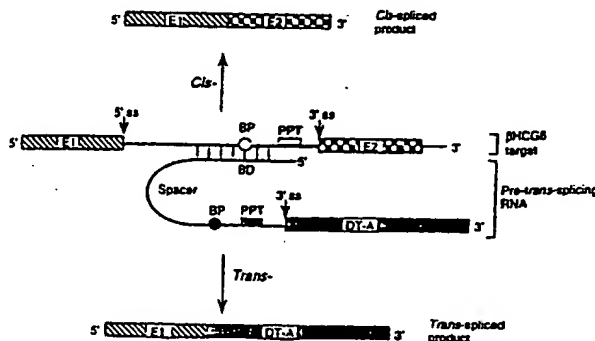
(B) (1) pPTM+Sp



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METHODS AND COMPOSITIONS FOR USE IN SPLICEOSOME MEDIATED RNA TRANS-SPLICING

SPECIFICATION

1. INTRODUCTION

5 The present invention provides methods and compositions for generating novel nucleic acid molecules through targeted spliceosomal *trans*-splicing. The compositions of the invention include pre-*trans*-splicing molecules (PTMs) designed to interact with a natural target precursor messenger RNA molecule (target pre-mRNA) and mediate a *trans*-splicing reaction resulting in the generation of a

10 novel chimeric RNA molecule (chimeric RNA). The PTMs of the invention are genetically engineered so as to result in the production of a novel chimeric RNA which may itself perform a function, such as inhibiting the translation of the RNA, or that encodes a protein that complements a defective or inactive protein in a cell, or encodes a toxin which kills specific cells. Generally, the target pre-mRNA is chosen

15 as a target because it is expressed within a specific cell type thus providing a means for targeting expression of the novel chimeric RNA to a selected cell type. The invention further relates to PTMs that have been genetically engineered for the identification of exon/intron boundaries of pre-mRNA molecules using an exon tagging method. In addition, PTMs can be designed to result in the production of

20 chimeric RNA encoding for peptide affinity purification tags which can be used to purify and identify proteins expressed in a specific cell type. The methods of the invention encompass contacting the PTMs of the invention with a target pre-mRNA under conditions in which a portion of the PTM is *trans*-spliced to a portion of the target pre-mRNA to form a novel chimeric RNA molecule. The methods and

25 compositions of the invention can be used in cellular gene regulation, gene repair and suicide gene therapy for treatment of proliferative disorders such as cancer or treatment of genetic, autoimmune or infectious diseases. The methods and

compositions of the invention can also be used to map intron-exon boundaries and to identify novel proteins expressed in any given cell.

2. BACKGROUND OF THE INVENTION

DNA sequences in the chromosome are transcribed into pre-mRNAs which contain coding regions (exons) and generally also contain intervening non-coding regions (introns). Introns are removed from pre-mRNAs in a precise process called splicing (Chow *et al.*, 1977, Cell 12:1-8; and Berget, S.M. *et al.*, 1977, Proc. Natl. Acad. Sci. USA 74:3171-3175). Splicing takes place as a coordinated interaction of several small nuclear ribonucleoprotein particles (snRNP's) and many protein factors that assemble to form an enzymatic complex known as the spliceosome (Moore *et al.*, 1993, in The RNA World, R.F. Gestland and J.F. Atkins eds. (Cold Spring Harbor Laboratory Press, Cold Spring Harbor, N.Y.); Kramer, 1996, Annu. Rev. Biochem., 65:367-404; Staley and Guthrie, 1998, Cell 92:315-326).

Pre-mRNA splicing proceeds by a two-step mechanism. In the first step, the 5' splice site is cleaved, resulting in a "free" 5' exon and a lariat intermediate (Moore, M.J. and P.A. Sharp, 1993, Nature 365:364-368). In the second step, the 5' exon is ligated to the 3' exon with release of the intron as the lariat product. These steps are catalyzed in a complex of small nuclear ribonucleoproteins and proteins called the spliceosome.

The splicing reaction sites are defined by consensus sequences around the 5' and 3' splice sites. The 5' splice site consensus sequence is AG/GURAGU (where A=adenosine, U = uracil, G = guanine, C = cytosine, R = purine and / = the splice site). The 3' splice region consists of three separate sequence elements: the branch point or branch site, a polypyrimidine tract and the 3' splice consensus sequence (YAG). These elements loosely define a 3' splice region, which may encompass 100 nucleotides of the intron upstream of the 3' splice site. The branch point consensus sequence in mammals is YNYURAC (where N = any nucleotide, Y= pyrimidine). The underlined A is the site of branch formation (the BPA = branch point adenosine). The 3' splice consensus sequence is YAG/G. Between the branch point and the splice site there is usually found a polypyrimidine tract, which is

important in mammalian systems for efficient branch point utilization and 3' splice site recognition (Roscinno, R., F. *et al.*, 1993, J. Biol. Chem. 268:11222-11229). The first YAG trinucleotide downstream from the branch point and polypyrimidine tract is the most commonly used 3' splice site (Smith, C.W. *et al.*, 1989, Nature 342:243-247).

In most cases, the splicing reaction occurs within the same pre-mRNA molecule, which is termed *cis*-splicing. Splicing between two independently transcribed pre-mRNAs is termed *trans*-splicing). *Trans*-splicing was first discovered in trypanosomes (Sutton & Boothroyd, 1986, Cell 47:527; Murphy *et al.*, 1986, Cell 47:517) and subsequently in nematodes (Krause & Hirsh, 1987, Cell 49:753); flatworms (Rajkovic *et al.*, 1990, Proc. Nat'l. Acad. Sci. USA, 87:8879; Davis *et al.*, 1995, J. Biol. Chem. 270:21813) and in plant mitochondria (Malek *et al.*, 1997, Proc. Nat'l. Acad. Sci. USA 94:553). In the parasite *Trypanosoma brucei*, all mRNAs acquire a splice leader (SL) RNA at their 5' termini by *trans*-splicing. A 5' leader sequence is also *trans*-spliced onto some genes in *Caenorhabditis elegans*. This mechanism is appropriate for adding a single common sequence to many different transcripts.

The mechanism of *trans*-splicing, which is nearly identical to that of conventional *cis*-splicing, proceeds via two phosphoryl transfer reactions. The first causes the formation of a 2'-5' phosphodiester bond producing a 'Y' shaped branched intermediate, equivalent to the lariat intermediate in *cis*-splicing. The second reaction, exon ligation, proceeds as in conventional *cis*-splicing. In addition, sequences at the 3' splice site and some of the snRNPs which catalyze the *trans*-splicing reaction, closely resemble their counterparts involved in *cis*-splicing.

Trans-splicing may also refer to a different process, where an intron of one pre-mRNA interacts with an intron of a second pre-mRNA, enhancing the recombination of splice sites between two conventional pre-mRNAs. This type of *trans*-splicing was postulated to account for transcripts encoding a human immunoglobulin variable region sequence linked to the endogenous constant region in a transgenic mouse (Shimizu *et al.*, 1989, Proc. Nat'l. Acad. Sci. USA 86:8020). In addition, *trans*-splicing of c-myc pre-RNA has been demonstrated (Vellard, M. *et al.*

Proc. Nat'l. Acad. Sci. 89:2511-2515) and more recently, RNA transcripts from cloned SV40 *trans*-spliced to each other were detected in cultured cells and nuclear extracts (Eul *et al.*, 1995, EMBO. J. 14:3226). However, naturally occurring *trans*-splicing of mammalian pre-mRNAs is thought to be an exceedingly rare event. The reaction mechanism of *trans*-splicing is believed to be nearly identical to conventional *cis*-splicing. It proceeds via the formation of a 2'-5' phosphodiester bond producing a 'Y' shaped branched intermediate (equivalent to the lariat intermediated in *cis*-splicing).

In vitro trans-splicing has been used as a model system to examine the mechanism of splicing by several groups (Konarska & Sharp, 1985, Cell 46:165-171 Solnick, 1985, Cell 42:157; Chiara & Reed, 1995, Nature 375:510; Pasmán and Garcia-Blanco, 1996, Nucleic Acids Res. 24:1638). Reasonably efficient *trans*-splicing (30% of *cis*-spliced analog) was achieved between RNAs capable of base pairing to each other, splicing of RNAs not tethered by base pairing was further diminished by a factor of 10. Other *in vitro trans*-splicing reactions not requiring obvious RNA-RNA interactions among the substrates were observed by Chiara & Reed (1995, Nature 375:510), Bruzik J.P. & Maniatis, T. (1992, Nature 360:692) and (Bruzik J.P. and Maniatis, T., 1995, Proc. Nat'l. Acad. Sci. USA 92:7056-7059). These reactions occur at relatively low frequencies and require specialized elements, such as a downstream 5' splice site or exonic splicing enhancers.

In addition to splicing mechanisms involving the binding of multiple proteins to the precursor mRNA which then act to correctly cut and join RNA, a third mechanism involves cutting and joining of the RNA by the intron itself, by what are termed catalytic RNA molecules or ribozymes. The cleavage activity of ribozymes has been targeted to specific RNAs by engineering a discrete "hybridization" region into the ribozyme. Upon hybridization to the target RNA, the catalytic region of the ribozyme cleaves the target. It has been suggested that such ribozyme activity would be useful for the inactivation or cleavage of target RNA *in vivo*, such as for the treatment of human diseases characterized by production of foreign or aberrant RNA. The use of antisense RNA has also been proposed as an alternative mechanism for targeting and destruction of specific RNAs. In such instances small RNA molecules are designed to hybridize to the target RNA and by binding to the target RNA prevent

translation of the target RNA or cause destruction of the RNA through activation of nucleases.

Until recently, the practical application of targeted *trans*-splicing to modify specific target genes has been limited to group I ribozyme-based mechanisms. Using the *Tetrahymena* group I ribozyme, targeted *trans*-splicing was demonstrated in *E. coli* (Sullengen B.A. and Cech. T.R., 1994, Nature 341:619-622), in mouse fibroblasts (Jones, J.T. et al., 1996, Nature Medicine 2:643-648), human fibroblasts (Phylacton, L.A. et al. Nature Genetics 18:378-381) and human erythroid precursors (Lan et al., 1998, Science 280:1593-1596). While many applications of targeted RNA *trans*-splicing driven by modified group I ribozymes have been explored, targeted *trans*-splicing mediated by native mammalian splicing machinery, *i.e.*, spliceosomes, has not been previously reported.

3. SUMMARY OF THE INVENTION

The present invention relates to compositions and methods for generating novel nucleic acid molecules through spliceosome-mediated targeted *trans*-splicing. The compositions of the invention include pre-*trans*-splicing molecules (hereinafter referred to as "PTMs") designed to interact with a natural target pre-mRNA molecule (hereinafter referred to as "pre-mRNA") and mediate a spliceosomal *trans*-splicing reaction resulting in the generation of a novel chimeric RNA molecule (hereinafter referred to as "chimeric RNA"). The methods of the invention encompass contacting the PTMs of the invention with a natural target pre-mRNA under conditions in which a portion of the PTM is spliced to the natural pre-mRNA to form a novel chimeric RNA. The PTMs of the invention are genetically engineered so that the novel chimeric RNA resulting from the *trans*-splicing reaction may itself perform a function such as inhibiting the translation of RNA, or alternatively, the chimeric RNA may encode a protein that complements a defective or inactive protein in the cell, or encodes a toxin which kills the specific cells. Generally, the target pre-mRNA is chosen because it is expressed within a specific cell type thereby providing a means for targeting expression of the novel chimeric RNA to a selected cell type. The target cells may include, but are not limited to those infected with viral or other infectious

agents, benign or malignant neoplasms, or components of the immune system which are involved in autoimmune disease or tissue rejection. The PTMs of the invention can also be genetically engineered to tag exon sequences in a mRNA molecule as a method for identifying intron/exon boundaries in target pre-mRNA. The invention
5 further relates to the use of PTM molecules that are genetically engineered to encode a peptide affinity purification tag for use in the purification and identification of proteins expressed in a specific cell type. The methods and compositions of the invention can be used in gene regulation, gene repair and targeted cell death. Such methods and compositions can be used for the treatment of various diseases including,
10 but not limited to, genetic, infectious or autoimmune diseases and proliferative disorders such as cancer.

4. BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1A. Model of Pre-*Trans*-splicing RNA.

Figure 1B. Model PTM constructs and targeted *trans*-splicing strategy.

15 Schematic representation of the first generation PTMs (PTM+Sp and PTM-Sp). BD, binding domain; NBD, non-binding domain; BP, branch point; PPT, pyrimidine tract; ss, splice site and DT-A, diphtheria toxin subunit A. Unique restriction sites within the PTMS are indicated by single letters: E, *E. coli*, EcoRI; X, *Xho*I; K, *Kpn*I; P, *Pst*I; A, *Acc*I; B, *Bam*HI and Heterologous, *Hind*III.

20 Figure 1C. Schematic drawing showing the binding of PTM+Sp via conventional Watson Crick base pairing to the β HCG6 target pre-mRNA and the proposed *cis*- and *trans*-splicing mechanism.

Figure 2A. *In vitro trans*-splicing efficiency of various PTM constructs into β HCG6 target. A targeted binding domain and active splice sites
25 correlate with PTM *trans*-splicing activity. Full length targeted (pcPTM+Sp), non-targeted (PTM-Sp) and the splice mutants [Py(-)AG(-) and BP(-)Py(-)AG(-)] PTM RNAs were added to splicing reactions containing β HCG6 target pre-mRNA. The products were RT-PCR amplified using primers β HCG-F (specific for target β HCG6 exon 1) and DT-5R (complementary to DT-A) and analyzed by electrophoresis in a
30 1.5% agarose gel.

Figure 2B. *In vitro trans*-splicing efficiency of various PTM constructs. Full length PTM with a spacer between the binding domain and splice site (PTM+Sp), PTM without the spacer region (PTM+) and short PTMs that contain a target binding domain (short PTM+) or a non-target binding region (PTM-) were added to splicing reactions containing β HCG target pre-mRNA. The products were RT-PCR amplified using primers β HCG-F and DT-3. For reactions containing the short PTMs, the reverse PCR primer was DT-4, since the binding site for DT-3 was removed from the PTM.

Figure 3. Nucleotide sequence demonstrating the *in vitro trans*-spliced product between a PTM and target pre-mRNA. The 466 bp *trans*-spliced RT-PCR product from Figure 2 (lane 2) was re-amplified using a 5' biotin labeled forward primer (β HCG-F) and a nested unlabeled reverse primer (DT-3R). Single stranded DNA was purified and sequenced directly using toxin specific DT-3R primer. The arrow indicates the splice junction between the last nucleotide of target β HCG6 exon I and the first nucleotide encoding DT-A.

Figure 4A. Schematic diagram of the "safety" PTM and variations, demonstrating the PTM intramolecular base-paired stem, intended to mask the BP and PPT from splicing factors. Underlined sequences represent the β HCG6 intron 1 complementary target-binding domain, sequence in italics indicate target mismatches that are homologous to the BP.

Figure 4B. Schematic of a safety PTM in open configuration upon binding to the target.

Figure 4C. *In vitro trans*-splicing reactions were carried out by incubating either safety PTM or safety PTM variants with the β HCG6 target. Splicing reactions were amplified by RT-PCR using β HCG-F and DT-3R primers; products were analyzed in a 2.0% agarose gel.

Figure 5. Specificity of targeted *trans*-splicing is enhanced by the inclusion of a safety into the PTM. β HCG6 pre-mRNA (250 ng) and β -globin pre-mRNA (250 ng) were annealed together with either PTM+SF (safety) or pcPTM+Sp (linear) RNA (500 ng). *In vitro trans*-splicing reactions and RT-PCR analysis were

performed as described under experimental procedures and the products were separated on a 2.0% agarose gel. Primers used for RT-PCR are as indicated.

Figure 6. In the presence of increasing PTM concentration, *cis*-splicing is inhibited and replaced by *trans*-splicing. *In vitro* splicing reactions were performed in the presence of a constant amount of β HCG6 target pre-mRNA (100 ng) with increasing concentrations of PTM (pcPTM+Sp) RNA (52-300 ng). RT-PCR for *cis*-spliced and un-spliced products utilized primers β HCG-F (exon 1 specific) and β HCG-R2 (exon 2 specific - Panel A); primers β HCG-F and DT-3R were used to RT-PCR *trans*-spliced products (Panel B). Reaction products were analyzed on 1.5% and 2.0% agarose gels, respectively. In panel A, lane 9 represents the 60 min time point in the presence of 300 ng of PTM, which is equivalent to lane 10 in panel B.

Figure 7A. PTMs are capable of *trans*-splicing in cultured human cancer cells. Total RNA was isolated from each of 4 expanded neomycin resistant H1299 lung carcinoma colonies transfected with pcSp+CRM (expressing non-toxic mutant DT-A) RT-PCR was performed using 1 μ g of total RNA and 5' biotinylated β HCG-F and non-biotinylated DT-3R primers. Single stranded DNA was purified and sequenced.

Figure 7B. Nucleotide sequence (sense strand) of the *trans*-spliced product between endogenous β HCG6 target and CRM197 mutant toxin is shown. Two arrows indicate the position of the splice junction.

Figure 8A. Schematic diagram of a double splicing pre-therapeutic mRNA.

Figure 8B. Selective *trans*-splicing of a double splicing PTM. By varying the PTM concentration the PTM can be *trans*-spliced into either the 5' or the 3' splice site of the target.

Figure 9. Schematic diagram of the use of PTM molecules for exon tagging. Two examples of PTMs are shown. The PTM on the left is capable of non-specifically *trans*-splicing into a target pre-mRNA 3' splice site. The other PTM on the right is designed to non-specifically *trans*-splice into a target pre-mRNA 5' splice site. A PTM mediated *trans*-splicing reaction will result in the production of a chimeric RNA comprising a specific tag to either the 5' or 3' side of an authentic exon.

Figure 10A. Schematic diagram of constructs for use in the lacZ knock-out model. The target lacZ pre-mRNA contains the 5' fragment of lacZ followed by β HCG6 intron 1 and the 3' fragment of lacZ (target 1). The PTM molecule for use in the model system was created by digesting pPTM +SP with PstI and HindIII and replacing the DT-A toxin with β HCG6 exon 2 (pc3.1PTM2).

Figure 10B. Schematic diagram of restoration of β -Gal activity by Spliceosome Mediated RNA Trans-splicing (SMaRT).

Figure 10C. Schematic diagram of constructs for use in the lacZ knock-in model (pc3.1 lacZ T2). The lacZ target pre-mRNA is identical to that target pre-mRNA used for the knock-out experiments except that it contains two stop codons (TAA TAA) in frame four codons after the 3' splice site. The PTM molecule for use in the model system was created by digesting pPTM +SP with PstI and HindIII and replacing the DT-A toxin with functional 3' fragment of lacZ.

Figure 11A. Demonstration of *cis*- and *trans*-splicing when utilizing the lacZ knock-out model. The lacZ splice target 1 pre-mRNA and PTM2 were co-transfected into 293T cells. Total RNA was then isolated and analyzed by PCR for *cis*-spliced and *trans*-spliced products using the appropriate specific primers. The amplified PCR products were separated on a 2% agarose gel.

Figure 11B-C. Assays for β -galactosidase activity. 293 cells were transfected with lacZ target 2 DNA alone (panel B) or lacZ target 2 DNA and PTM1 (panel C).

Figure 12A. Nucleotide sequence of *trans*-spliced molecule demonstrating accurate *trans*-splicing.

Figure 12B. Nucleotide sequences of the *cis*-spliced product and the *trans*-spliced product. The nucleotide sequences were those sequences expected for each of the different splicing reactions.

Figure 13. Gene repair model for repair of the cystic fibrosis transmembrane regulator (CFTR) gene.

Figure 14. RT-PCR demonstration of *trans*-splicing between an exogenously supplied CFTR mini-gene target and PTM. Plasmids were co-transfected into 293 embryonic kidney cells. The primers pairs used for RT-PCR

reactions are listed above each lane. The lower band (471 bp) in each lane represents a *trans*-spliced product. The lower band in lane 1 (471bp) was purified from a 2% Seakem agarose gel and the DNA sequence of the band was determined.

Figure 15. DNA sequence of the *trans*-spliced product (lane 1, lower band shown in Figure 14). The DNA sequence indicates the presence of the F508 codon (TTT), exon 9 sequence is contiguous with exon 10 sequence, and the His tag sequence.

Figure 16. Schematic representation of repair of an exogenously supplied CFTR target molecule carrying an F508 deletion in exon 10.

Figure 17. Repair of endogenous CFTR transcripts by exon 10 invasion using a double splicing PTM. The use of a double splicing PTM permits repair of the Δ 508 mutation with a very short PTM molecule.

5. DETAILED DESCRIPTION OF THE INVENTION

The present invention relates to compositions comprising pre-*trans*-splicing molecules (PTMs) and the use of such molecules for generating novel nucleic acid molecules. The PTMs of the invention comprise a target binding domain that is designed to specifically bind to pre-mRNA, a 3' splice region that includes a branch point, pyrimidine tract and a 3' splice acceptor site and/or a 5' splice donor site; and a spacer region that separates the RNA splice site from the target binding domain. In addition, the PTMs of the invention can be engineered to contain any nucleotide sequences encoding a translatable protein product.

The methods of the invention encompass contacting the PTMs of the invention with a natural pre-mRNA under conditions in which a portion of the PTM is *trans*-spliced to a portion of the natural pre-mRNA to form a novel chimeric RNA. The target pre-mRNA is chosen as a target due to its expression within a specific cell type thus providing a mechanism for targeting expression of a novel RNA to a selected cell type. The resulting chimeric RNA may provide a desired function, or may produce a gene product in the specific cell type. The specific cells may include, but are not limited to those infected with viral or other infectious agents, benign or malignant neoplasms, or components of the immune system which are involved in

autoimmune disease or tissue rejection. Specificity is achieved by modification of the binding domain of the PTM to bind to the target endogenous pre-mRNA. The gene products encoded by the chimeric RNA can be any gene, including genes having clinical usefulness, for example, therapeutic or marker genes, and genes encoding toxins.

5.1. STRUCTURE OF THE PRE-TRANS-SPLICING MOLECULES

The present invention provides compositions for use in generating novel chimeric nucleic acid molecules through targeted *trans*-splicing. The PTMs of the invention comprise (i) one or more target binding domains that targets binding of the PTM to a pre-mRNA (ii) a 3' splice region that includes a branch point, pyrimidine tract and a 3' splice acceptor site and/or 5' splice donor site; and (iii) a spacer region to separate the RNA splice site from the target binding domain. Additionally, the PTMs can be engineered to contain any nucleotide sequence encoding a translatable protein product. In yet another embodiment of the invention, the PTMs can be engineered to contain nucleotide sequences that inhibit the translation of the chimeric RNA molecule. For example, the nucleotide sequences may contain translational stop codons or nucleotide sequences that form secondary structures and thereby inhibit translation. Alternatively, the chimeric RNA may function as an antisense molecule thereby inhibiting translation of the RNA to which it binds.

The target binding domain of the PTM may contain one or two binding domains of at least 15 to 30 (up to several hundred) nucleotides which are complementary to and in anti-sense orientation to the targeted region of the selected pre-mRNA. This confers specificity of binding and anchors the pre-mRNA closely in space so that the spliceosome processing machinery of the nucleus can *trans*-splice a portion of the PTM to a portion of the pre-mRNA. A second target binding region may be placed at the 3' end of the molecule and can be incorporated into the PTM of the invention. Absolute complementarity, although preferred, is not required. A sequence "complementary" to a portion of an RNA, as referred to herein, means a sequence having sufficient complementarity to be able to hybridize with the RNA, forming a stable duplex. The ability to hybridize will depend on both the degree of

complementarity and the length of the nucleic acid (See, for example, Sambrook et al., 1989, Molecular Cloning, A Laboratory Manual, 2d Ed., Cold Spring Harbor Laboratory Press, Cold Spring Harbor, New York). Generally, the longer the hybridizing nucleic acid, the more base mismatches with an RNA it may contain and
5 still form a stable duplex. One skilled in the art can ascertain a tolerable degree of mismatch by use of standard procedures to determine the melting point of the hybridized complex.

Where the PTMs are designed for use in intron-exon tagging or for peptide affinity tagging, a library of PTMs is genetically engineered to contain random
10 nucleotide sequences in the target binding domain. The goal of generating such a library of PTM molecules is that the library will contain a population of PTM molecules capable of binding to each RNA molecule expressed in the cell. A recombinant expression vector can be genetically engineered to contain a coding region for a PTM including a restriction endonuclease site that can be used for
15 insertion of random DNA fragments into the PTM to form random target binding domains. The random nucleotide sequences to be included in the PTM as target binding domains can be generated using a variety of different methods well known to those of skill in the art, including but not limited to, partial digestion of DNA with restriction enzymes or mechanical shearing of DNA to generate random fragments of
20 DNA. Random binding domain regions may also be generated by degenerate oligonucleotide synthesis. The degenerate oligonucleotides can be engineered to have restriction endonuclease recognition sites on each end to facilitate cloning into a PTM molecule for production of a library of PTM molecules having degenerate binding domains.

25 Binding may also be achieved through other mechanisms, for example, through triple helix formation or protein/nucleic acid interactions such as those in which the PTM is engineered to recognize a specific RNA binding protein, *i.e.*, a protein bound to a specific target pre-mRNA. Alternatively, the PTMs of the invention may be designed to recognize secondary structures, such as for example,
30 hairpin structures resulting from intramolecular base pairing between nucleotides within an RNA molecule.

The PTM molecule also contains a 3' splice region that includes a branch point, pyrimidine tract and a 3' splice acceptor AG site and/or a 5' splice donor site. Consensus sequences for the 5' splice donor site and the 3' splice region used in RNA splicing are well known in the art (See, Moore, *et al.*, 1993, The RNA World, Cold Spring Harbor Laboratory Press, p. 303-358). In addition, modified consensus sequences that maintain the ability to function as 5' donor splice sites and 3' splice regions may be used in the practice of the invention. Briefly, the 5' splice site consensus sequence is AG/GURAGU (where A=adenosine, U=uracil, G=guanine, C=cytosine, R=purine and /=the splice site). The 3' splice site consists of three separate sequence elements: the branch point or branch site, a polypyrimidine tract and the 3' consensus sequence (YAG). The branch point consensus sequence in mammals is YNYURAC (Y=pyrimidine). The underlined A is the site of branch formation. A polypyrimidine tract is located between the branch point and the splice site acceptor and is important for different branch point utilization and 3' splice site recognition.

A spacer region to separate the RNA splice site from the target binding domain is also included in the PTM. The spacer region can have features such as stop codons which would block any translation of an unspliced PTM and/or sequences that enhance *trans*-splicing to the target pre-mRNA.

In a preferred embodiment of the invention, a "safety" is also incorporated into the spacer, binding domain, or elsewhere in the PTM to prevent non-specific *trans*-splicing. This is a region of the PTM that covers elements of the 3' and/or 5' splice site of the PTM by relatively weak complementarity, preventing non-specific *trans*-splicing. The PTM is designed in such a way that upon hybridization of the binding /targeting portion(s) of the PTM, the 3' and/or 5' splice site is uncovered and becomes fully active.

The "safety" consists of one or more complementary stretches of *cis*-sequence (or could be a second, separate, strand of nucleic acid) which weakly binds to one or both sides of the PTM branch point, pyrimidine tract, and/or 3' splice site (splicing elements), or could bind to parts of the splicing elements themselves. This "safety" binding prevents the splicing elements from being active (i.e. block U2

snRNP or other splicing factors from attaching to the PTM splice site recognition elements). The binding of the "safety" may be disrupted by the binding of the target binding region of the PTM to the target pre-mRNA, thus exposing and activating the PTM splicing elements (making them available to *trans*-splice into the target pre-mRNA).

A nucleotide sequence encoding a translatable protein capable of producing an effect, such as cell death, or alternatively, one that restores a missing function or acts as a marker, is included in the PTM of the invention. For example, the nucleotide sequence can include those sequences encoding gene products missing or altered in known genetic diseases. Alternatively, the nucleotide sequences can encode marker proteins or peptides which may be used to identify or image cells. In yet another embodiment of the invention nucleotide sequences encoding affinity tags such as, HIS tags (6 consecutive histidine residues) (Janknecht, et al., 1991, Proc. Natl. Acad. Sci. USA 88:8972-8976), the C-terminus of glutathione-S-transferase (GST) (Smith and Johnson, 1986, Proc. Natl. Acad. Sci. USA 83:8703--8707) (Pharmacia) or FLAG (Asp-Tyr-Lys-Asp-Asp-Asp-Lys) (Eastman Kodak/IBI, Rochester, NY) can be included in PTM molecules for use in affinity purification. The use of PTMs containing such nucleotide sequences results in the production of a chimeric RNA encoding a fusion protein containing peptide sequences normally expressed in a cell linked to the peptide affinity tag. The affinity tag provides a method for the rapid purification and identification of peptide sequences expressed in the cell. In a preferred embodiment the nucleotide sequences may encode toxins or other proteins which provide some function which enhances the susceptibility of the cells to subsequent treatments, such as radiation or chemotherapy.

In a highly preferred embodiment of the invention a PTM molecule is designed to contain nucleotide sequences encoding the Diphtheria toxin subunit A (Greenfield, L., et al., 1983, Proc. Nat'l. Acad. Sci. USA 80: 6853-6857). Diphtheria toxin subunit A contains enzymatic toxin activity and will function if expressed or delivered into human cells resulting in cell death. Furthermore, various other known peptide toxins may be used in the present invention, including but not limited to, ricin, *Pseudomonas* toxin, *Shiga* toxin and exotoxin A.

Additional features can be added to the PTM molecule either after, or before, the nucleotide sequence encoding a translatable protein, such as polyadenylation signals or 5' splice sequences to enhance splicing, additional binding regions, "safety"-self complementary regions, additional splice sites, or protective
5 groups to modulate the stability of the molecule and prevent degradation.

Additional features that may be incorporated into the PTMs of the invention include stop codons or other elements in the region between the binding domain and the splice site to prevent unspliced pre-mRNA expression. In another embodiment of the invention, PTMs can be generated with a second anti-sense
10 binding domain downstream from the nucleotide sequences encoding a translatable protein to promote binding to the 3' target intron or exon and to block the fixed authentic *cis*-5' splice site (U5 and/or U1 binding sites). PTMs may also be made that require a double *trans*-splicing reaction for expression of the *trans*-spliced product. Such PTMs could be used to replace an internal exon which could be useful for RNA
15 repair. Further elements such as a 3' hairpin structure, circularized RNA, nucleotide base modification, or a synthetic analog can be incorporated into PTMs to promote or facilitate nuclear localization and spliceosomal incorporation, and intra-cellular stability.

The PTMs of the invention can be used in methods designed to
20 produce a novel chimeric RNA in a target cell. The methods of the present invention comprise delivering to the target cell a PTM which may be in any form used by one skilled in the art, for example, an RNA molecule, or a DNA vector which is transcribed into a RNA molecule, wherein said PTM binds to a pre-mRNA and mediates a *trans*-splicing reaction resulting in formation of a chimeric RNA
25 comprising a portion of the PTM molecule spliced to a portion of the pre-mRNA.

5.2. SYNTHESIS OF THE *TRANS*-SPLICING MOLECULES

The nucleic acid molecules of the invention can be RNA or DNA or derivatives or modified versions thereof, single-stranded or double-stranded. By nucleic acid is meant a PTM molecule or a nucleic acid molecule encoding a PTM
30 molecule, whether composed of deoxyribonucleotides or ribonucleosides, and whether

composed of phosphodiester linkages or modified linkages. The term nucleic acid also specifically includes nucleic acids composed of bases other than the five biologically occurring bases (adenine, guanine, thymine, cytosine and uracil).

5 The RNA and DNA molecules of the invention can be prepared by any method known in the art for the synthesis of DNA and RNA molecules. For example, the nucleic acids may be chemically synthesized using commercially available reagents and synthesizers by methods that are well known in the art (see, e.e., Gait, 1985, Oligonucleotide Synthesis: A Practical Approach, IRL Press, Oxford, England). Alternatively, RNA molecules can be generated by *in vitro* and *in vivo* transcription of
10 DNA sequences encoding the RNA molecule. Such DNA sequences can be incorporated into a wide variety of vectors which incorporate suitable RNA polymerase promoters such as the T7 or SP6 polymerase promoters. RNAs may be produced in high yield via *in vitro* transcription using plasmids such as SPS65 (Promega Corporation, Madison, WI). In addition, RNA amplification methods such
15 as Q- β amplification can be utilized to produce RNAs.

The nucleic acid molecules can be modified at the base moiety, sugar moiety, or phosphate backbone, for example, to improve stability of the molecule, hybridization, transport into the cell, etc. For example, modification of a PTM to reduce the overall charge can enhance the cellular uptake of the molecule. In addition
20 modifications can be made to reduce susceptibility to nuclease degradation. The nucleic acid molecules may include other appended groups such as peptides (e.g., for targeting host cell receptors *in vivo*), or agents facilitating transport across the cell membrane (see, e.g., Letsinger *et al.*, 1989, Proc. Natl. Acad. Sci. U.S.A. 86:6553-6556; Lemaitre *et al.*, 1987, Proc. Natl. Acad. Sci. 84:648-652; PCT Publication No.
25 W088/09810, published December 15, 1988) or the blood-brain barrier (see, e.g., PCT Publication No. W089/10134, published April 25, 1988), hybridization-triggered cleavage agents. (See, e.g., Krol *et al.*, 1988, BioTechniques 6:958-976) or intercalating agents. (See, e.g., Zon, 1988, Pharm. Res. 5:539-549). To this end, the nucleic acid molecules may be conjugated to another molecule, e.g., a peptide,
30 hybridization triggered cross-linking agent, transport agent, hybridization-triggered cleavage agent, etc. Various other well-known modifications to the DNA molecules

can be introduced as a means of increasing intracellular stability and half-life.

Possible modifications include, but are not limited to, the addition of flanking sequences of ribo- or deoxy- nucleotides to the 5' and/or 3' ends of the molecule. In some circumstances where increased stability is desired, nucleic acids having

5 modified internucleoside linkages such as 2'-O-methylation may be preferred. Nucleic acids containing modified internucleoside linkages may be synthesized using reagents and methods that are well known in the art (see, Uhlmann *et al.*, 1990, Chem. Rev. 90:543-584; Schneider *et al.*, 1990, Tetrahedron Lett. 31:335 and references cited therein).

10 The nucleic acids may be purified by any suitable means, as are well known in the art. For example, the nucleic acids can be purified by reverse phase chromatography or gel electrophoresis. Of course, the skilled artisan will recognize that the method of purification will depend in part on the size of the nucleic acid to be purified.

15 In instances where a nucleic acid molecule encoding a PTM is utilized, cloning techniques known in the art may be used for cloning of the nucleic acid molecule into an expression vector. Methods commonly known in the art of recombinant DNA technology which can be used are described in Ausubel *et al.* (eds.), 1993, Current Protocols in Molecular Biology, John Wiley & Sons, NY; and
20 Kriegler, 1990, Gene Transfer and Expression, A Laboratory Manual, Stockton Press, NY.

The DNA encoding the PTM of interest may be recombinantly engineered into a variety of host vector systems that also provide for replication of the DNA in large scale and contain the necessary elements for directing the transcription
25 of the PTM. The use of such a construct to transfect target cells in the patient will result in the transcription of sufficient amounts of PTMs that will form complementary base pairs with the endogenously expressed pre-mRNA targets and thereby facilitate a *trans*-splicing reaction between the complexed nucleic acid molecules. For example, a vector can be introduced *in vivo* such that it is taken up by
30 a cell and directs the transcription of the PTM molecule. Such a vector can remain episomal or become chromosomally integrated, as long as it can be transcribed to

produce the desired RNA. Such vectors can be constructed by recombinant DNA technology methods standard in the art.

Vectors encoding the PTM of interest can be plasmid, viral, or others known in the art, used for replication and expression in mammalian cells. Expression of the sequence encoding the PTM can be regulated by any promoter known in the art to act in mammalian, preferably human cells. Such promoters can be inducible or constitutive. Such promoters include but are not limited to: the SV40 early promoter region (Benoist, C. and Chambon, P., 1981, *Nature* 290:304-310), the promoter contained in the 3' long terminal repeat of Rous sarcoma virus (Yamamoto *et al.*, 1980, *Cell* 22:787-797), the herpes thymidine kinase promoter (Wagner *et al.*, 1981, *Proc. Natl. Acad. Sci. U.S.A.* 78:1441-1445), the regulatory sequences of the metallothionein gene (Brinster *et al.*, 1982, *Nature* 296:39-42), the viral CMV promoter, the human chorionic gonadotropin- β promoter (Hollenberg *et al.*, 1994, *Mol. Cell. Endocrinology* 106:111-119), etc. Any type of plasmid, cosmid, YAC or viral vector can be used to prepare the recombinant DNA construct which can be introduced directly into the tissue site. Alternatively, viral vectors can be used which selectively infect the desired target cell.

For use of PTMs encoding peptide affinity purification tags, it is desirable to insert nucleotide sequences containing random target binding sites into the PTMs and clone them into a selectable mammalian expression vector system. A number of selection systems can be used, including but not limited to selection for expression of the herpes simplex virus thymidine kinase, hypoxanthine-guanine phosphoribosyltransferase and adenine phosphoribosyl transferase protein in tk-, hgp^rt- or ap^rt- deficient cells, respectively. Also, anti-metabolic resistance can be used as the basis of selection for dihydrofolate transferase (*dhfr*), which confers resistance to methotrexate; xanthine-guanine phosphoribosyl transferase (*gpt*), which confers resistance to mycophenolic acid; neomycin (*neo*), which confers resistance to aminoglycoside G-418; and hygromycin B phosphotransferase (*hygro*) which confers resistance to hygromycin. In a preferred embodiment of the invention, the cell culture is transformed at a low ratio of vector to cell such that there will be only a single vector, or a limited number of vectors, present in any one cell. Vectors for use in the

practice of the invention include any eukaryotic expression vectors, including but not limited to viral expression vectors such as those derived from the class of retroviruses or adeno-associated viruses.

5.3. USES AND ADMINISTRATION OF TRANS-SPLICING MOLECULES

5 5.3.1 USE OF PTM MOLECULES FOR GENE REGULATION, GENE REPAIR AND TARGETED CELL DEATH

The compositions and methods of the present invention will have a variety of different applications including gene regulation, gene repair and targeted cell death. For example, *trans*-splicing can be used to introduce a protein with toxic properties into a cell. In addition, PTMs can be engineered to bind to viral mRNA and destroy the function of the viral mRNA, or alternatively, to destroy any cell expressing the viral mRNA. In yet another embodiment of the invention, PTMs can be engineered to place a stop codon in a deleterious mRNA transcript thereby decreasing the expression of that transcript.

15 Targeted *trans*-splicing can be used to repair or correct transcripts that are either truncated or contain point mutations. The PTMs of the invention are designed to cleave a targeted transcript upstream or downstream of a specific mutation or upstream of a premature 3' and correct the mutant transcript via a *trans*-splicing reaction which replaces the portion of the transcript containing the mutation with a functional sequence.

20 Cystic fibrosis (CF) is the most common fatal genetic disease in humans. Based on both genetic and molecular analyses, the gene associated with cystic fibrosis has been isolated and its protein product deduced (Kerem, B.S. et al., 1989, Science 245:1073-1080; Riordan et al., 1989, Science 245:1066-1073; Rommans, et al., 1989, Science 245:1059-1065). The protein product of the CF associated gene is called the cystic fibrosis transmembrane conductance regulator (CFTR). In a specific embodiment of the invention, a *trans*-splicing reaction will be used to correct a genetic defect in the DNA sequence encoding the cystic fibrosis transmembrane regulator (CFTR) whereby the DNA sequence encoding the cystic

fibrosis trans-membrane regulator protein is expressed and a functional chloride ion channel is produced in the airway epithelial cells of a patient.

Population studies have indicated that the most common cystic fibrosis mutation is a deletion of the three nucleotides in exon 10 that encode phenylalanine at position 508 of the CFTR amino acid sequence. As indicated in Figure 15, a *trans*-splicing reaction was capable of correcting the deletion at position 508 in the CFTR amino acid sequence. The PTM used for correction of the genetic defect contained a CFTR BD intron 9 sequence, a spacer sequence, a branch point, a polypyrimidine tract, a 3' splice site and a wild type CFTR BD exon 10 sequence (Figure 13). The successful correction of the mutated DNA encoding CFTR utilizing a *trans*-splicing reaction supports the general application of PTMs for correction of genetic defects.

Various delivery systems are known and can be used to transfer the compositions of the invention into cells, *e.g.* encapsulation in liposomes, microparticles, microcapsules, recombinant cells capable of expressing the composition, receptor-mediated endocytosis (see, *e.g.*, Wu and Wu, 1987, J. Biol. Chem. 262:4429-4432), construction of a nucleic acid as part of a retroviral or other vector, injection of DNA, electroporation, calcium phosphate mediated transfection, etc.

The compositions and methods can be used to treat cancer and other serious viral infections, autoimmune disorders, and other pathological conditions in which the alteration or elimination of a specific cell type would be beneficial. Additionally, the compositions and methods may also be used to provide a gene encoding a functional biologically active molecule to cells of an individual with an inherited genetic disorder where expression of the missing or mutant gene product produces a normal phenotype.

In a preferred embodiment, nucleic acids comprising a sequence encoding a PTM are administered to promote PTM function, by way of gene delivery and expression into a host cell. In this embodiment of the invention, the nucleic acid mediates an effect by promoting PTM production. Any of the methods for gene delivery into a host cell available in the art can be used according to the present invention. For general reviews of the methods of gene delivery see Strauss, M. and

Barranger, J.A., 1997, Concepts in Gene Therapy, by Walter de Gruyter & Co., Berlin; Goldspiel *et al.*, 1993, Clinical Pharmacy 12:488-505; Wu and Wu, 1991, Biotherapy 3:87-95; Tolstoshev, 1993, Ann. Rev. Pharmacol. Toxicol. 33:573-596; Mulligan, 1993, Science 260:926-932; and Morgan and Anderson, 1993, Ann. Rev. Biochem. 62:191-217; 1993, TIBTECH 11(5):155-215. Exemplary methods are described below.

Delivery of the nucleic acid into a host cell may be either direct, in which case the host is directly exposed to the nucleic acid or nucleic acid-carrying vector, or indirect, in which case, host cells are first transformed with the nucleic acid *in vitro*, then transplanted into the host. These two approaches are known, respectively, as *in vivo* or *ex vivo* gene delivery.

In a specific embodiment, the nucleic acid is directly administered *in vivo*, where it is expressed to produce the PTM. This can be accomplished by any of numerous methods known in the art, *e.g.*, by constructing it as part of an appropriate nucleic acid expression vector and administering it so that it becomes intracellular, *e.g.* by infection using a defective or attenuated retroviral or other viral vector (see U.S. Patent No. 4,980,286), or by direct injection of naked DNA, or by use of microparticle bombardment (*e.g.*, a gene gun; Biolistic, Dupont), or coating with lipids or cell-surface receptors or transfecting agents, encapsulation in liposomes, microparticles, or microcapsules, or by administering it in linkage to a peptide which is known to enter the nucleus, by administering it in linkage to a ligand subject to receptor-mediated endocytosis (see *e.g.*, Wu and Wu, 1987, J. Biol. Chem. 262:4429-4432).

In a specific embodiment, a viral vector that contains the PTM can be used. For example, a retroviral vector can be utilized that has been modified to delete retroviral sequences that are not necessary for packaging of the viral genome and integration into host cell DNA (see Miller *et al.*, 1993, Meth. Enzymol. 217:581-599). Alternatively, adenoviral or adeno-associated viral vectors can be used for gene delivery to cells or tissues. (See, Kozarsky and Wilson, 1993, Current Opinion in Genetics and Development 3:499-503 for a review of adenovirus-based gene delivery).

Another approach to gene delivery into a cell involves transferring a gene to cells in tissue culture by such methods as electroporation, lipofection, calcium phosphate mediated transfection, or viral infection. Usually, the method of transfer includes the transfer of a selectable marker to the cells. The cells are then placed
5 under selection to isolate those cells that have taken up and are expressing the transferred gene. The resulting recombinant cells can be delivered to a host by various methods known in the art. In a preferred embodiment, the cell used for gene delivery is autologous to the host cell.

The present invention also provides for pharmaceutical compositions
10 comprising an effective amount of a PTM or a nucleic acid encoding a PTM, and a pharmaceutically acceptable carrier. In a specific embodiment, the term "pharmaceutically acceptable" means approved by a regulatory agency of the Federal or a state government or listed in the U.S. Pharmacopeia or other generally recognized pharmacopeia for use in animals, and more particularly in humans. The term "carrier"
15 refers to a diluent, adjuvant, excipient, or vehicle with which the therapeutic is administered. Examples of suitable pharmaceutical carriers are described in "Remington's Pharmaceutical sciences" by E.W. Martin.

In specific embodiments, pharmaceutical compositions are administered: (1) in diseases or disorders involving an absence or decreased (relative
20 to normal or desired) level of an endogenous protein or function, for example, in hosts where the protein is lacking, genetically defective, biologically inactive or underactive, or under expressed; or (2) in diseases or disorders wherein, *in vitro* or *in vivo*, assays indicate the utility of PTMs that inhibit the function of a particular protein. The activity of the protein encoded for by the chimeric mRNA resulting from the
25 PTM mediated *trans*-splicing reaction can be readily detected, *e.g.*, by obtaining a host tissue sample (*e.g.*, from biopsy tissue) and assaying it *in vitro* for mRNA or protein levels, structure and/or activity of the expressed chimeric mRNA. Many methods standard in the art can be thus employed, including but not limited to immunoassays to detect and/or visualize the protein encoded for by the chimeric
30 mRNA (*e.g.*, Western blot, immunoprecipitation followed by sodium dodecyl sulfate polyacrylamide gel electrophoresis, immunocytochemistry, etc.) and/or hybridization

assays to detect formation of chimeric mRNA expression by detecting and/or visualizing the presence of chimeric mRNA (*e.g.*, Northern assays, dot blots, *in situ* hybridization, and Reverse-Transcription PCR, etc.), etc.

The present invention also provides for pharmaceutical compositions comprising an effective amount of a PTM or a nucleic acid encoding a PTM, and a pharmaceutically acceptable carrier. In a specific embodiment, the term "pharmaceutically acceptable" means approved by a regulatory agency of the Federal or a state government or listed in the U.S. Pharmacopeia or other generally recognized pharmacopeia for use in animals, and more particularly in humans. The term "carrier" refers to a diluent, adjuvant, excipient, or vehicle with which the therapeutic is administered. Examples of suitable pharmaceutical carriers are described in "Remington's Pharmaceutical sciences" by E.W. Martin. In a specific embodiment, it may be desirable to administer the pharmaceutical compositions of the invention locally to the area in need of treatment. This may be achieved by, for example, and not by way of limitation, local infusion during surgery, topical application, *e.g.*, in conjunction with a wound dressing after surgery, by injection, by means of a catheter, by means of a suppository, or by means of an implant, said implant being of a porous, non-porous, or gelatinous material, including membranes, such as sialastic membranes, or fibers. Other control release drug delivery systems, such as nanoparticles, matrices such as controlled-release polymers, hydrogels.

The PTM will be administered in amounts which are effective to produce the desired effect in the targeted cell. Effective dosages of the PTMs can be determined through procedures well known to those in the art which address such parameters as biological half-life, bioavailability and toxicity. The amount of the composition of the invention which will be effective will depend on the nature of the disease or disorder being treated, and can be determined by standard clinical techniques. In addition, *in vitro* assays may optionally be employed to help identify optimal dosage ranges.

The present invention also provides a pharmaceutical pack or kit comprising one or more containers filled with one or more of the ingredients of the pharmaceutical compositions of the invention optionally associated with such

container(s) can be a notice in the form prescribed by a governmental agency regulating the manufacture, use or sale of pharmaceuticals or biological products, which notice reflects approval by the agency of manufacture, use or sale for human administration.

5 5.3.2 USE OF PTM MOLECULES FOR EXON TAGGING

In view of current efforts to sequence and characterize the genomes of humans and other organisms, there is a need for methods that facilitate such characterization. A majority of the information currently obtained by genomic mapping and sequencing is derived from complementary DNA (cDNA) libraries, which are made by reverse transcription of mRNA into cDNA. Unfortunately, this process causes the loss of information concerning intron sequences and the location of exon/intron boundaries.

The present invention encompasses a method for mapping exon-intron boundaries in pre-mRNA molecules comprising (i) contacting a pre-transplicing molecule containing a random target binding domain with a pre-mRNA molecule under conditions in which a portion of the pre-trans-splicing molecule is trans-spliced to a portion of the target pre-mRNA to form a chimeric mRNA; (ii) amplifying the chimeric mRNA molecule; (iii) selectively purifying the amplified molecule; and (iv) determining the nucleotide sequence of the amplified molecule thereby identifying the intron-exon boundaries.

In an embodiment of the present invention, PTMs can be used in trans-splicing reactions to locate exon-intron boundaries in pre-mRNAs molecules. PTMs for use in mapping of intron-exon boundaries have structures similar to those described above in Section 5.1. Specifically, the PTMs contain (i) a target binding domain that is designed to bind to many pre-mRNAs; (ii) a 3' splice region that includes a branch point, pyrimidine tract and a 3' splice acceptor site, or a 5' splice donor site; (iii) a spacer region that separates the mRNA splice site from the target binding domain; and (iv) a tag region that will be trans-spliced onto a pre-mRNA. For purposes of intron-exon mapping, the PTMs are genetically engineered to contain target binding domains comprising random nucleotide sequences. The random

nucleotide sequences contain at least 15-30 and up to several hundred nucleotide sequences capable of binding and anchoring a pre-mRNA so that the spliceosome processing machinery of the nucleus can trans-splice a portion (tag or marker region) of the PTM to a portion of the pre-mRNA. PTMs containing short target binding
5 domains, or containing inosines bind under less stringent conditions to the pre-mRNA molecules. In addition, strong branch point sequences and pyrimidine tracts serve to increase the non-specificity of PTM trans-splicing.

The random nucleotide sequences used as target binding domains in the PTM molecules can be generated using a variety of different methods, including,
10 but not limited to, partial digestion of DNA with restriction endonucleases or mechanical shearing of the DNA. The use of such random nucleotide sequences is designed to generate a vast array of PTM molecules with different binding activities for each target pre-mRNA expressed in a cell. Randomized libraries of oligonucleotides can be synthesized with appropriate restriction endonucleases
15 recognition sites on each end for cloning into PTM molecules genetically engineered into plasmid vectors. When the randomized oligonucleotides are ligated and expressed, a randomized binding library of PTMs is generated.

In a specific embodiment of the invention, an expression library encoding PTM molecules containing target binding domains comprising random
20 nucleotide sequences can be generated using a variety of methods which are well known to those of skill in the art. Ideally, the library is complex enough to contain PTM molecules capable of interacting with each target pre-mRNA expressed in a cell.

By way of example, Figure 9 is a schematic representation of two forms of PTMs which can be utilized to map intron-exon boundaries. The PTM on
25 the left is capable of non-specifically trans-splicing into a pre-mRNA 3' splice site, while the PTM on the right is capable of trans-splicing into a pre-mRNA 5' splice site. Trans-splicing between the PTM and the target pre-mRNA results in the production of a chimeric mRNA molecule having a specific nucleotide sequence "tag" on either the 3' or 5' end of an authentic exon.

30 Following selective purification, a DNA sequencing reaction is then performed using a primer which begins in the tag nucleotide sequence of the PTM and

proceeds into the sequence of the tagged exon. The sequence immediately following the last nucleotide of the tag nucleotide sequence represents an exon boundary. For identification of intron-exon tags, the trans-splicing reactions of the invention can be performed either *in vitro* or *in vivo* using methods well known to those of skill in the art.

5.3.3 USE OF PTM MOLECULES FOR IDENTIFICATION OF PROTEINS EXPRESSED IN A CELL

In yet another embodiment of the invention, PTM mediated trans-splicing reactions can be used to identify previously undetected and unknown proteins expressed in a cell. This method is especially useful for identification of proteins that cannot be detected by a two-dimensional electrophoresis, or by other methods, due to *inter alia* the small size of the protein, low concentration of the protein, or failure to detect the protein due to similar migration patterns with other proteins in two-dimensional electrophoresis.

The present invention relates to a method for identifying proteins expressed in a cell comprising (i) contacting a pre-transplicing molecule containing a random target binding domain and a nucleotide sequence encoding a peptide tag with a pre-mRNA molecule under conditions in which a portion of the pre-trans-splicing molecule is trans-spliced to a portion of the target pre-mRNA to form a chimeric mRNA encoding a fusion polypeptide or separating it by gel electrophoresis (ii) affinity purifying the fusion polypeptide; and (iii) determining the amino acid sequence of the fusion protein.

To identify proteins expressed in a cell, the PTMs of the invention are genetically engineered to contain: (i) a target binding domain comprising randomized nucleotide sequences; (ii) a 3' splice region that includes a branch point, pyrimidine tract and a 3' splice acceptor site and/or a 5' splice donor site; (iii) a spacer region that separates the PTM splice site from the target binding domain; and (iv) nucleotide sequences encoding a marker or peptide affinity purification tag. Such peptide tags include, but are not limited to, HIS tags (6 histidine consecutive residues) (Janknecht, et al., 1991 Proc. Natl. Acad. Sci. USA 88:8972-8976), glutathione-S-transferase

(GST) (Smith, D.B. and Johnson K.S., 1988, Gene 67:31) (Pharmacia) or FLAG (Kodak/TBI) tags (Nisson, J. et al. J. Mol. Recognit., 1996, 5:585-594)

Trans-splicing reactions using such PTMs results in the generation of chimeric mRNA molecules encoding fusion proteins comprising protein sequences normally expressed in a cell linked to a marker or peptide affinity purification tag. The desired goal of such a method is that every protein synthesized in a cell receives a marker or peptide affinity tag thereby providing a method for identifying each protein expressed in a cell.

In a specific embodiment of the invention, PTM expression libraries encoding PTMs having different target binding domains comprising random nucleotide sequences are generated. The desired goal is to create a PTM expression library that is complex enough to produce a PTM capable of binding to each pre-mRNA expressed in a cell. In a preferred embodiment, the library is cloned into a mammalian expression vector that results in one, or at most, a few vectors being present in any one cell.

To identify the expression of chimeric proteins, host cells are transformed with the PTM library and plated so that individual colonies containing one PTM vector can be grown and purified. Single colonies are selected, isolated, and propagated in the appropriate media and the labeled chimeric protein exon(s) fragments are separated away from other cellular proteins using, for example, an affinity purification tag. For example, affinity chromatography can involve the use of antibodies that specifically bind to a peptide tag such as the FLAG tag. Alternatively, when utilizing HIS tags, the fusion proteins are purified using a Ni^{2+} nitriloacetic acid agarose columns, which allows selective elution of bound peptide eluted with imidazole containing buffers. When using GST tags, the fusion proteins are purified using glutathione-S-transferase agarose beads. The fusion proteins can then be eluted in the presence of free glutathione.

Following purification of the chimeric protein, an analysis is carried out to determine the amino acid sequence of the fusion protein. The amino acid sequence of the fusion protein is determined using techniques well known to those of skill in the art, such as Edman Degradation followed by amino acid analysis using

HPLC, mass spectrometry or an amino acid analyzation. Once identified, the peptide sequence is compared to those sequences available in protein databases, such as GenBank. If the partial peptide sequence is already known, no further analysis is done. If the partial protein sequence is unknown, then a more complete sequence of that protein can be carried out to determine the full protein sequence. Since the fusion protein will contain only a portion of the full length protein, a nucleic acid encoding the full length protein can be isolated using conventional methods. For example, based on the partial protein sequence oligonucleotide primers can be generated for use as probes or PCR primers to screen a cDNA library.

6. EXAMPLE: PRODUCTION OF TRANS-SPLICING MOLECULES

The following section describes the production of PTMs and the demonstration that such molecules are capable of mediating *trans*-splicing reactions resulting in the production of chimeric mRNA molecules.

6.1 MATERIALS AND METHODS

6.1.1. CONSTRUCTION OF PRE-mRNA MOLECULES

Plasmids containing the wild type diphtheria toxin subunit A (DT-A, wild-type accession #K01722) and a DT-A mutant (CRM 197, no enzymatic activity) were obtained from Dr. Virginia Johnson, Food and Drug Administration, Bethesda, Maryland (Uchida *et al.*, 1973 J. Biol. Chem 248:3838). For *in vitro* experiments, DT-A was amplified using primers: DT-1F (5'-GGCGCTGCAGGGCGCTGATGATGTTGTTG); and DT-2R (5'-GGCGAAGCTTGGATCCGACACGATTTCTGCACAGG), cut with PstI and HindIII, and cloned into PstI and HindIII digested pBS(-) vector (Stratagene, La Jolla, CA). The resulting clone, pDTA was used to construct the individual PTMs. (1) pPTM+: Targeted construct. Created by inserting IN3-1 (5'AATTCTCTAGATGCTT CACCCGGGCGCTGACTCGAGTACTAAGTGGTACCTCTTCTTTTTTTTCTGCA) and IN2-4 (5'-GGAAAAAAGAAGAGGTACCAGTTAGTACTCGAGTCAGG CCCGGGTGAAGCATCTAGAG) primers into EcoRI and PstI digested pDTA. (2) pPTM+Sp: As pPTM+ but with a 30 bp spacer sequence between the BD and BP.

Created by digesting pPTM+ with XhoI and ligating in the oligonucleotides, spacer S (5'-TCGAGCAACGTTATAATAATGTTC) and spacer AS (5'-TCGAGAACATTATT ATAACGTTGC). For *in vivo* studies, an EcoRI and HindIII fragment of pcPTM+Sp was cloned into mammalian expression vector pcDNA3.1 (Invitrogen), under the control of a CMV promoter. Also, the methionine at codon 14 was changed into isoleucine to prevent initiation of translation. The resulting plasmid was designated as pcPTM+Sp. (3) pPTM+CRM: As pPTM+Sp but the wild type DT-A was substituted with CRM mutant DT-A (T. Uchida, et al., 1973, J. Biol. Chem. 248:3838). This was created by PCR amplification of a DT-A mutant (mutation at G52E) using primers DT-1F and DT-2R. For *in vivo* studies, an EcoRI HindIII fragment of PTM+CRM was cloned into pc3.1DNA that resulted in pcPTM+ARM.

5 (4) PTM-: Non-targeted construct. Created by digestion of PTM+ with EcoRI and Pst I, gel purified to remove the binding domain followed by ligation of the oligonucleotides, IN-5 (5'-ATCTCTAGATCAGGCCCCGGGTGAAGCC CGAG) and

10 IN-6 (5'-TGCTTCACCC GGGCCTGATCTAGAG). (5) PTM-Sp, is an identical version of the PTM-, except it has a 30 bp spacer sequence at the PstI site. Similarly, the splice mutants [Py(-)AG(-) and BP(-)Py(-)AG(-)] and safety variants [PTM+SF-Py1, PTM+SF-Py2, PTM+SFBP3 and PTM+SFBP3-Py1] were constructed either by

15 insertion or deletion of specific sequences (see Table 1).

Table 1. Binding/non-binding domain, BP, PPT and 3' as sequences of different PTMs.

| PTM construct | BD/NBD | BP | PPT | 3'ss |
|-----------------------|--|------------------|-----------------------|------|
| PTM+Sp (targeted) | :TGCTTCACCCGGGCCTGA | TACTA <u>A</u> C | CTCTCTTTTTTTTCC | CAG |
| PTM+Sp (non-targeted) | :CAACGTTATAATAATGTT | TACTA <u>A</u> C | CTCTCTTTTTTTTCC | CAG |
| PTM+Py (-)AG(-)BP(-) | :TGCTTCACCCGGGCCTGA | GGCTG <u>A</u> T | CTGTGATTAATAGC GG | ACG |
| PTM+Py(-)AG(-) | :TGCTTCACCCGGGCCTGA | TACTA <u>A</u> C | CCTGGACGCGGAA GTT | ACG |
| PTM+SF | :CTGGGACAAGGACACTGC TTCACCCGGTTAGTAGACC ACAGCCCTGAAGCC | TACTA <u>A</u> C | CTTCTGTTTTTTTCTC | CAG |
| PTM+SF-Py | :As in PTM+SF | TACTA <u>A</u> C | CTTCTGTATTATTCT C | CAG |
| PTM+SF-Py | :As in PTM+SF | TACTA <u>A</u> C | GTTCTGTCCTTGCTCT C | CAG |
| PTM+SF-BP3 | :As in PTM+SF | TGCTG <u>A</u> C | CTTCTGTTTTTTTCTC | CAG |
| PTM+SFBP3-Py | :As in PTM+SF | TGCTG <u>A</u> C | CTTCTGTATTATTCT C | CAG |

Nucleotides in bold indicate the mutations compared to normal BP, PPT and 3' splice site.

- 15 Branch site A is underlined. The nucleotides in italics indicates the mismatch introduced into safety BD to mask the BP sequence in the PTM.

20 A double *trans*-splicing PTM construct (DS-PTM) was also made adding a 5' splice site and a second target binding domain complementary to the second intron of β HCG pre-mRNA to the 3' end of the toxin coding sequence of PTM+SF (Figure A).

6.1.2. β HCG6 TARGET PRE-mRNA

To produce the *in vitro* target pre-mRNA, a *SacI* fragment of β HCG gene 6 (accession #X00266) was cloned into pBS(-). This produced an 805 bp insert from nucleotide 460 to 1265, which includes the 5' untranslated region, initiation
5 codon, exon 1, intron 1, exon 2, and most of intron 2. For *in vivo* studies, an *EcoRI* and *BamHI* fragment was cloned into mammalian expression vector (pc3.1DNA), producing β HCG6.

6.1.3. mRNA PREPARATION

For *in vitro* splicing experiments, β HCG6, β -globin pre-mRNA and
10 different PTM mRNAs were synthesized by *in vitro* transcription of *BamHI* and *HindIII* digested plasmid DNAs respectively, using T7 mRNA polymerase (Pasman & Garcia-Blanco, 1996, Nucleic Acids Res. 24:1638). Synthesized mRNAs were purified by electrophoresis on a denaturing polyacrylamide gel, and the products were excised and eluted.

15 6.1.4. IN VITRO SPLICING

PTMs and target pre-mRNA were annealed by heating at 98°C followed by slow cooling to 30-34°C. Each reaction contained 4 μ l of annealed mRNA complex (100 ng of target and 200 ng of PTM), 1X splice buffer (2 Memorandum $MgCl_2$, 1 Memorandum ATP, 5 Memorandum creatinine phosphate,
20 and 40 Memorandum KCl) and 4 μ l of HeLa splice nuclear extract (Promega) in a 12.5 μ l final volume. Reactions were incubated at 30°C for the indicated times and stopped by the addition of an equal volume of high salt buffer (7 M urea, 5% SDS, 100 Memorandum LiCl, 10 Memorandum EDTA and 10 Memorandum TrisHCl, pH 7.5). Nucleic acids were purified by extraction with phenol:chloroform:isoamyl
25 alcohol (50:49:1) followed by ethanol precipitation.

6.1.5. REVERSE TRANSCRIPTION-PCR REACTIONS

RT-PCR analysis was performed using EZ-RT PCR kit (Perkin-Elmer, Foster City, CA). Each reaction contained 10 ng of *cis*- or *trans*-spliced mRNA, or 1-2 μ g of total mRNA, 0.1 μ l of each 3' and 5' specific primer, 0.3 Memorandum of

each dNTP, 1X EZ buffer (50 Memorandum bicine, 115 Memorandum potassium acetate, 4% glycerol, pH 8.2), 2.5 Memorandum magnesium acetate and 5 U of *rTth* DNA polymerase in a 50 µl reaction volume. Reverse transcription was performed at 60°C for 45 min followed by PCR amplification of the resulting cDNA as follows:

5 one cycle of initial denaturation at 94°C for 30 sec, and 25 cycles of denaturation at 94°C for 18 sec and annealing and extension at 60°C for 40 sec, followed by a 7 min final extension at 70°C. Reaction products were separated by electrophoresis in agarose gels.

Primers used in the study were as follows:

- 10 DT-1F: GCGCTGCAGGGCGCTGATGATGTTGTTG
DT-2R: GCGAAGCTTGGATCCGACACGATTCCTGCACAGG
DT-3R: CATCGTCATAATTCCTTGTG
DT-4R: ATGGAATCTACATAACCAGG
DT-5R: GAAGGCTGAGCACTACACGC
15 HCG-R2: CGGCACCGTGGCCGAAGTGG,
Bio-HCG-F: ACCGGAATTCATGAAGCCAGGTACACCAGG
β-globulin-F: GGGCAAGGTGAACGTGGATG
β-globulin-R: ATCAGGAGTGGACAGATCC

20 6.1.6 CELL GROWTH, TRANSFECTION AND mRNA ISOLATION

Human lung cancer cell line H1299 (ATCC accession # CRL-5803) was grown in RPMI medium supplemented with 10% fetal bovine serum at 37°C in a 5% CO₂ environment. Cells were transfected with pcSp+CRM (CRM is a non-functional toxin), a vector expressing a PTM, or vector alone (pcDNA3.1) using

25 lipofectamine reagent (Life Technologies, Gaithersburg, MD). The assay was scored for neomycin resistance (neo^r) colony formation two weeks after transfection. Four neo^r colonies were selected and expanded under continued neo selection. Total cellular mRNA was isolated using RNA exol (BioChain Institute, Inc., San Leandro, CA) and used for RT-PCR.

6.1.7. TRANS-SPLICING IN TUMORS IN NUDE MICE

Eleven nude mice were bilaterally injected (except B10, B11 and B12 had 1 tumor) into the dorsal flank subcutaneous space with 1×10^7 H1299 human lung tumor cells (day 1). On day 14, the mice were given an appropriate dose of anesthesia and injected with, or without electroporation (T820, BTX Inc., San Diego, CA) in several orientations with a total volume of 100 μ l of saline containing 100 μ g pcSp+CRM with or without pc β HCG6 or pcPTM+Sp. Solutions injected into the right side tumors also contained India ink to mark needle tracks. The animals were sacrificed 48 hours later and the tumor excised and immediately frozen at -80°C . For analysis, 10 mg of each tumor was homogenized and mRNA was isolated using a Dynabeads mRNA direct kit (Dyna) following the manufacturers directions. Purified mRNA (2 μ l of 10 μ l total volume) was subjected to RT-PCR using β HCG-F and DT-5R primers as described earlier. All samples were re-amplified using DT-3R, a nested DT-A primer and biotinylated β HCG-F and the products were analyzed by electrophoresis on a 2% agarose gel. Samples that produced a band were processed into single stranded DNA using M280 Streptavidin Dynabeads and sequenced using a toxin specific primer (DT-3R).

6.2. RESULTS

6.2.1. SYNTHESIS OF PTM

A prototypical *trans*-splicing mRNA molecule, pcPTM+Sp (Figure 1A) was constructed that included: an 18 nt target binding domain (complementary to β HCG6 intron 1), a 30 nucleotide spacer region, branch point (BP) sequence, a polypyrimidine tract (PPT) and an AG dinucleotide at the 3' splice site immediately upstream of an exon encoding diphtheria toxin subunit A (DT-A) (Uchida *et al.*, 1973, J. Biol. Chem. 248:3838). Later DT-A exons were modified to eliminate translation initiation sites at codon 14. The PTM constructs were designed for maximal activity in order to demonstrate *trans*-splicing; therefore, they included potent 3' splice elements (yeast BP and a mammalian PPT) (Moore *et al.*, 1993, In The mRNA World, R.F. Gesteland and J.F. Atkins, eds. (Cold Spring Harbor, New York: Cold Spring Harbor Laboratory Press). β HCG6 pre-mRNA (Talmadge *et al.*, 1984, Nucleic Acids

Res. 12:8415) was chosen as a model target as this gene is expressed in most tumor cells. It is not expressed in normal adult cells, with the exception of some in the pituitary gland and gonads. (Acevedo *et al.*, 1992, Cancer 76:1467; Hoon *et al.*, 1996, Int J. Cancer 69:369; Bellet *et al.*, 1997, Cancer Res. 57:516). As shown in Figure 1C, pcPTM+Sp forms conventional Watson-Crick base pairs by its binding domain with the 3' end of β HCG6 intron 1, masking the intronic 3' splice signals of the target. This feature is designed to facilitate *trans*-splicing between the target and the PTM.

HeLa nuclear extracts were used in conjunction with established splicing procedures (Pasan & Garcia-Blanco, 1996, Nucleic Acids Res. 24:1638) to test if a PTM construct could invade the β HCG6 pre-mRNA target. The products of *in vitro trans*-splicing were detected by RT-PCR, using primers specific for chimeric mRNA molecules. The predicted product of a successful *trans*-splicing reaction is a chimeric mRNA comprising the first exon of β HCG6, followed immediately by the exon contributed from pcPTM+Sp encoding DT-A (Figure 1C). Such chimeric mRNAs were readily detected by RT-PCR using primers β HCG-F (specific to β HCG6 exon 1) and DT-3R (specific to DT-A, Figure 2, lanes 1-2). At time zero or in the absence of ATP, no 466 bp product was observed, indicating that this reaction was both ATP and time dependent.

The target binding domain of pcPTM+Sp contained 18 nucleotides complementary to β HCG6 intron 1 pre-mRNA and demonstrated efficient *trans*-splicing (Figure 2A, lanes 1-2). *Trans*-splicing efficiency decreased at least 8 fold (Figure 2, lanes 3-4) using non-targeted PTM-Sp, which contains a non-complementary 18 nucleotide "non-binding domain". *Trans*-splicing efficiencies of PTM mRNAs with or without a spacer between the binding domain and BP were also compared. This experiment demonstrated a significant increase in the efficiency of *trans*-splicing by the addition of a spacer (Figure 2B, lanes 2 + 5). To facilitate the recruitment of splicing factors required for efficient *trans*-splicing, some space may be needed between the 3' splice site and the double stranded secondary structure produced by the binding domain/target interaction.

To investigate the effect of PTM length on *trans*-splicing specificity, shorter PTMs were synthesized from *AccI* cut PTM plasmid (see Figure 1). This

eliminated 479 nt from the 3' end of the DT-A coding sequence. Figure 2B shows the trans-splicing ability of a targeted short PTM(+) (lanes 10-12), compared to a non-targeted short PTM(-) (lanes 14-17). Short PTM+ produced substantially more *trans*-spliced product (Figure 2B, lane 12) than its counterpart, non-targeted short PTM (Figure 2B, lane 17). These experiments indicate that longer PTMs may have increased potential to mediate *trans*-splicing non-specifically.

6.2.2. ACCURACY OF PTM SPLICEOSOME MEDIATED TRANS SPLICING

To confirm that *trans*-splicing between the pcPTM+Sp and β HCG6 target is precise, RT-PCR amplified product was produced using 5' biotinylated β HCG-F and nonbiotinylated DT-3R primers. This product was converted into single stranded DNA and sequenced directly with primer DT-3R (DT-A specific reverse primer) using the method of Mitchell and Merrill (1989, Anal. Biochem. 178:239). *Trans*-splicing occurred exactly between the predicted splice sites (Figure 3), confirming that a conventional pre-mRNA can be invaded by an engineered PTM construct during splicing; moreover, this reaction is precise.

In addition selective *trans*-splicing of a double splicing PTM (DS-PTM) was observed (Figure 8B). The DS-PTM can produce *trans*-splicing by contributing either a 3' or 5' splice site. Further, DS-PTMs can be constructed which will be capable of simultaneously double trans-splicing, at both a 3' and 5' site, thereby permitting exon replacement. Figure 8B demonstrates that in this construct the 5' splice site is most active at a 1:1 concentration of target β HCG pre-mRNA:DS-PTM. At a 1:6 ratio the 3' splice site is more active.

6.2.3. 3' SPLICE SITES ARE ESSENTIAL FOR PTM TRANS-SPLICING

In general, the 3' splice site contains three elements: 1) a BP sequence located 5' of the acceptor site, 2) a PPT consisting of a short run of pyrimidine residues, and 3) a YAG trinucleotide splice site acceptor at the intron-exon border (Senapathy *et al.*, 1990, Cell 91:875; Moore *et al.*, 1993). Deletion or alteration of

one of these sequence elements are known to either decrease or abolish splicing (Aebi *et al.*, 1986; Reed & Maniatis 1988, Genes Dev. 2:1268; Reed, 1989, Genes Dev. 3:2113; Roscigno *et al.*, 1993, J. Biol. Chem. 268:11222; Coolidge *et al.*, 1997, Nucleic Acids Res. 25:888). The role of these conserved elements in targeted *trans*-splicing was addressed experimentally. In one case [(BP(-)Py(-)AG(-))], all three *cis*-elements (BP, PPT and AG dinucleotide) were replaced by random sequences. A second splicing mutant [(Py(-)AG(-))] was constructed in which the PPT and the 3' splice site acceptor were mutated and substituted by random sequences. Neither construct was able to support *trans*-splicing *in vitro* (Figure 2A, lanes 5-8), suggesting that, as in the case of conventional *cis*-splicing, the PTM *trans*-splicing process also requires a functional BP, PPT and AG acceptor at the 3' splice site.

6.2.4. DEVELOPMENT OF A "SAFETY" SPLICE SITE TO INCREASE SPECIFICITY

To improve the levels of target specificity achieved by the inclusion of a binding domain or by shortening the PTM, the target-binding domain of several PTM constructs was modified to create an intra-molecular stem to mask the 3' splice site (termed a "safety PTM"). The safety stem is formed by portions of the binding domain that partially base pair with regions of the PTM 3' splice site or sequences adjacent to them, thereby blocking the access of spliceosomal components to the PTM 3' splice site prior to target acquisition (Figure 4A, PTM+SF). Base pairing between free portions of the PTM binding domain and β HCG6 target region unwinds the safety stem, allowing splicing factors such as U2AF to bind to the PTM 3' splice site and initiate *trans*-splicing (Figure 4B).

This concept was tested in splicing reactions containing either PTM+SF (safety) or pcPTM+Sp (linear), and both target (β HCG6) and non-target (β -globin) pre-mRNA. The spliced products were subsequently analyzed by RT-PCR and gel electrophoresis. Using β HCG-F and DT-3R primers, the specific 196 bp *trans*-spliced band was demonstrated in reactions containing β HCG target and either linear PTM (pcPTM+Sp, Figure 5, lane 2) or safety PTM (PTM+SF, Figure 5, lane 8). Comparison of the targeted *trans*-splicing between linear PTM (Figure 5, lane 2) and

safety PTM (Figure 5, lane 8) demonstrated that the safety PTM *trans*-spliced less efficiently than the linear PTM.

Non-targeted reactions were amplified using β -globin-F (specific to exon 1 of β -globin) and DT-3R primers. The predicted product generated by non-specific PTM *trans*-splicing with β -globin pre-mRNA is 189 bp. Non-specific *trans*-splicing was evident between linear PTM and β -globin pre-mRNA (Figure 5, lane 5). In contrast, non-specific *trans*-splicing was virtually eliminated by the use of safety PTM (Figure 5, lane 11). This was not unexpected, since the linear PTM was designed for maximal activity to prove the concept of spliceosome-mediated *trans*-splicing. The open structure of the linear PTM combined with its potent 3' splice sites strongly promotes the binding of splicing factors. Once bound, these splicing factors can potentially initiate *trans*-splicing with any 5' splice site, in a process similar to *trans*-splicing in trypanosomes. The safety stem was designed to prevent splicing factors, such as U2AF from binding to the PTM prior to target acquisition. This result is consistent with a model that base-pairing between the free portion of the binding domain and the β HCG6 target unwinds the safety stem (by mRNA-mRNA interaction), uncovering the 3' splice site, permitting the recruitment of splicing factors and initiation of *trans*-splicing. No *trans*-splicing was detected between β -globin and β HCG6 pre-mRNAs (Figure 5, lanes 3, 6, 9 and 12).

20 6.2.5 IN VITRO TRANS-SPLICING OF SAFETY PTM AND VARIANTS

To better understand the role of *cis*-elements at the 3' splice site in *trans*-splicing a series of safety PTM variants were constructed in which either the PPT was weakened by substitution with purines and/or the BP was modified by base substitution (see Table I). *In vitro trans*-splicing efficiency of the safety (PTM+SF) was compared to three safety variants, which demonstrated a decreased ability to *trans*-splice. The greatest effect was observed with variant 2 (PTM+SFPy2), which was *trans*-splicing incompetent (Figure 4C, lanes 5-6). This inhibition of *trans*-splicing may be attributed to a weakened PPT and/or the higher T_m of the safety stem. In contrast, variations in the BP sequence (PTM+SFBP3) did not markedly effect *trans*-splicing (Figure 4C, lanes 7-8). This was not surprising since the modifications

introduced were within the mammalian branch point consensus range YNYURAC (where Y = pyrimidine, R = purine and N = any nucleotide) (Moore *et al.*, 1993).

This finding indicates that the branch point sequence can be removed without affecting splicing efficiency. Alterations in the PPT (PTM+SF-Pyl) decreased the level of *trans*-splicing (lanes 3-4). Similarly, when both BP and PPT were altered PTM+SFBP3-Pyl, they caused a further reduction in *trans*-splicing (Figure 4C, lanes 9-10). The order of *trans*-splicing efficiency of these safety variants is PTM+SF>PTM+SFBP3> PTM+SFPyl>PTM+SFBP3-Pyl>PTM+SFPy2. These results confirm that both the PPT and BP are important for efficient *in vitro trans*-splicing (Roscigno *et al.*, 1993, J. Biol. Chem. 268:11222).

6.2.6 COMPETITION BETWEEN *CIS*- AND *TRANS*- SPLICING

To determine if it was possible to block pre-mRNA *cis*-splicing by increasing concentrations of PTM, experiments were performed to drive the reaction towards *trans*-splicing. Splicing reactions were conducted with a constant amount of β HCG6 pre-mRNA target and various concentrations of *trans*-splicing PTM. *Cis*-splicing was monitored by RT-PCR using primers to β HCG-F (exon 1) and β HCG-R2 (exon 2). This amplified the expected 125 bp *cis*-spliced and 478 bp unspliced products (Figure 6A). The primers β HCG-F and DT-3R were used to detect *trans*-spliced products (Figure 6B). At lower concentrations of PTM, *cis*-splicing (Fig. 6A, lanes 1-4) predominated over *trans*-splicing (Figure 6B, lanes 1-4). *Cis*-splicing was reduced approximately by 50% at a PTM concentration 1.5 fold greater than target. Increasing the PTM mRNA concentration to 3 fold that of target inhibited *cis*-splicing by more than 90% (Figure 6A, lanes 7-9), with a concomitant increase in the *trans*-spliced product (Figure 6B, lanes 6-10). A competitive RT-PCR was performed to simultaneously amplify both *cis* and *trans*-spliced products by including all three primers (β HCG-F, HCG-R2 and DT-3R) in a single reaction. This experiment had similar results to those seen in Figure 6, demonstrating that under *in vitro* conditions, a PTM can effectively block target pre-mRNA *cis*-splicing and replace it with the production of an engineered *trans*-spliced chimeric mRNA.

6.2.7. TRANS-SPLICING IN TISSUE CULTURE

To demonstrate the mechanism of *trans*-splicing in a cell culture model, the human lung cancer line H1299 (β HCG6 positive) was transfected with a vector expressing SP+CRM (a non-functional diphtheria toxin) or vector alone (pcDNA3.1) and grown in the presence of neomycin. Four neomycin resistant colonies were individually collected after 14 days and expanded in the continued presence of neomycin. Total mRNA was isolated from each clone and analyzed by RT-PCR using primers β HCG-F and DT-3R. This yielded the predicted 196 bp *trans*-spliced product in three out of the four selected clones (Figure 7A, lanes 2, 3 and 4). The amplified product from clone #2 was directly sequenced, confirming that PTM driven *trans*-splicing occurred in human cells exactly at the predicted splice sites of endogenously expressed β HCG6 target exon 1 and the first nucleotide of DT-A (Figure 7B).

6.2.8. TRANS-SPLICING IN AN *IN VIVO* MODEL

To demonstrate the mechanism of *trans*-splicing *in vivo*, the following experiment was conducted in athymic (nude) mice. Tumors were established by injecting 10^7 H1299 cells into the dorsal flank subcutaneous space. On day 14, PTM expression plasmids were injected into tumors. Most tumors were then subjected to electroporation to facilitate plasmid delivery (see Table 2, below). After 48 hrs, tumors were removed, poly-A mRNA was isolated and amplified by RT-PCR. *Trans*-splicing was detected in 8 out of 19 PTM treated tumors. Two samples produced the predicted *trans*-spliced product (466 bp) from mRNA after one round of RT-PCR. Six additional tumors were subsequently positive for *trans*-splicing by a second PCR amplification using a nested set of primers that produced the predicted 196 bp product (Table 2). Each positive sample was sequenced, demonstrating that β HCG6 exon 1 was precisely *trans*-spliced to the coding sequence of DT-A (wild type or CRM mutant) at the predicted splice sites. Six of the positive samples were from treatment groups that received cotransfected plasmids, pcPTM+CRM and pcHCG6, which artificially increased the concentration of target pre-mRNA. This was done to enhance the probability of detecting *trans*-spliced events. The other two positive

tumors were from a group that received only pcPTM+Sp (wild type DT-A). These tumors were not transfected with β HCG6 expression plasmid, demonstrating once again, as in the tissue culture model described in Section 6.2.7, that *trans*-splicing occurred between the PTM and endogenous β HCG6 pre-mRNA produced by tumor cells.

5

Table 2. *Trans*-splicing in tumors in nude mice.

| Group | Plasmid | Tumor | | Electroporation | RT-PCR (For 466 bp) | | Nested PCR (For 196 bp) | | Splice junction sequence |
|-------|---------------------|-------|-------|---------------------|------------------------|-------|----------------------------|---|-------------------------------|
| | | Left | Right | | Left | Right | | | |
| B1 | pCMV-Sport | B1-1 | B1-2 | - | - | - | - | - | - |
| B2 | pCMV-Sport | B1-3 | B1-4 | *1000V/cm | - | - | - | - | - |
| B3 | pcSp+CRM | B3-1 | B3-2 | *1000V/cm | - | - | - | - | - |
| | | B3-3 | B3-4 | *1000V/cm | - | - | - | - | - |
| B4 | pcSp+CRM | B4-1 | B4-2 | ^b 50V/cm | - | - | - | - | - |
| | | B4-3 | B4-4 | ^a 25V/cm | - | - | - | - | - |
| B5 | pcSp+CRM/ pcHCG6 | B5-1 | B5-2 | *1000V/cm | + | - | + | + | ATGTTCCAG↓ GGCGTGATG AT |
| | | B5-3 | B5-4 | *1000V/cm | + | - | + | + | ATGTTCCAG↓ GGCGTGATG AT |
| B6 | pcSp+CRM/ pcHCG6 | B6-1 | B6-2 | ^b 50V/cm | - | - | - | - | - |
| | | B6-3 | B6-4 | ^a 25V/cm | - | - | + | + | ATGTTCCAG↓ GGCGTGATG AT |
| B7 | pc PTM+Sp | B7-1 | | *1000V/cm | - | | - | | - |
| B8 | pc PTM+Sp | B8-1 | | ^b 50V/cm | - | | + | | ATGTTCCAG↓ GGCGTGATG AT |
| B9 | pc PTM+Sp | B9-1 | | - | - | | + | | ATGTTCCAG↓ GGCGTGATG AT |

^a: 6 pulses of 99 μ s sets of 3 pulses administered orthogonally

^b: 8 pulses of 10ms sets of 4 pulses administered orthogonally

^c: 8 pulses of 50ms sets of 4 pulses administered orthogonally

^l: did not receive electroporation

7. EXAMPLE: *lacZ* TRANS-SPLICING-MODEL

In order to demonstrate and evaluate the generality of the mechanism of spliceosome mediated targeted *trans*-splicing between a specific pre-mRNA target and a PTM, a simple model system based on expression of enzyme β -galactosidase was developed. The following section describes results demonstrating successful spliceosome mediated targeted *trans*-splicing between a specific target and a PTM.

7.1 MATERIALS AND METHODS

7.1.1. PRIMER SEQUENCES

The following primers were used for testing the *lacZ* model system:

5' Lac-1F

GCATGAATTCGGTACCATGGGGGGTTCTCATCATCATC

15 5' Lac-1R CTGAGGATCCTCTTACCTGTAAACGCCCATACTGAC

3' Lac-1F

GCATGGTAACCCTGCAGGGCGGCTTCGTCTGGGACTGG

3' Lac-1R CTGAAAGCTTGTTAACTTATTATTTTGACACCAGACC

3' Lac-Stop

20 GCATGGTAACCCTGCAGGGCGGCTTCGTCTAATAATGGGACTGGGTG

HCG-In1F GCATGGATCCTCCGGAGGGCCCCTGGGCACCTTCCAC

HCG-In1R

CTGACTGCAGGGTAACCGGACAAGGACACTGCTTCACC

HCG-Ex2F GCATGGTAACCCTGCAGGGGCTGCTGCTGTTGCTG

25 HCG-Ex2R CTGAAAGCTTGTTAACCAGCTCACCATGGTGGGGCAG

Lac-TR1 (Biotin): 7-GGCTTTCGCTACCTGGAGAGAC

Lac-TR2 GCTGGATGCGGGCTGCGGTGC

HCG-R2: CGGCACCGTGGCCGAAGTGG

7.1.2. CONSTRUCTION OF THE *lacZ* PRE-mRNA TARGET MOLECULE

The *lacZ* target 1 pre-mRNA (pc3.1 lacT1) was constructed by cloning of the following three PCR products: (i) the 5' fragment of *lacZ*; followed by (ii) β HCG6 intron 1; (iii) and the 3' fragment of *lacZ*. The 5' and 3' fragment of the *lacZ* gene were PCR amplified from template pcDNA3.1/His/LacZ (Invitrogen, San Diego, CA) using the following primers: 5' Lac-1F and 5' Lac-1R (for 5' fragment), and 3' Lac-1F and 3' Lac-1R (for 3' fragment). The amplified *lacZ* 5' fragment is 1788 bp long which includes the initiation codon, and the amplified 3' fragment is 1385 bp long and has the natural 5' and 3' splice sites in addition to a branch point, polypyrimidine tract and β HCG6 intron 1. The β HCG6 intron 1 was PCR amplified using the following primers: HCG-In1F and HCG-In1R.

The *lacZ* target 2 is an identical version of *lacZ* target 1 except it contains two stop codons (TAA TAA) in frame four codons after the 3' splice site. This was created by PCR amplification of the 3' fragment (*lacZ*) using the following primers: 3' Lac-Stop and 3' Lac 1R and replacing the functional 3' fragment in *LacZ* target 1.

7.1.3. CONSTRUCTION OF pc3.1 PTM1 and pc3.1 PTM2

The pre-trans-splicing molecule, pc3.1 PTM1 was created by digesting pPTM +Sp with PstI and HindIII and replacing the DNA fragment encoding the DT-A toxin with the a DNA fragment encoding the functional 3' end of *lacZ*. This fragment was generated by PCR amplification using the following primers: 3' Lac-1F and 3' Lac-1R. For cell culture experiments, an EcoRI and HindIII fragment of pc3.1 PTM2 which contains the binding domain to HCG intron 1, a 30 bp spacer, a yeast branch point (TACTAAC), and strong polypyrimidine tract followed by the *lacZ* cloned was cloned into pcDNA3.1.

The pre-trans-splicing molecule, pc3.1 PTM2 was created by digesting pPTM +Sp with PstI and HindIII and replacing the DNA fragment encoding the DT-A toxin with the β HCG6 exon 2. β HCG6 exon 2 was generated by PCR amplification using the following primers: HCG-Ex2F and HCG-Ex2R. For cell culture

experiments, an EcoRI and HindIII fragment of pc3.1 PTM2 which contains the binding domain to HCG intron 1, a 30 bp spacer, a yeast branch point (TACTAAC), and strong polypyrimidine tract followed by the β HCG6 exon 2 cloned was used.

7.1.4. CO-TRANSFECTION OF THE *lacZ* SPLICE TARGET PRE-mRNA AND PTMS INTO 293T CELLS

Human embryonic kidney cells (293T) were grown in DMEM medium supplemented with 10% FBS at 37°C in a 5% CO₂. Cells were co-transfected with pc3.1 LacT1 and pc3.1 PTM2, or pc3.1 LacT2 and pc3.1 PTM, using Lipofectamine Plus (Life Technologies, Gaithersburg, MD) according to the manufacturer's instructions. 24 hours post-transfection, the cells were harvested; total RNA was isolated and RT-PCR was performed using specific primers for the target and PTM molecules. β -galactosidase activity was also monitored by staining the cells using a β -gal staining kit (Invitrogen, San Diego, CA).

7.2. RESULTS

7.2.1 THE *lacZ* SPLICE TARGET *CIS*-SPLICES EFFICIENTLY TO PRODUCE FUNCTIONAL β -GALACTOSIDASE

To test the ability of the splice target pre-mRNA to *cis*-splice efficiently, pc3.1 *lacT1* was transfected into 293 T cells using Lipofectamine Plus reagent (Life Technologies, Gaithersburg, MD) followed by RT-PCR analysis of total RNA. Sequence analysis of the *cis*-spliced RT-PCR product indicated that splicing was accurate and occurred exactly at the predicted splice sites (Fig. 12B). In addition, accurate *cis*-splicing of the target pre-mRNA molecule results in formation of a mRNA capable of encoding active β -galactosidase which catalyzes the hydrolysis of β -galactosidase, *i.e.*, X-gal, producing a blue color that can be visualized under a microscope. Accurate *cis*-splicing of the target pre-mRNA was further confirmed by successfully detecting β -galactosidase enzyme activity.

Repair of defective *lacZ* target 2 pre-mRNA by trans-splicing of the functional 3' *lacZ* fragment (PTM1) was measured by staining for β -galactosidase enzyme activity. For this purpose, 293T cells were co-transfected with *lacZ* target 2 pre-mRNA (containing a defective 3' fragment) and PTM1 (containing functional 3' *lacZ* activity). 24 hours post-transfection cells were assayed for β -galactosidase enzyme activity. Efficient trans-splicing of PTM1 into the *lacZ* target 2 pre-mRNA will result in the production of functional β -galactosidase activity. As demonstrated in Figure 11B-E, trans-splicing of PTM 1 into *lacZ* target 2 results in restoration of β -galactosidase enzyme activity up to 5% to 10% compared to control.

7.2.2. TARGETED *TRANS*-SPLICING BETWEEN THE *lacZ* TARGET PRE-mRNA and PTM2.

To assay for *trans*-splicing, *lacZ* target pre-mRNA and PTM2 were transfected into 293 T cells. Following transfection, total RNA was analyzed using RT-PCR. The following primers were used in the PCR reactions: *lacZ*-TR1 (*lacZ* 5' exon specific) and HCGR2 (β HCGR exon 2 specific). The RT PCR reaction produced the expected 195 bp *trans*-spliced product (Fig. 11, lanes 2 and 3) demonstrating efficient *trans*-splicing between the *lacZ* target pre-mRNA and PTM 2. Lane 1 represents the control, which does not contain PTM 2.

The efficiency of the *trans*-splicing was also measured by staining for β -galactosidase enzyme activity. To assay for *trans*-splicing, 293T cells were co-transfected with *lacZ* target pre-mRNA and PTM 2. 24 hours post-transfection, cells were assayed for β -galactosidase activity. If there is efficient *trans*-splicing between the target pre-mRNA and the PTM, a chimeric mRNA is produced consisting of the 5' fragment of the *lacZ* target pre-mRNA and β HCG6 exon 2 is formed which is incapable of coding for an active β -galactosidase. Results from the co-transfection experiments demonstrated that *trans*-splicing of PTM2 into *lacZ* target 1 resulted in the reduction of β -galactosidase activity by 10-15% compared to the control.

To further confirm that *trans*-splicing between the *lacZ* target pre-mRNA and PTM2 is accurate, RT-PCR was performed using 5' biotinylated *lacZ*-TR1 and non-biotinylated HCGR2 primers. Single stranded DNA was isolated and sequenced directly using HCGR2 primer (HCG exon 2 specific primer). As

evidenced by the sequence of the splice junction, *trans*-splicing occurred exactly as predicted between the splice sites (Fig. 12A and 12B), confirming that a conventional pre-mRNA can be invaded by an engineered PTM during splicing, and moreover, that this reaction is precise.

8. EXAMPLE: CORRECTION OF THE CYSTIC FIBROSIS
TRANSMEMBRANE REGULATOR GENE

Cystic fibrosis (CF) is one of the most common genetic diseases in the world. The gene associated with CF has been isolated and its protein product deduced (Kerem, B.S. et al., 1989, Science 245:1073-1080; Riordan et al., 1989, Science 245:1066-1073; Rommans, et al., 1989, Science 245:1059-1065). The protein product of the CF associated gene is referred to as the cystic fibrosis trans-membrane conductance regulator (CFTR). The most common disease-causing mutation which accounts for ~70% of all mutant alleles is a deletion of three nucleotides in exon 10 that encode for a phenylalanine at position 508 ($\Delta F508$). The following section describes the successful repair of the cystic fibrosis gene using spliceosome mediated *trans*-splicing and demonstrates the feasibility of repairing CFTR in a model system.

8.1 MATERIALS AND METHODS

8.1.1 PRE-TRANS-SPLICING MOLECULE

The CFTR pre-trans-splicing molecule (PTM) consists of a 23 nucleotide binding domain complimentary to CFTR intron 9 (3' end, -13 to -31), a 30 nucleotide spacer region (to allow efficient binding of spliceosomal components), branch point (BP) sequence, polypyrimidine tract (PPT) and an AG dinucleotide at the 3' splice site immediately upstream of the sequence encoding CFTR exon 10 (wild type sequence containing F508). This initial PTM was designed for maximal activity in order to demonstrate *trans*-splicing; therefore the PTM included a UACUAAC yeast consensus BP sequence and an extensive PPT. An 18-nucleotide HIS tag (6 histamine codons) was included after wild type exon 10 coding sequence to allow specific amplification and isolation of the *trans*-spliced products and not the endogenous CFTR. The oligonucleotides used to generate the two fragments

ForwardCF5

ACCT CTGCAG ACT TCA CTT CTA ATG ATG AT

PstI. Exon 10 CFTR, +1 to +24

ReverseCF6ACCT GCGGCCGC CTA ATG ATG ATG ATG ATG ATG CTC TTC TAG
TTG GCA TGC

NotI. Stop Polyhistamine tag Exon 10 CFTR, +15 to +132

The following nucleotides were used to create the CFTR TARGET
pre-mRNA mini gene (Exon 9 + mini-Intron 9 + Exon 10 + 5' end Intron 10):

10

ForwardCF18

GACCT CTCGAG GGA TTT GGG GAA TTA TTT GAG

XhoI Exon 9 CFTR, 1 to 21.

ReverseCF19

CTGACCT GCGGCCGC TAC AGT GTT GAA TGT GGT GC

NotI. Intron 9 5' end.

15

ForwardCF20

CTGACCT GCGGCCGC CCA ACT ATC TGA ATC ATG TG

NotI. Intron 9 3' end.

ReverseCF21

GACCT CTTAAG TAG ACT AAC CGA TTG AAT ATG

AflII Intron 10 5' end.

20

The following oligonucleotides were used for detection of trans-
spliced products:

ReverseBio-His

CTA ATG ATG ATG ATG ATG ATG

Stop. Polyhistidine tag (5' biotin label).

25

included unique restriction sites. (ApaI and PstI, and PstI and NotI, respectively) to facilitate directed cloning of amplified DNA into the mammalian expression vector pcDNA3.1.

8.1.2. THE TARGET CFTR PRE-mRNA MINI- GENE

The CFTR mini-gene target is shown in Figure 13 and consists of CFTR exon 9 ; the functional 5' and 3' regions of intron 9 (260 and 265 nucleotides from each end, respectively); exon 10 [ΔF508]; and the 5' region of intron 10 (96 nucleotides). In addition, as depicted in Figure 16, a mini-target gene comprising CFTR exons 1-9 and 10-24 can be used to test the use of spliceosome mediated *trans*-splicing for correction of the cystic fibrosis mutation. Figure 17, shows a double splicing PTM that may also be used for correction of the cystic fibrosis mutation. As shown, the double splicing PTM contains CFTR BD intron 9, a spacer, a branch point, a polypyrimidine tract, a 3' splice site, CFTR exon 10, a spacer, a branch point, a polypyrimidine tract, a 5' splice site and CFTR BD exon 10.

8.1.3 OLIGONUCLEOTIDES

The following oligonucleotides were used to create CFTR PTM:

| | | |
|----|----------------|---|
| 20 | <u>Forward</u> | <u>CF3</u> |
| | | ACCT GGGCCC ACC CAT TAT TAG GTC ATT AT CCGCGG AAC ATT ATA |
| | | ApaI site. Intron 9 CFTR, -12 to -34. |
| | <u>Reverse</u> | <u>CF4</u> |
| | | ACCT <u>CTGCAGGTGACC</u> CTG CAG GAA AAA AAA GAA G |
| 25 | | PstI. BstEI. PPT. |

Reverse Bio-His(2)

CGC CTA ATG ATG ATG ATG ATG

3' UT Stop. Polyhistidine tag (5' biotin label).

Forward CF8

5 CTT CTT GGT ACT CCT GTC CTG

Exon 9 CFTR.

Forward CF18

GACCT CTCGAG GGA TTT GGG GAA TTA TTT GAG

XhoI. Exon 9 CFTR.

10 Reverse CF28

AAC TAG AAG GCA CAG TCG AGG

Pc3.1 vector sequence (present in PTM 3' UT but not target).

8.2. RESULTS

The PTM and target pre-mRNA were co-transfected in 293 embryonic
 15 kidney cells using lipofectamine (Life Technologies, Gaithersburg, MD). Cells were
 harvested 24 h post transfection and RNA was isolated. Using PTM and target-
 specific primers in RT-PCR reactions, a *trans*-spliced product was detected in which
 mutant exon 10 of the target pre-mRNA was replaced by the wild type exon 10 of the
 PTM (Figure 14). Sequence analysis of the *trans*-spliced product confirmed the
 20 restoration of the three nucleotide deletion and that splicing was accurate, occurring at
 the predicted splice sites (Figure 15), demonstrating for the first time RNA repair of
 the cystic fibrosis gene, CFTR.

The present invention is not to be limited in scope by the specific
 embodiments described herein. Indeed, various modifications of the invention in
 25 addition to those described herein will become apparent to those skilled in the art
 from the foregoing description and accompanying Figures. Such modifications are

intended to fall within the scope of the appended claims. Various references are cited herein, the disclosure of which are incorporated by reference in their entireties.

CLAIMS

1. A *pre-trans*-splicing molecule comprising:
 - a) one or more target binding domains that target binding of the *pre-trans*-splicing molecule to a target pre-mRNA;
 - b) a 3' splice region comprising a branch point, a pyrimidine tract and a 3' splice acceptor site;
 - c) a spacer region that separates the mRNA splice region from the target binding domain; and
 - d) a nucleotide sequence to be trans-spliced to the target pre-mRNA.
2. A *pre-trans*-splicing molecule comprising:
 - a) one or more target binding domains that target binding of the *pre-trans*-splicing molecule to a target pre-mRNA;
 - b) a 3' splice region comprising a branch point, a pyrimidine tract and a 3' splice acceptor site and or a 5' splice donor site;
 - c) a spacer region that separates the splice region from the target binding domain; and
 - d) a nucleotide sequence to be trans-spliced to the target pre-mRNA.
3. The molecule of claim 1 or 2 further comprising nucleotide sequences encoding the cystic fibrosis trans-membrane regulator.
4. The molecule of claim 1 or 2 further comprising exon 10 cystic fibrosis trans-membrane regulator nucleotide sequences.

5. An isolated nucleic acid molecule comprising a nucleotide sequence encoding a *pre-trans*-splicing molecule.
6. A recombinant vector expressing a nucleotide sequence encoding a *pre-trans*-splicing molecule.
- 5 7. The molecule of claim 1 further comprising a safety nucleotide sequence comprising one or more complementary sequences that bind to one or more sides of the *pre-trans*-splicing molecule branch point, pyrimidine tract, or 3' splice site.
- 10 8. A method of producing a chimeric mRNA molecule comprising contacting a *pre-trans*-splicing molecule with a target pre-mRNA under conditions in which a portion of the *pre-trans*-splicing molecule is *trans*-spliced to a portion of the target pre-mRNA to form said chimeric mRNA.
- 15 9. The method of claim 8 wherein the *pre-trans*-splicing mRNA comprises nucleotide sequences encoding the cystic fibrosis trans-membrane regulator.
- 20 10. The method of claim 8 wherein the *pre-trans*-splicing mRNA comprises exon 10 cystic fibrosis trans-membrane regulator nucleotide sequences.
- 25 11. A method of providing a host cell with a chimeric mRNA molecule, said method comprising:
transferring a *pre-trans*-splicing molecule to a host cell
expressing a target pre-mRNA wherein the *pre-trans*-splicing molecule binds to the target pre-mRNA under conditions in

which a portion of the *pre-trans*-splicing molecule is *trans*-spliced to a portion of the target pre-mRNA to form a chimeric mRNA molecule.

12. The method of claim 11 wherein the host cell is a human cell.

5

13. The method of claim 12 wherein the *pre-trans*-splicing molecule comprises nucleotide sequences encoding a protein that is defective or lacking in the host cell.

10

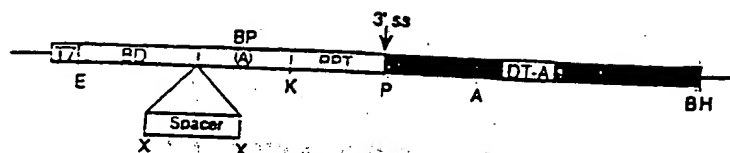
14. The method of claim 13 wherein the *pre-trans*-splicing molecule comprises nucleotide sequences encoding a fragment of the cystic fibrosis trans-membrane regulator protein.

15.. A pharmaceutical composition comprising a *pre-trans*-splicing molecule and a pharmaceutically acceptable carrier.

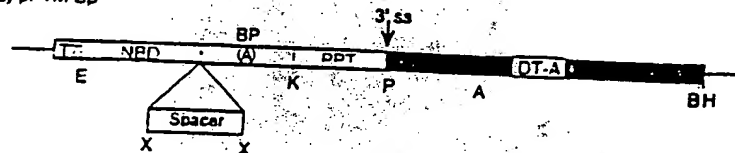
FIGURE 1A



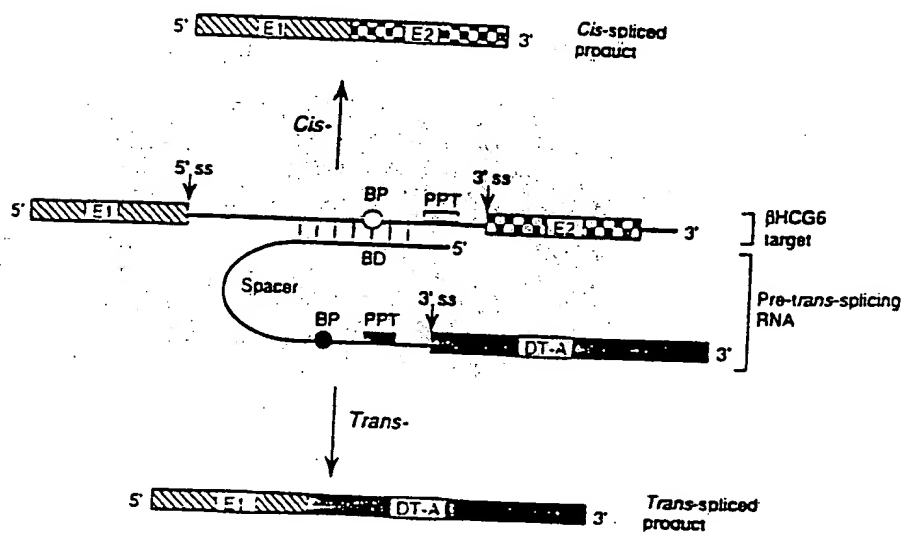
(B) (1) pPTM+Sp

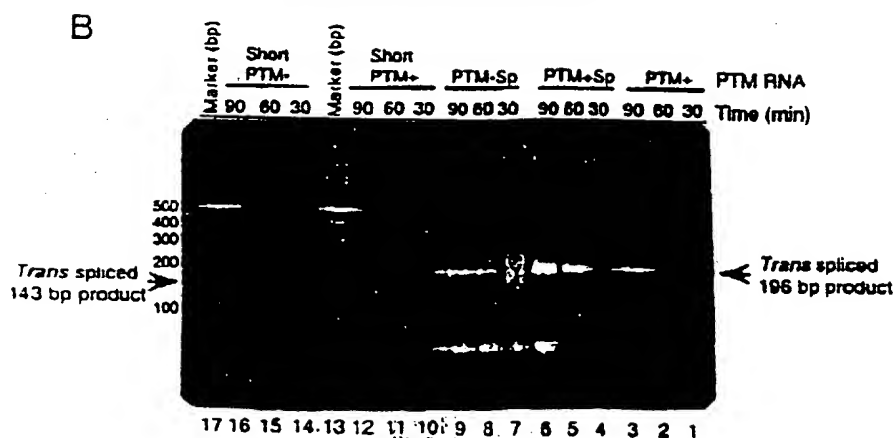
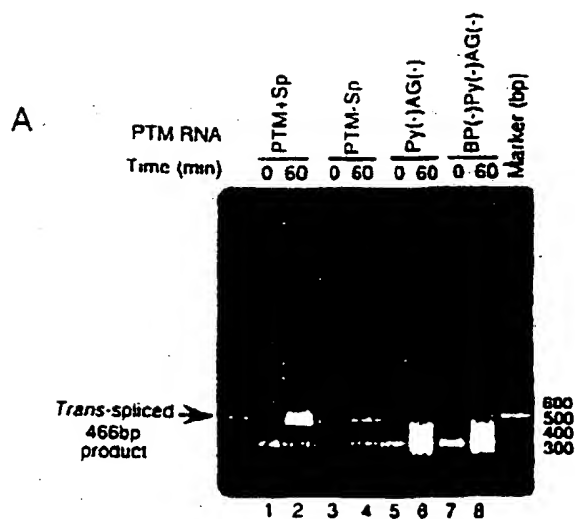


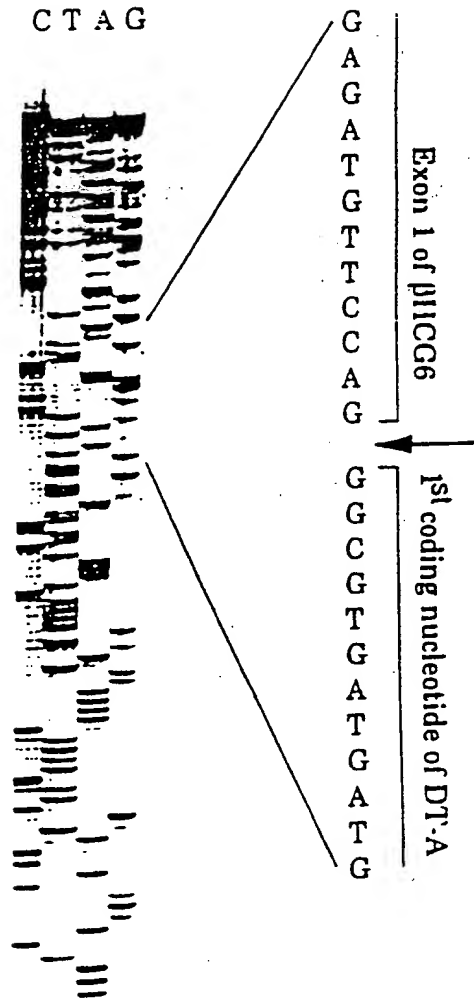
(2) pPTM-Sp



(C)

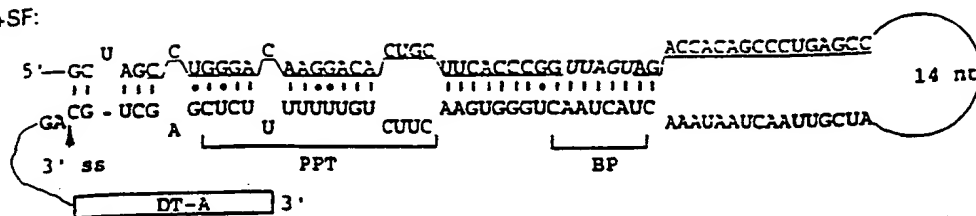




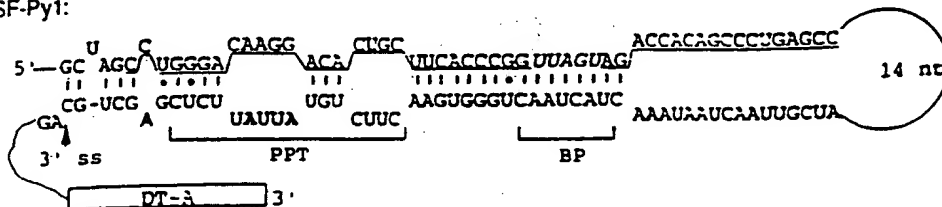


(A)

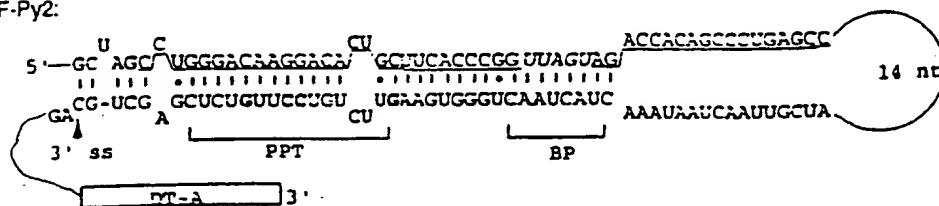
1. PTM+SF:



2. PTM+SF-Py1:



3. PTM+SF-Py2:



(B)

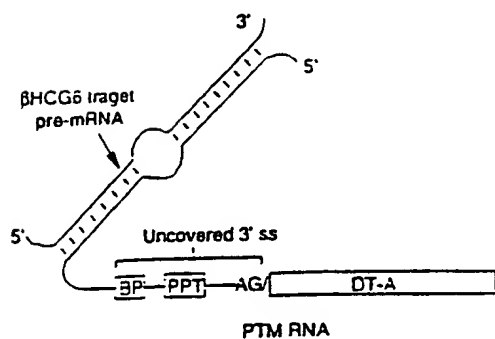
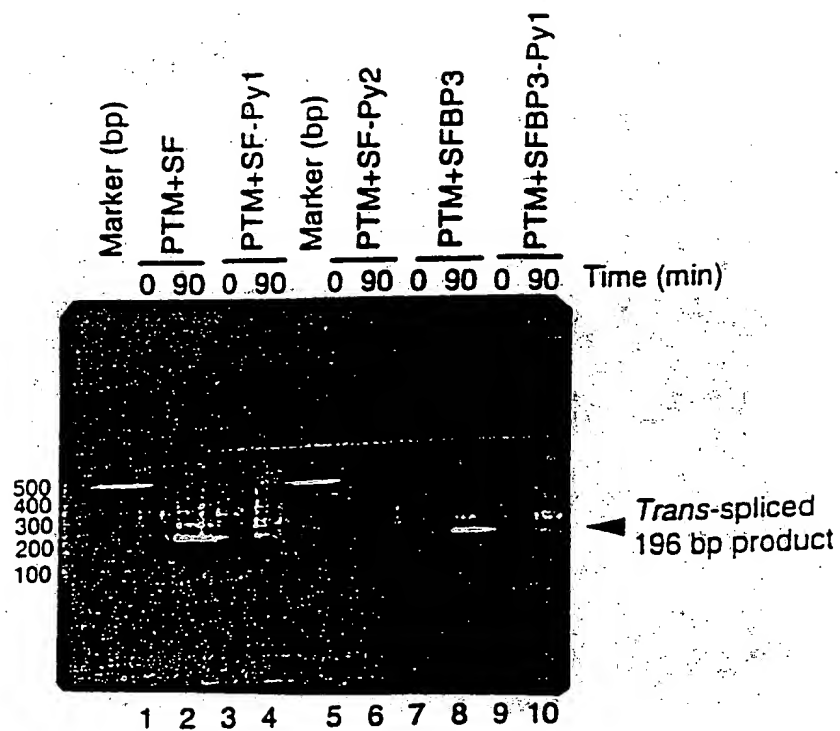
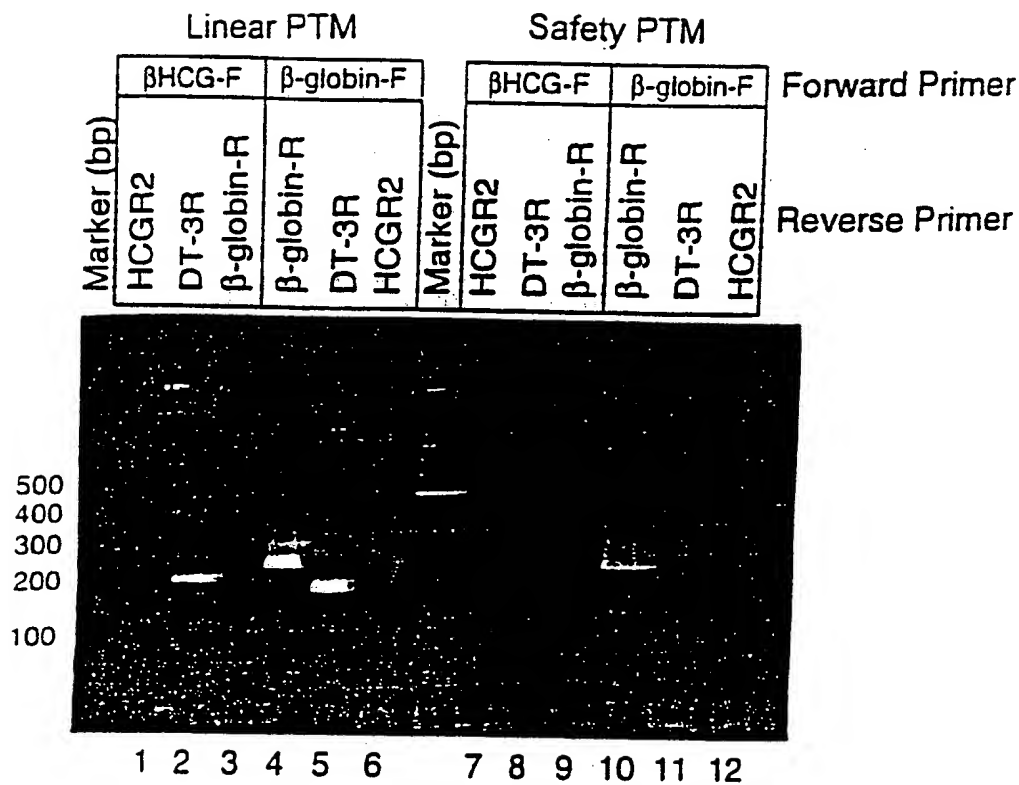


Figure 4

(C)





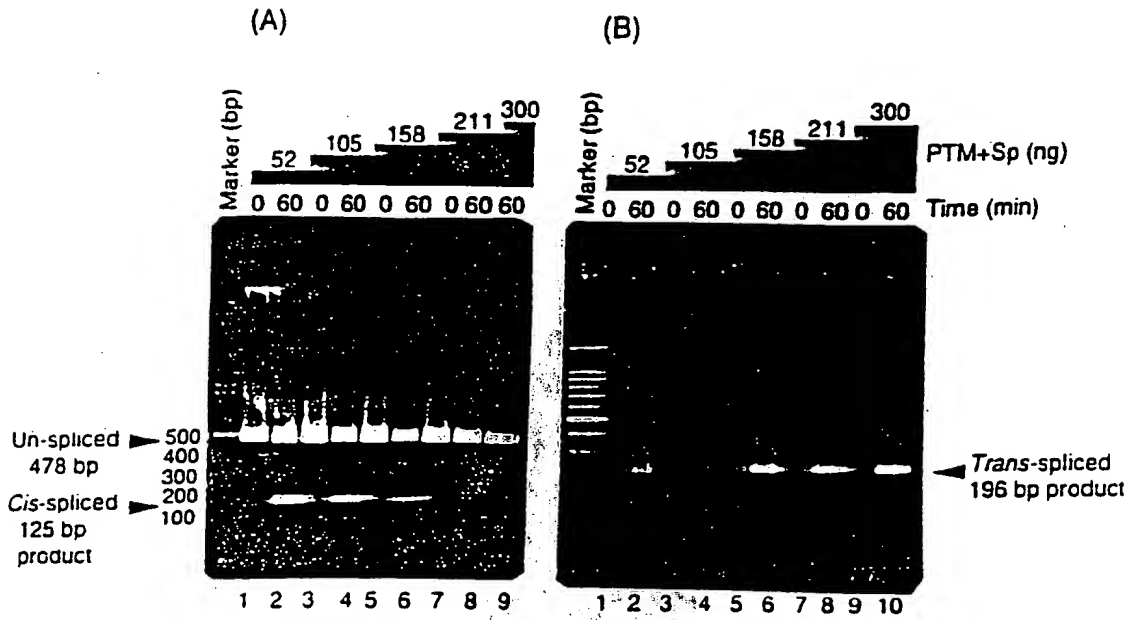
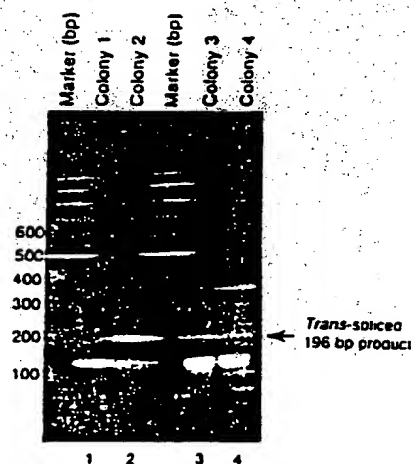


Figure 7

(A)



(B)

Exon 1 of β HCG6 ↓
 5'-CAGGGGACGCACCAAGGATGGAGATGTTCCAG-GGCGCTGATGATGTTT-
 ↑ 1st coding nucleotide of DT-A
 GATTCCTTTTAAATCTTTTGTGATGGAAAACCTTTCTTCGTACCACGGGACTA
 AACCTGGTTATGTAGATTCATTCAAAA-3'

Double Splicing Pre-therapeutic RNA

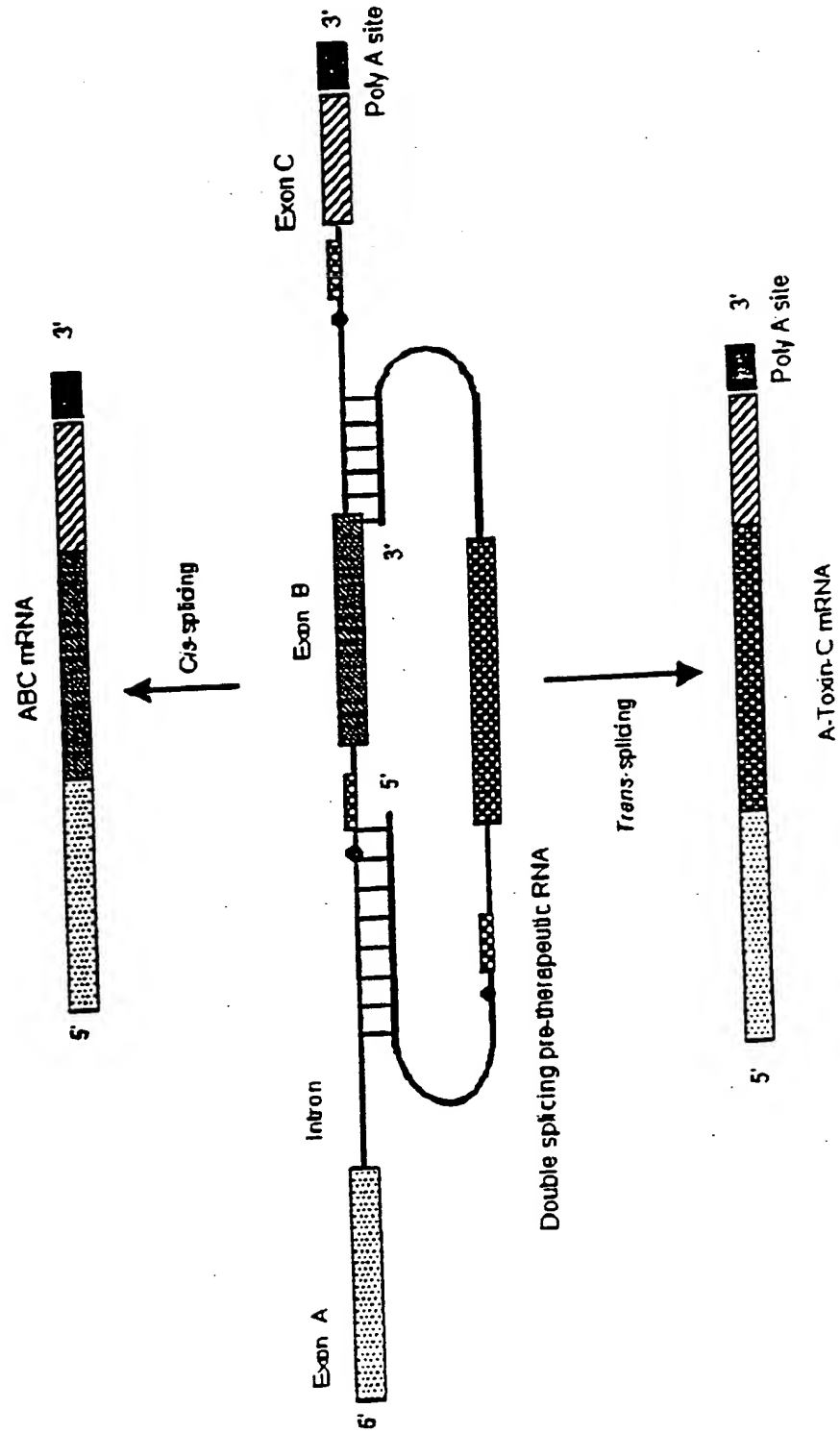
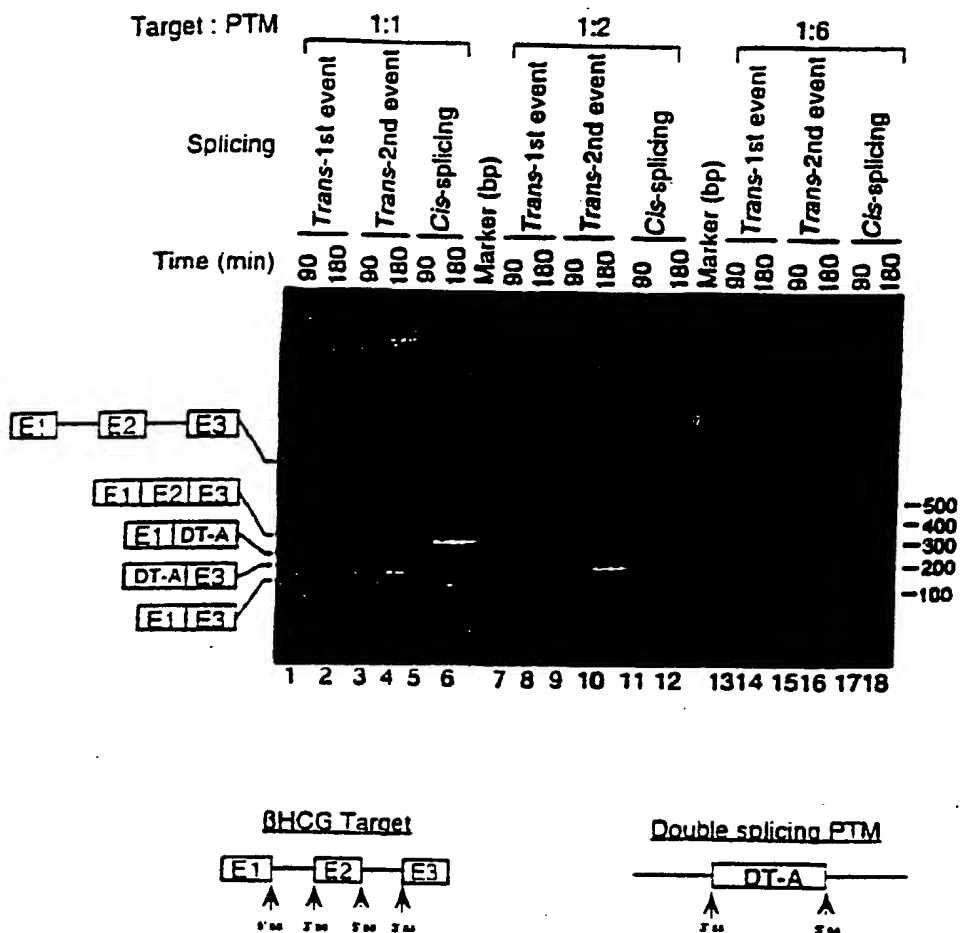


Figure 8A

Selective *Trans*-splicing of a Double Splicing PTM

(3' ss of PTM to 5' ss target and, 5' ss of PTM to 3' ss of target)



Cis-spliced products

E1-E2-E3 = Normal *cis*-splicing (277bp)

E1-E3 = Exon skipping (110bp)

Trans-spliced products

E1-DT-A = 1st event, 196bp. *Trans*-splicing between 5' ss of target & 3' ss of PTM.

DT-A-E3 = 2nd event, 161bp. *Trans*-splicing between 3' ss of target & 5' ss of PTM.

Figure 8B

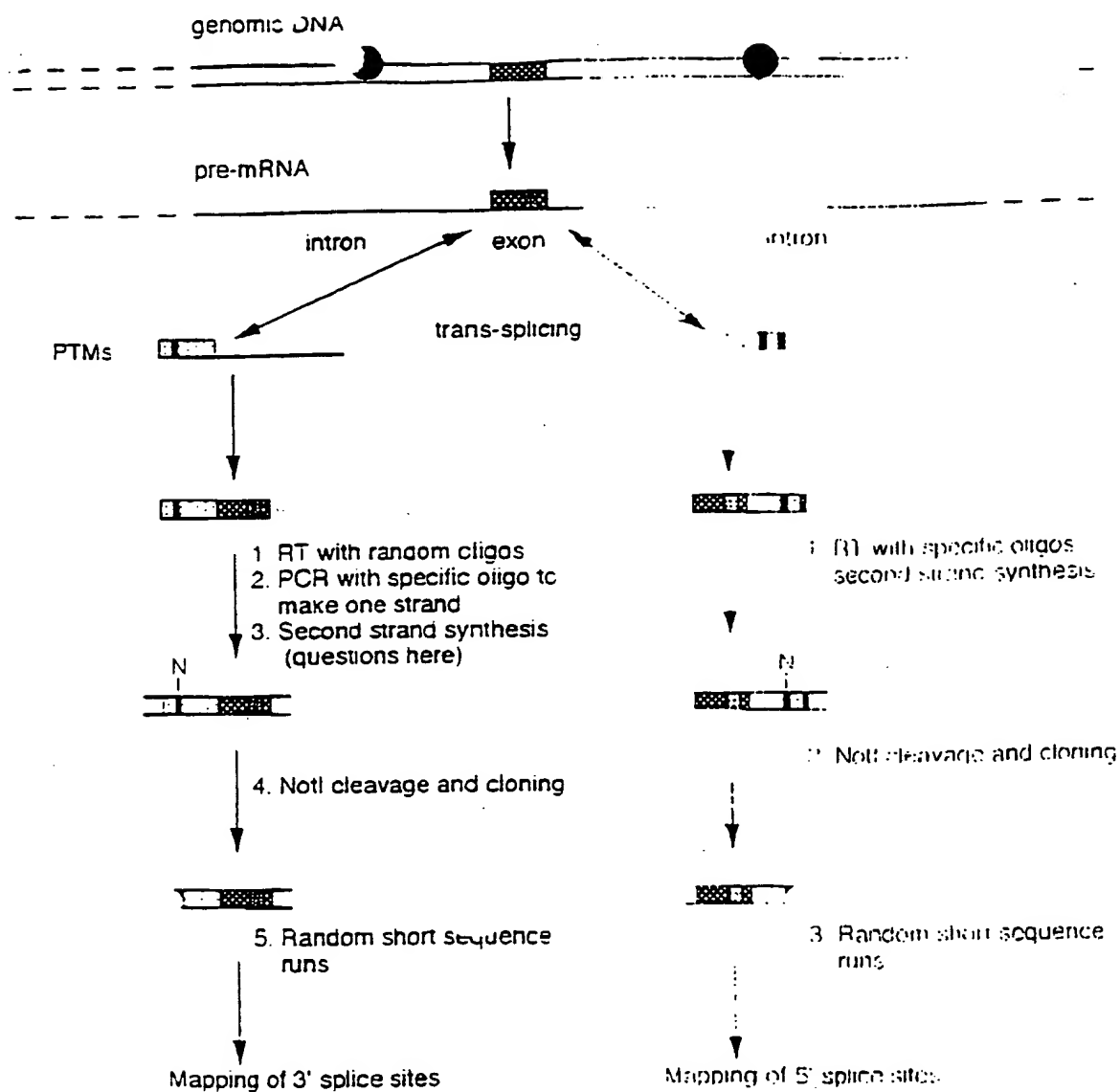


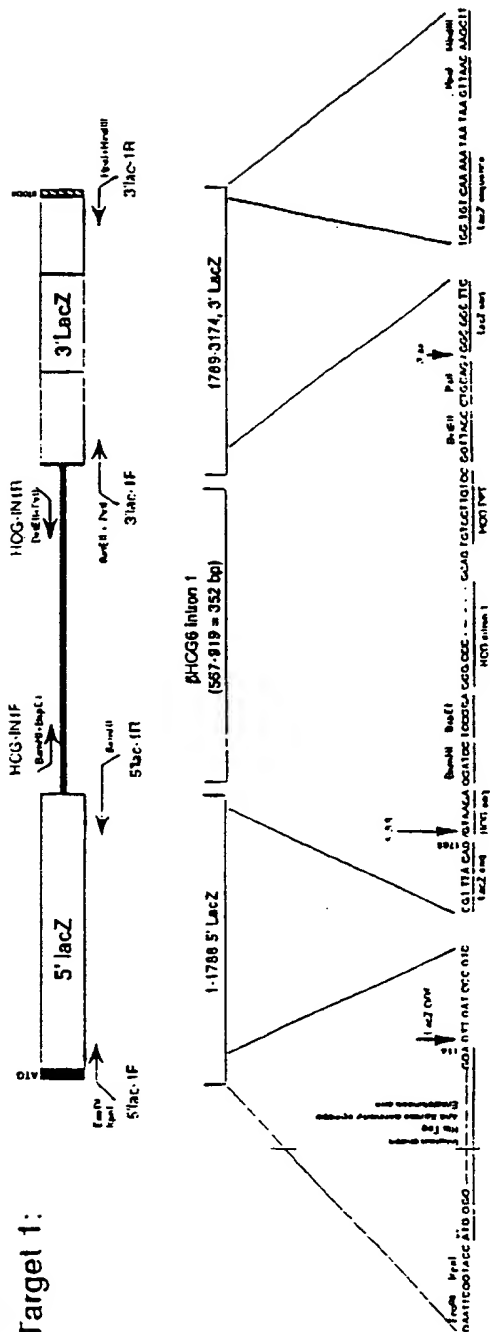
FIGURE 9

FIG. 10 A

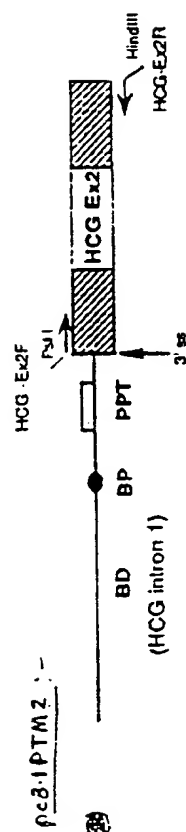
Knock Out
LacZ ~~Reporter~~ Model Constructs

pC3-1(Lac-T)

Target 1:



PTMS



Restoration of β -Gal activity by SMaRT (Spliceosome Mediated RNA *Trans*-splicing)

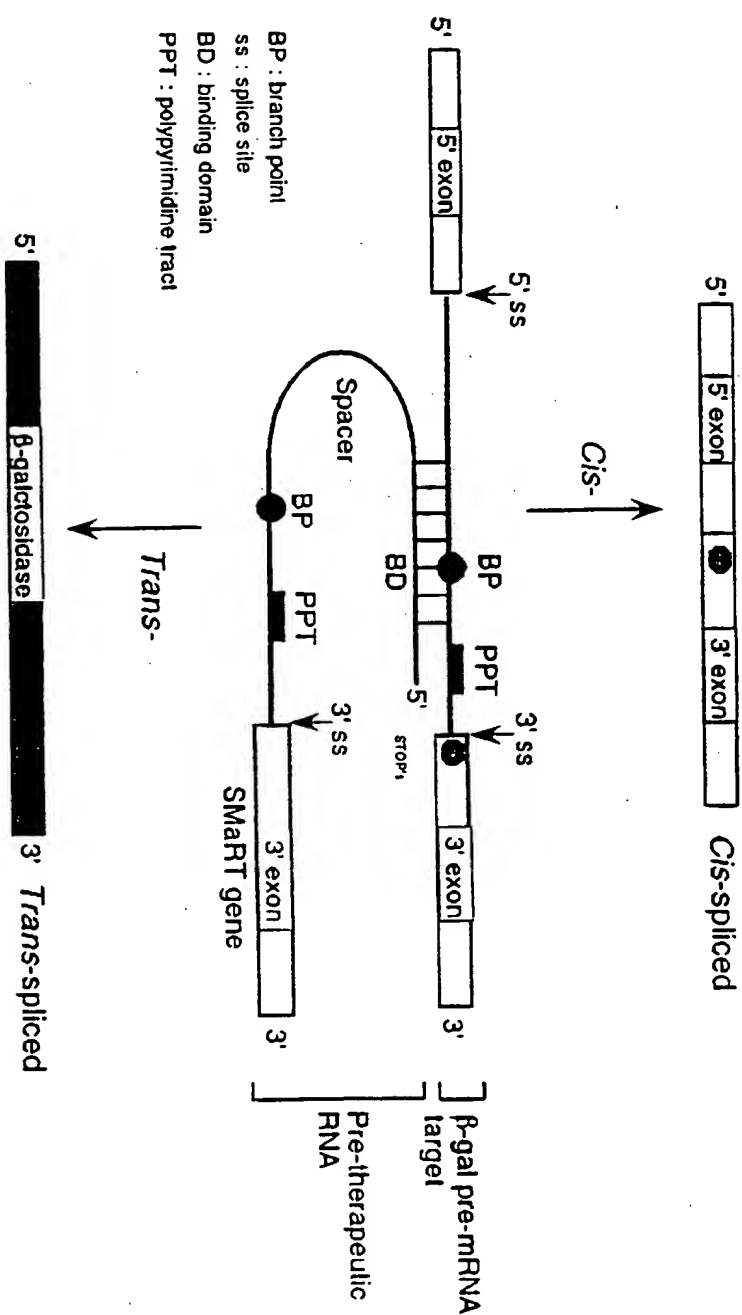


Figure 10B

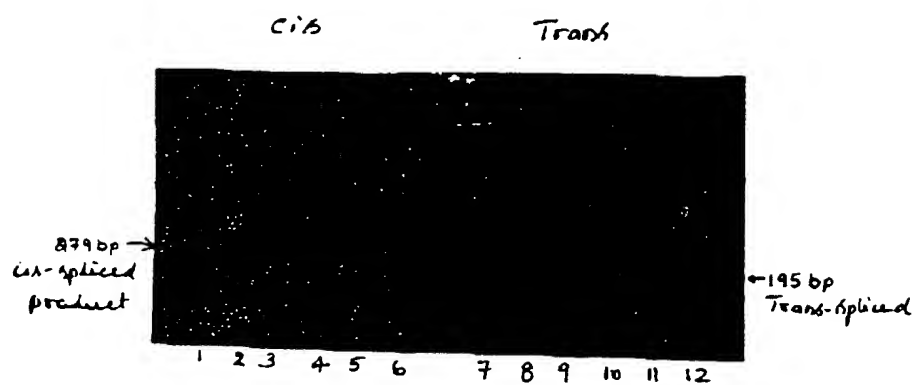


FIGURE 11A

Figure 11 B

FIGURE 11C

Nucleotide Sequence Demonstrating that
Trans-splicing is Accurate

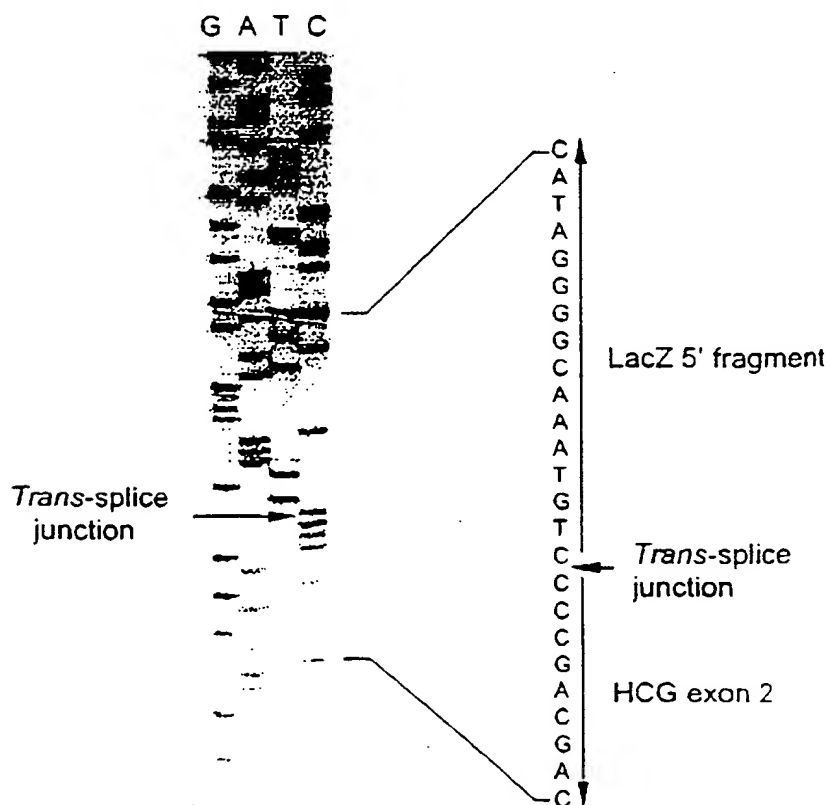


FIGURE 12A

(1) Nucleotide sequences of the cis-spliced product (285 bp) :

BioLac-TR1

GGCTTTCGCTACCTGGAGAGACGCGCCCGCTGATCCTTTGCGAATACGCCCACGCGATGGGTAACAGTCTTG

Splice junction

CGGTTTCGCTAAATACTGGCAGGCGTTTCGTCAGTATCCCGTTTACAG/GGCGGCTTCGTCTAATAATG

GGACTGGGTGGATCAGTCGCTGATTAAATATGATGAAAACGGCAACCCGTGGTCGGCTTACGGCGGTGATTT

Lac-TR2

TGGCGATACGCCGAACGATCGCCAGTTCTGTATGAACGGTCTGGTCTTTGCCGACCGCACGCCGATCCAG(2) Nucleotide sequences of the trans-spliced product (195 bp)

BioLac-TR1

GGCTTTCGCTACCTGGAGAGACGCGCCCGCTGATCCTTTGCGAATACGCCCACGCGATGGGTAACAGTCTTG

Splice junction

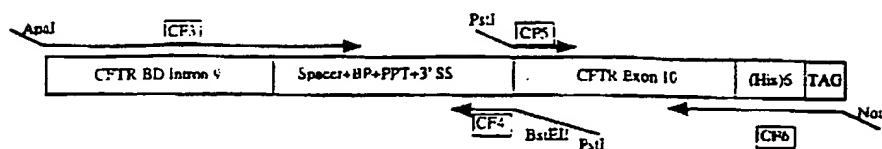
CGGTTTCGCTAAATACTGGCAGGCGTTTCGTCAGTATCCCGTTTACAG/GGGCTGCTGCTGTTGCTGCTGCT

HCGR2

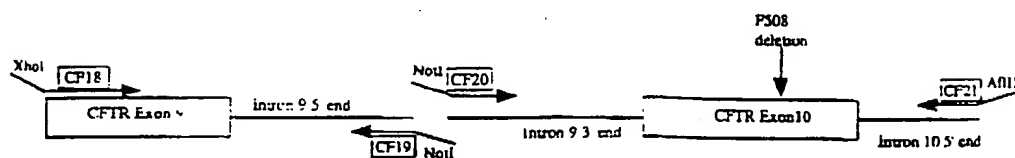
GAGCATGGGCGGGACATGGGCATCCAAGGAGCCCACTTCGGCCACGGTGCCG

Figure 12 B

CFTR Pre-therapeutic molecule (PTM or "bullet")



CFTR mini-gene target - Construction



TRANS-SPLICING Reagent

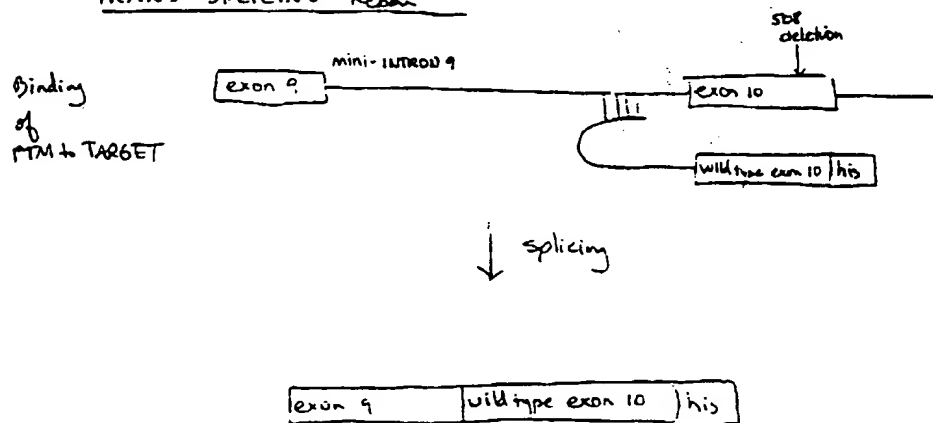
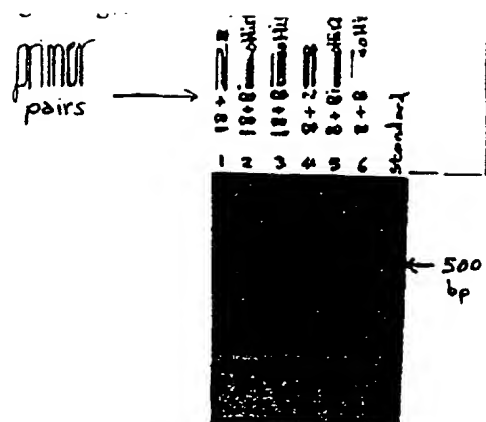


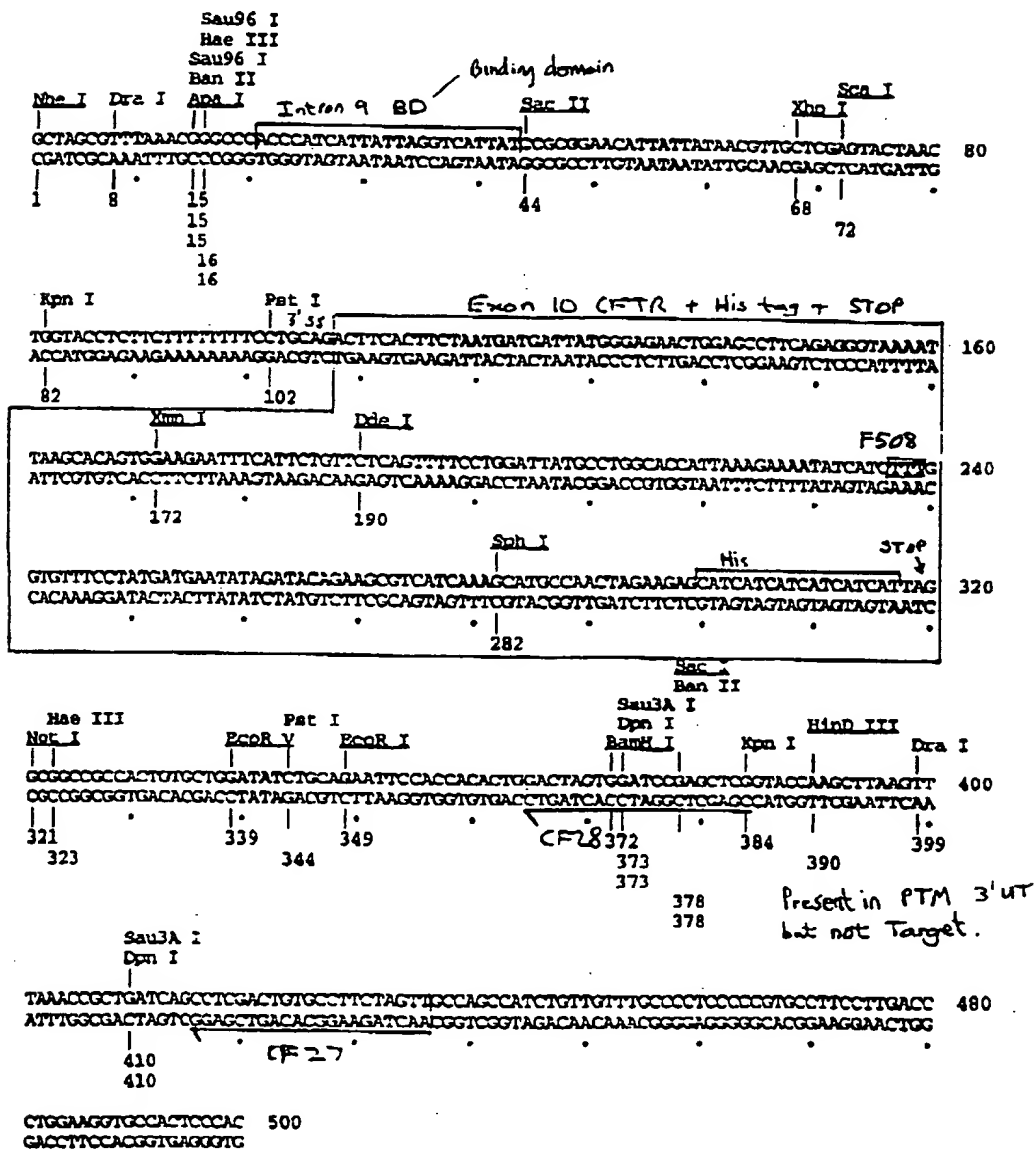
Figure 13

Figure 14



DNA sequence 500 b.p. GCTAGCGTTTAA ... TGCCACTCCAC linear

Positions of Restriction Endonucleases sites (unique sites underlined)

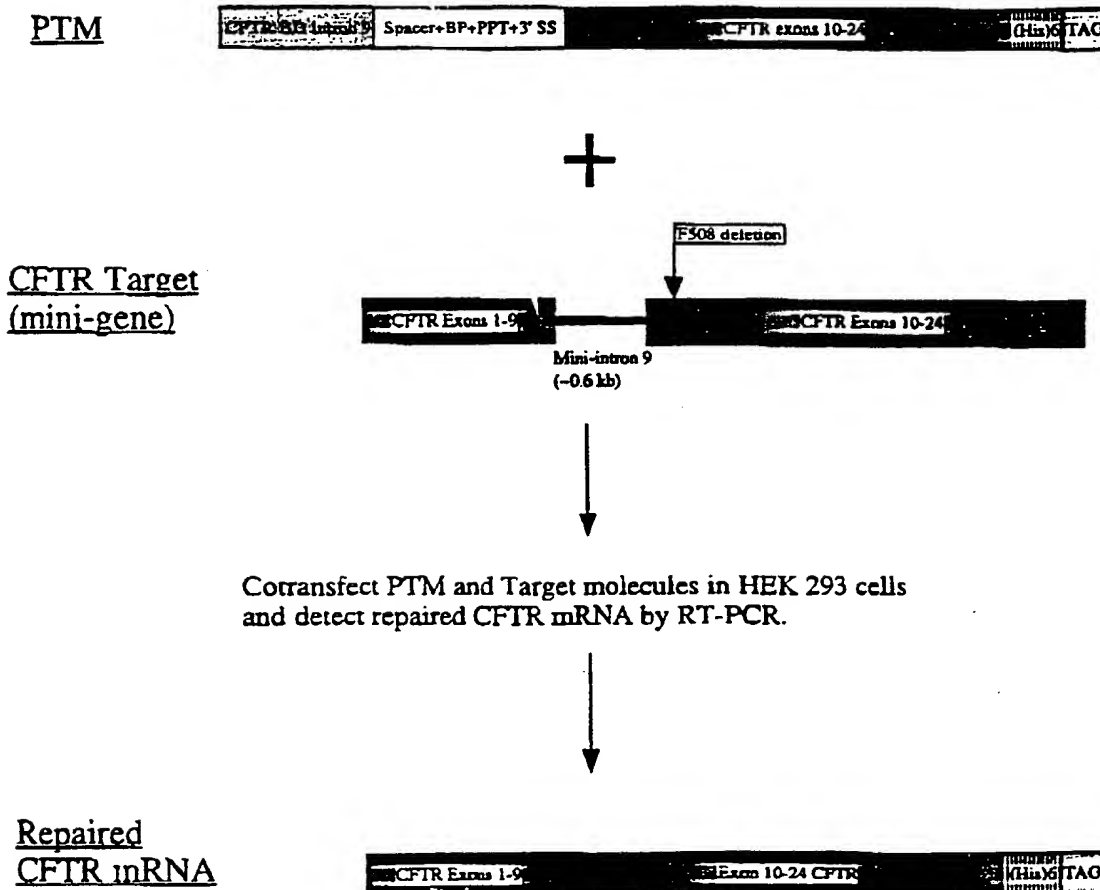


Restriction Endonucleases site usage

| | | | | | | | |
|--------|---|----------|---|--------|---|---------|---|
| Acc I | - | EcoR I | 1 | Nde I | - | Sau96 I | 2 |
| Apa I | 1 | EcoR V | 1 | Nhe I | 1 | Sca I | 1 |
| Apal I | - | Hae II | - | Not I | 1 | Sma I | - |
| Avr II | - | Hae III | 2 | Pflm I | - | Sph I | 1 |
| BamH I | 1 | Hinc II | - | Pst I | 2 | Spl I | - |
| Ban II | 2 | Hind III | 1 | Pvu I | - | Ssp I | - |
| Ebe I | - | Hinf I | - | Pvu II | - | Stu I | - |

EXPERIMENT 2

Repair of an exogenously supplied CFTR target molecule carrying an F508 deletion in exon 10.



EXPERIMENT 3

Repair of endogenous CFTR transcripts by exon 10 invasion using a double splicing PTM

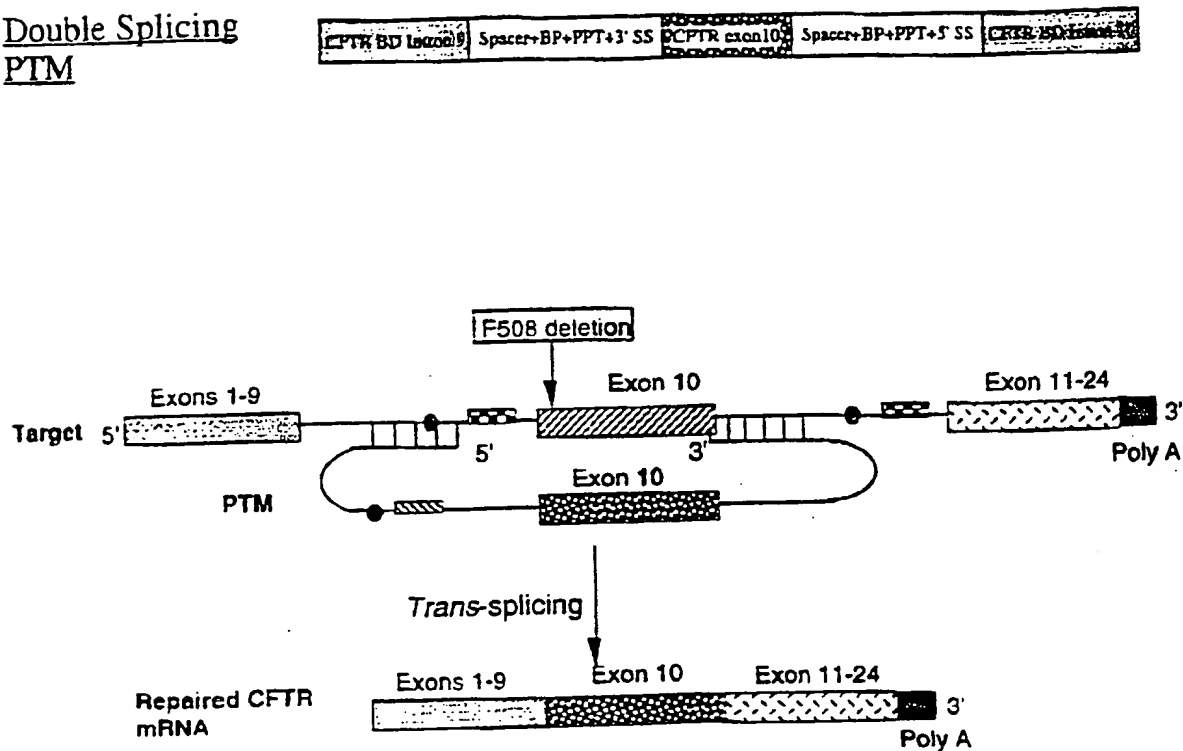
Double Splicing PTM

Figure 17

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

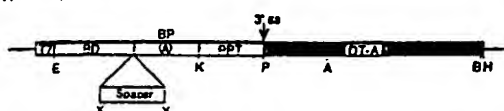
| | | | |
|--|--|--|--|
| (51) International Patent Classification ⁷ : C12N 15/90, A61K 48/00, C12N 15/11 // A61P 11/00 | | A3 | (11) International Publication Number: WO 00/09734 |
| | | | (43) International Publication Date: 24 February 2000 (24.02.00) |
| (21) International Application Number: PCT/US99/18371 | | (81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG). | |
| (22) International Filing Date: 12 August 1999 (12.08.99) | | Published With international search report. | |
| (30) Priority Data: 09/133,717 13 August 1998 (13.08.98) US 09/158,863 23 September 1998 (23.09.98) US | | (88) Date of publication of the international search report: 18 May 2000 (18.05.00) | |
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| (72) Inventors: MITCHELL, Lloyd, G.; 4500 Highgate Drive, Durham, NC 27713 (US). GARCIA-BLANCO, Mariano, A.; 12 Sanderling Court, Durham, NC 27713 (US). | | | |
| (74) Agents: SEIDE, Rochelle, K. et al.; Baker & Botts, LLP, 30 Rockefeller Plaza, New York, NY 10112-0228 (US). | | | |

(54) Title: METHODS AND COMPOSITIONS FOR USE IN SPLICEOSOME MEDIATED RNA TRANS-SPLICING

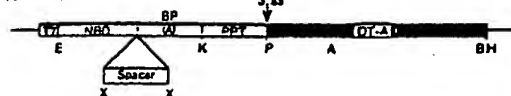
(57) Abstract

The molecules and methods of the present invention provide a means for *in vivo* production of a trans-spliced molecule in a selected subset of cells. The pre-trans-splicing molecules of the invention are substrates for a trans-splicing reaction between the pre-trans-splicing molecules and a pre-mRNA which is uniquely expressed in the specific target cells. The *in vivo* trans-splicing reaction provides a novel mRNA which is functional as mRNA or encodes a protein to be expressed in the target cells. The expression product of the mRNA is a protein of therapeutic value to the cell or host organism a toxin which causes killing of the specific cells or a novel protein not normally present in such cells. The invention further provides PTMs that have been genetically engineered for the identification of exon/intron boundaries of pre-mRNA molecules using an exon tagging method. The PTMs of the invention can also be designed to result in the production of chimeric RNA encoding for peptide affinity purification tags which can be used to purify and identify proteins expressed in a specific cell type.

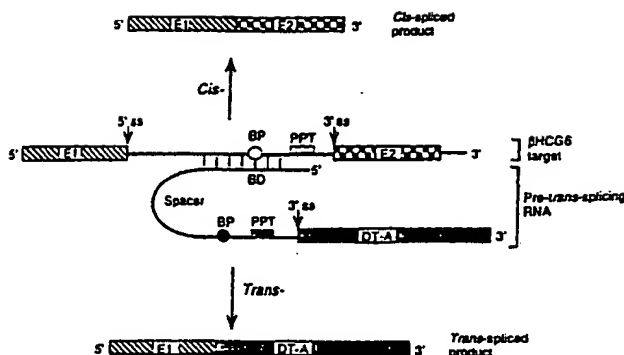
(B) (1) pPTM+Sp



(2) pPTM+Sp



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INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 99/18371

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 C12N15/90 A61K48/00 C12N15/11 //A61P11/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C07K C12N A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category * | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|------------|---|-----------------------|
| X | WO 97 22250 A (INTRONN LLC ;MITCHELL LLOYD G (US)) 26 June 1997 (1997-06-26) the whole document | 1,2,5-8, 11-13,15 |
| Y | | 3,4,9, 10,14 |
| X | ANDERSON K. & MOORE M.J.: "Bimolecular exon ligation by the human spliceosome" SCIENCE, vol. 276, no. 5319, 13 June 1997 (1997-06-13), pages 1712-1716, XP002130563 the whole document | 1,2,5-8, 11-13,15 |
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Date of the actual completion of the international search

15 February 2000

Date of mailing of the international search report

02/03/2000

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Gall, I

INTERNATIONAL SEARCH REPORT

International Application No
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| C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT | | |
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| Category * | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
| P,X | <p>PUTTARAJU M. ET AL.: "Spliceosome-mediated RNA trans-splicing as a tool for gene therapy" NATURE BIOTECHNOL., vol. 17, no. 3, March 1999 (1999-03), pages 246-252, XP002130564 the whole document</p> | 1,2,5-8, 11-13,15 |
| P,Y | <p>MANSFIELD G. ET AL.: "Repair of the cystic fibrosis transmembrane conductance regulator mRNA using spliceosome mediated targeted trans-splicing". Keystone Symosium on Molecular and Cellular Biology of Gene Therapy Abstract 319, presented as a "Late Breaking Science" short talk January 17, 1999 XP002130566 abstract</p> | 3,4,9, 10,14 |
| A | <p>MELO C.A. ET AL.: "Alternative splicing of a previously unidentified CFTR exon introduces an in-frame stop codon 5' of the R region." FEBS LETTERS, vol. 329, no. 1-2, August 1993 (1993-08), pages 159-162, XP002130565 the whole document</p> | 1-15 |
| A | <p>WOAN-YUH T ET AL: "Pre-mRNA splicing: the discovery of a new spliceosome doubles the challenge" TIBS TRENDS IN BIOCHEMICAL SCIENCES,EN,ELSEVIER PUBLICATION, CAMBRIDGE, vol. 22, no. 4, 1 April 1997 (1997-04-01), pages 132-137, XP004058547 ISSN: 0968-0004</p> | 1-15 |

INTERNATIONAL SEARCH REPORT

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Initial Application No

PCT/US 99/18371

| Patent document cited in search report | Publication date | Patent family member(s) | Publication date |
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INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 99/18371

Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:
Remark: Although claims 11-14 as far as methods in vivo are envisaged, are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2. ☐ Claims Nos.:
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)

This International Searching Authority found multiple inventions in this International application, as follows:

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2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.



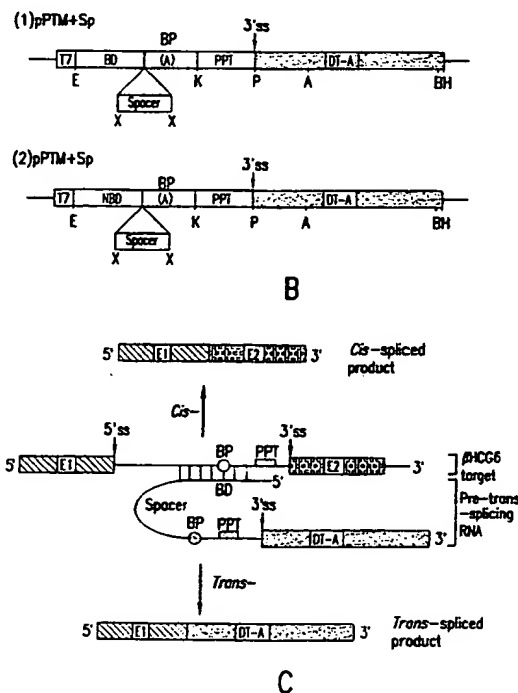
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| (51) International Patent Classification 7 : C12N 15/90, A61K 48/00, C12N 15/11 // A61P 11/00 | | (11) International Publication Number: WO 00/09734 |
| A3 | | (43) International Publication Date: 24 February 2000 (24.02.00) |
| (21) International Application Number: PCT/US99/18371 | | (81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG). |
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(57) Abstract

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*(Referred to in PCT Gazette No. 28/2000, Section II)

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METHODS AND COMPOSITIONS FOR USE IN SPLICEOSOME MEDIATED RNA TRANS-SPLICING

SPECIFICATION

1. INTRODUCTION

5 The present invention provides methods and compositions for generating novel nucleic acid molecules through targeted spliceosomal *trans*-splicing. The compositions of the invention include *pre-trans*-splicing molecules (PTMs) designed to interact with a natural target precursor messenger RNA molecule (target pre-mRNA) and mediate a *trans*-splicing reaction resulting in the generation of a novel chimeric RNA molecule (chimeric RNA). The PTMs of the invention are genetically engineered so as to result in the production of a novel chimeric RNA which may itself perform a function, such as inhibiting the translation of the RNA, or that encodes a protein that complements a defective or inactive protein in a cell, or encodes a toxin which kills specific cells. Generally, the target pre-mRNA is chosen as a target because it is expressed within a specific cell type thus providing a means for targeting expression of the novel chimeric RNA to a selected cell type. The invention further relates to PTMs that have been genetically engineered for the identification of exon/intron boundaries of pre-mRNA molecules using an exon tagging method. In addition, PTMs can be designed to result in the production of chimeric RNA encoding for peptide affinity purification tags which can be used to purify and identify proteins expressed in a specific cell type. The methods of the invention encompass contacting the PTMs of the invention with a target pre-mRNA under conditions in which a portion of the PTM is *trans*-spliced to a portion of the target pre-mRNA to form a novel chimeric RNA molecule. The methods and compositions of the invention can be used in cellular gene regulation, gene repair and suicide gene therapy for treatment of proliferative disorders such as cancer or treatment of genetic, autoimmune or infectious diseases. The methods and

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compositions of the invention can also be used to map intron-exon boundaries and to identify novel proteins expressed in any given cell.

2. BACKGROUND OF THE INVENTION

DNA sequences in the chromosome are transcribed into pre-mRNAs which contain coding regions (exons) and generally also contain intervening non-coding regions (introns). Introns are removed from pre-mRNAs in a precise process called splicing (Chow *et al.*, 1977, Cell 12:1-8; and Berget, S.M. *et al.*, 1977, Proc. Natl. Acad. Sci. USA 74:3171-3175). Splicing takes place as a coordinated interaction of several small nuclear ribonucleoprotein particles (snRNP's) and many protein factors that assemble to form an enzymatic complex known as the spliceosome (Moore *et al.*, 1993, in The RNA World, R.F. Gestland and J.F. Atkins eds. (Cold Spring Harbor Laboratory Press, Cold Spring Harbor, N.Y.); Kramer, 1996, Annu. Rev. Biochem., 65:367-404; Staley and Guthrie, 1998, Cell 92:315-326).

Pre-mRNA splicing proceeds by a two-step mechanism. In the first step, the 5' splice site is cleaved, resulting in a "free" 5' exon and a lariat intermediate (Moore, M.J. and P.A. Sharp, 1993, Nature 365:364-368). In the second step, the 5' exon is ligated to the 3' exon with release of the intron as the lariat product. These steps are catalyzed in a complex of small nuclear ribonucleoproteins and proteins called the spliceosome.

The splicing reaction sites are defined by consensus sequences around the 5' and 3' splice sites. The 5' splice site consensus sequence is AG/GURAGU (where A=adenosine, U = uracil, G = guanine, C = cytosine, R = purine and / = the splice site). The 3' splice region consists of three separate sequence elements: the branch point or branch site, a polypyrimidine tract and the 3' splice consensus sequence (YAG). These elements loosely define a 3' splice region, which may encompass 100 nucleotides of the intron upstream of the 3' splice site. The branch point consensus sequence in mammals is YNYURAC (where N = any nucleotide, Y= pyrimidine). The underlined A is the site of branch formation (the BPA = branch point adenosine). The 3' splice consensus sequence is YAG/G. Between the branch point and the splice site there is usually found a polypyrimidine tract, which is

important in mammalian systems for efficient branch point utilization and 3' splice site recognition (Roscigno, R., F. *et al.*, 1993, J. Biol. Chem. 268:11222-11229). The first YAG trinucleotide downstream from the branch point and polypyrimidine tract is the most commonly used 3' splice site (Smith, C.W. *et al.*, 1989, Nature 342:243-247).

In most cases, the splicing reaction occurs within the same pre-mRNA molecule, which is termed *cis*-splicing. Splicing between two independently transcribed pre-mRNAs is termed *trans*-splicing). *Trans*-splicing was first discovered in trypanosomes (Sutton & Boothroyd, 1986, Cell 47:527; Murphy *et al.*, 1986, Cell 47:517) and subsequently in nematodes (Krause & Hirsh, 1987, Cell 49:753); flatworms (Rajkovic *et al.*, 1990, Proc. Nat'l. Acad. Sci. USA, 87:8879; Davis *et al.*, 1995, J. Biol. Chem. 270:21813) and in plant mitochondria (Malek *et al.*, 1997, Proc. Nat'l. Acad. Sci. USA 94:553). In the parasite *Trypanosoma brucei*, all mRNAs acquire a splice leader (SL) RNA at their 5' termini by *trans*-splicing. A 5' leader sequence is also *trans*-spliced onto some genes in *Caenorhabditis elegans*. This mechanism is appropriate for adding a single common sequence to many different transcripts.

The mechanism of *trans*-splicing, which is nearly identical to that of conventional *cis*-splicing, proceeds via two phosphoryl transfer reactions. The first causes the formation of a 2'-5' phosphodiester bond producing a 'Y' shaped branched intermediate, equivalent to the lariat intermediate in *cis*-splicing. The second reaction, exon ligation, proceeds as in conventional *cis*-splicing. In addition, sequences at the 3' splice site and some of the snRNPs which catalyze the *trans*-splicing reaction, closely resemble their counterparts involved in *cis*-splicing.

Trans-splicing may also refer to a different process, where an intron of one pre-mRNA interacts with an intron of a second pre-mRNA, enhancing the recombination of splice sites between two conventional pre-mRNAs. This type of *trans*-splicing was postulated to account for transcripts encoding a human immunoglobulin variable region sequence linked to the endogenous constant region in a transgenic mouse (Shimizu *et al.*, 1989, Proc. Nat'l. Acad. Sci. USA 86:8020). In addition, *trans*-splicing of c-myc pre-RNA has been demonstrated (Vellard, M. *et al.*

Proc. Nat'l. Acad. Sci. 89:2511-2515) and more recently, RNA transcripts from cloned SV40 *trans*-spliced to each other were detected in cultured cells and nuclear extracts (Eul *et al.*, 1995, EMBO. J. 14:3226). However, naturally occurring *trans*-splicing of mammalian pre-mRNAs is thought to be an exceedingly rare event. The reaction
5 mechanism of *trans*-splicing is believed to be nearly identical to conventional *cis*-splicing. It proceeds via the formation of a 2'-5' phosphodiester bond producing a 'Y' shaped branched intermediate (equivalent to the lariat intermediated in *cis*-splicing).

In vitro trans-splicing has been used as a model system to examine the mechanism of splicing by several groups (Konarska & Sharp, 1985, Cell 46:165-171
10 Solnick, 1985, Cell 42:157; Chiara & Reed, 1995, Nature 375:510; Pasman and Garcia-Blanco, 1996, Nucleic Acids Res. 24:1638). Reasonably efficient *trans*-splicing (30% of *cis*-spliced analog) was achieved between RNAs capable of base pairing to each other, splicing of RNAs not tethered by base pairing was further diminished by a factor of 10. Other *in vitro trans*-splicing reactions not requiring
15 obvious RNA-RNA interactions among the substrates were observed by Chiara & Reed (1995, Nature 375:510), Bruzik J.P. & Maniatis, T. (1992, Nature 360:692) and (Bruzik J.P. and Maniatis, T., 1995, Proc. Nat'l. Acad. Sci. USA 92:7056-7059). These reactions occur at relatively low frequencies and require specialized elements, such as a downstream 5' splice site or exonic splicing enhancers.

20 In addition to splicing mechanisms involving the binding of multiple proteins to the precursor mRNA which then act to correctly cut and join RNA, a third mechanism involves cutting and joining of the RNA by the intron itself, by what are termed catalytic RNA molecules or ribozymes. The cleavage activity of ribozymes has been targeted to specific RNAs by engineering a discrete "hybridization" region
25 into the ribozyme. Upon hybridization to the target RNA, the catalytic region of the ribozyme cleaves the target. It has been suggested that such ribozyme activity would be useful for the inactivation or cleavage of target RNA *in vivo*, such as for the treatment of human diseases characterized by production of foreign or aberrant RNA. The use of antisense RNA has also been proposed as an alternative mechanism for
30 targeting and destruction of specific RNAs. In such instances small RNA molecules are designed to hybridize to the target RNA and by binding to the target RNA prevent

translation of the target RNA or cause destruction of the RNA through activation of nucleases.

Until recently, the practical application of targeted *trans*-splicing to modify specific target genes has been limited to group I ribozyme-based mechanisms.

5 Using the *Tetrahymena* group I ribozyme, targeted *trans*-splicing was demonstrated in *E. coli. coli* (Sullengen B.A. and Cech. T.R., 1994, Nature 341:619-622), in mouse fibroblasts (Jones, J.T. et al., 1996, Nature Medicine 2:643-648), human fibroblasts (Phylacton, L.A. et al. Nature Genetics 18:378-381) and human erythroid precursors (Lan et al., 1998, Science 280:1593-1596). While many applications of targeted RNA
10 *trans*-splicing driven by modified group I ribozymes have been explored, targeted *trans*-splicing mediated by native mammalian splicing machinery, *i.e.*, spliceosomes, has not been previously reported.

3. SUMMARY OF THE INVENTION

The present invention relates to compositions and methods for
15 generating novel nucleic acid molecules through spliceosome-mediated targeted *trans*-splicing. The compositions of the invention include pre-*trans*-splicing molecules (hereinafter referred to as "PTMs") designed to interact with a natural target pre-mRNA molecule (hereinafter referred to as "pre-mRNA") and mediate a spliceosomal *trans*-splicing reaction resulting in the generation of a novel chimeric RNA molecule
20 (hereinafter referred to as "chimeric RNA"). The methods of the invention encompass contacting the PTMs of the invention with a natural target pre-mRNA under conditions in which a portion of the PTM is spliced to the natural pre-mRNA to form a novel chimeric RNA. The PTMs of the invention are genetically engineered so that the novel chimeric RNA resulting from the *trans*-splicing reaction may itself perform
25 a function such as inhibiting the translation of RNA, or alternatively, the chimeric RNA may encode a protein that complements a defective or inactive protein in the cell, or encodes a toxin which kills the specific cells. Generally, the target pre-mRNA is chosen because it is expressed within a specific cell type thereby providing a means for targeting expression of the novel chimeric RNA to a selected cell type. The target
30 cells may include, but are not limited to those infected with viral or other infectious

agents, benign or malignant neoplasms, or components of the immune system which are involved in autoimmune disease or tissue rejection. The PTMs of the invention can also be genetically engineered to tag exon sequences in a mRNA molecule as a method for identifying intron/exon boundaries in target pre-mRNA. The invention
5 further relates to the use of PTM molecules that are genetically engineered to encode a peptide affinity purification tag for use in the purification and identification of proteins expressed in a specific cell type. The methods and compositions of the invention can be used in gene regulation, gene repair and targeted cell death. Such methods and compositions can be used for the treatment of various diseases including,
10 but not limited to, genetic, infectious or autoimmune diseases and proliferative disorders such as cancer.

4. BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1A. Model of Pre-*Trans*-splicing RNA.

Figure 1B. Model PTM constructs and targeted *trans*-splicing strategy.

15 Schematic representation of the first generation PTMs (PTM+Sp and PTM-Sp). BD, binding domain; NBD, non-binding domain; BP, branch point; PPT, pyrimidine tract; ss, splice site and DT-A, diphtheria toxin subunit A. Unique restriction sites within the PTMS are indicated by single letters: E. coli, EcoRI; X, XhoI; K, KpnI; P, PstI; A, Accl; B, BamHI and Heterologous, HindIII.

20 Figure 1C. Schematic drawing showing the binding of PTM+Sp via conventional Watson Crick base pairing to the β HCG6 target pre-mRNA and the proposed *cis*- and *trans*-splicing mechanism.

Figure 2A. *In vitro trans*-splicing efficiency of various PTM constructs into β HCG6 target. A targeted binding domain and active splice sites
25 correlate with PTM *trans*-splicing activity. Full length targeted (pcPTM+Sp), non-targeted (PTM-Sp) and the splice mutants [Py(-)AG(-) and BP(-)Py(-)AG(-)] PTM RNAs were added to splicing reactions containing β HCG6 target pre-mRNA. The products were RT-PCR amplified using primers β HCG-F (specific for target β HCG6 exon 1) and DT-5R (complementary to DT-A) and analyzed by electrophoresis in a
30 1.5% agarose gel.

Figure 2B. *In vitro trans*-splicing efficiency of various PTM constructs. Full length PTM with a spacer between the binding domain and splice site (PTM+Sp), PTM without the spacer region (PTM+) and short PTMs that contain a target binding domain (short PTM+) or a non-target binding region (PTM-) were added to splicing reactions containing β HCG target pre-mRNA. The products were RT-PCR amplified using primers β HCG-F and DT-3. For reactions containing the short PTMs, the reverse PCR primer was DT-4, since the binding site for DT-3 was removed from the PTM.

Figure 3. Nucleotide sequence demonstrating the *in vitro trans*-spliced product between a PTM and target pre-mRNA. The 466 bp *trans*-spliced RT-PCR product from Figure 2 (lane 2) was re-amplified using a 5' biotin labeled forward primer (β HCG-F) and a nested unlabeled reverse primer (DT-3R). Single stranded DNA was purified and sequenced directly using toxin specific DT-3R primer. The arrow indicates the splice junction between the last nucleotide of target β HCG6 exon I and the first nucleotide encoding DT-A.

Figure 4A. Schematic diagram of the "safety" PTM and variations, demonstrating the PTM intramolecular base-paired stem, intended to mask the BP and PPT from splicing factors. Underlined sequences represent the β HCG6 intron 1 complementary target-binding domain, sequence in italics indicate target mismatches that are homologous to the BP.

Figure 4B. Schematic of a safety PTM in open configuration upon binding to the target.

Figure 4C. *In vitro trans*-splicing reactions were carried out by incubating either safety PTM or safety PTM variants with the β HCG6 target. Splicing reactions were amplified by RT-PCR using β HCG-F and DT-3R primers; products were analyzed in a 2.0% agarose gel.

Figure 5. Specificity of targeted *trans*-splicing is enhanced by the inclusion of a safety into the PTM. β HCG6 pre-mRNA (250 ng) and β -globin pre-mRNA (250 ng) were annealed together with either PTM+SF (safety) or pcPTM+Sp (linear) RNA (500 ng). *In vitro trans*-splicing reactions and RT-PCR analysis were

performed as described under experimental procedures and the products were separated on a 2.0% agarose gel. Primers used for RT-PCR are as indicated.

Figure 6. In the presence of increasing PTM concentration, *cis*-splicing is inhibited and replaced by *trans*-splicing. *In vitro* splicing reactions were performed in the presence of a constant amount of β HCG6 target pre-mRNA (100 ng) with increasing concentrations of PTM (pcPTM+Sp) RNA (52-300 ng). RT-PCR for *cis*-spliced and un-spliced products utilized primers β HCG-F (exon 1 specific) and β HCG-R2 (exon 2 specific - Panel A); primers β HCG-F and DT-3R were used to RT-PCR *trans*-spliced products (Panel B). Reaction products were analyzed on 1.5% and 2.0% agarose gels, respectively. In panel A, lane 9 represents the 60 min time point in the presence of 300 ng of PTM, which is equivalent to lane 10 in panel B.

Figure 7A. PTMs are capable of *trans*-splicing in cultured human cancer cells. Total RNA was isolated from each of 4 expanded neomycin resistant H1299 lung carcinoma colonies transfected with pcSp+CRM (expressing non-toxic mutant DT-A) RT-PCR was performed using 1 μ g of total RNA and 5' biotinylated β HCG-F and non-biotinylated DT-3R primers. Single stranded DNA was purified and sequenced.

Figure 7B. Nucleotide sequence (sense strand) of the *trans*-spliced product between endogenous β HCG6 target and CRM197 mutant toxin is shown. Two arrows indicate the position of the splice junction.

Figure 8A. Schematic diagram of a double splicing pre-therapeutic mRNA.

Figure 8B. Selective *trans*-splicing of a double splicing PTM. By varying the PTM concentration the PTM can be *trans*-spliced into either the 5' or the 3' splice site of the target.

Figure 9. Schematic diagram of the use of PTM molecules for exon tagging. Two examples of PTMs are shown. The PTM on the left is capable of non-specifically *trans*-splicing into a target pre-mRNA 3' splice site. The other PTM on the right is designed to non-specifically *trans*-splice into a target pre-mRNA 5' splice site. A PTM mediated *trans*-splicing reaction will result in the production of a chimeric RNA comprising a specific tag to either the 5' or 3' side of an authentic exon.

Figure 10A. Schematic diagram of constructs for use in the lacZ knock-out model. The target lacZ pre-mRNA contains the 5' fragment of lacZ followed by β HCG6 intron 1 and the 3' fragment of lacZ (target 1). The PTM molecule for use in the model system was created by digesting pPTM +SP with PstI and HindIII and replacing the DT-A toxin with β HCG6 exon 2 (pc3.1PTM2).

Figure 10B. Schematic diagram of restoration of β -Gal activity by Splicesome Mediated RNA Trans-splicing (SMaRT).

Figure 10C. Schematic diagram of constructs for use in the lacZ knock-in model (pc.3.1 lacZ T2). The lacZ target pre-mRNA is identical to that target pre-mRNA used for the knock-out experiments except that it contains two stop codons (TAA TAA) in frame four codons after the 3' splice site. The PTM molecule for use in the model system was created by digesting pPTM +SP with PstI and HindIII and replacing the DT-A toxin with functional 3' fragment of lacZ.

Figure 11A. Demonstration of *cis*-and *trans*-splicing when utilizing the lacZ knock-out model. The lacZ splice target 1 pre-mRNA and PTM2 were co-transfected into 293T cells. Total RNA was then isolated and analyzed by PCR for *cis*-spliced and *trans*-spliced products using the appropriate specific primers. The amplified PCR products were separated on a 2% agarose gel.

Figure 11B-C. Assays for β -galactosidase activity. 293 cells were transfected with lacZ target 2 DNA alone (panel B) or lacZ target 2 DNA and PTM1 (panel C).

Figure 12A. Nucleotide sequence of *trans*-spliced molecule demonstrating accurate *trans*-splicing.

Figure 12B. Nucleotide sequences of the *cis*-spliced product and the *trans*-spliced product. The nucleotide sequences were those sequences expected for each of the different splicing reactions.

Figure 13. Gene repair model for repair of the cystic fibrosis transmembrane regulator (CFTR) gene.

Figure 14. RT-PCR demonstration of *trans*-splicing between an exogenously supplied CFTR mini-gene target and PTM. Plasmids were co-transfected into 293 embryonic kidney cells. The primers pairs used for RT-PCR

reactions are listed above each lane. The lower band (471 bp) in each lane represents a *trans*-spliced product. The lower band in lane 1 (471bp) was purified from a 2% Seakem agarose gel and the DNA sequence of the band was determined.

Figure 15. DNA sequence of the *trans*-spliced product (lane 1, lower band shown in Figure 14). The DNA sequence indicates the presence of the F508 codon (TTT), exon 9 sequence is contiguous with exon 10 sequence, and the His tag sequence.

Figure 16. Schematic representation of repair of an exogenously supplied CFTR target molecule carrying an F508 deletion in exon 10.

Figure 17. Repair of endogenous CFTR transcripts by exon 10 invasion using a double splicing PTM. The use of a double splicing PTM permits repair of the Δ 508 mutation with a very short PTM molecule.

5. DETAILED DESCRIPTION OF THE INVENTION

The present invention relates to compositions comprising pre-*trans*-splicing molecules (PTMs) and the use of such molecules for generating novel nucleic acid molecules. The PTMs of the invention comprise a target binding domain that is designed to specifically bind to pre-mRNA, a 3' splice region that includes a branch point, pyrimidine tract and a 3' splice acceptor site and/or a 5' splice donor site; and a spacer region that separates the RNA splice site from the target binding domain. In addition, the PTMs of the invention can be engineered to contain any nucleotide sequences encoding a translatable protein product.

The methods of the invention encompass contacting the PTMs of the invention with a natural pre-mRNA under conditions in which a portion of the PTM is *trans*-spliced to a portion of the natural pre-mRNA to form a novel chimeric RNA. The target pre-mRNA is chosen as a target due to its expression within a specific cell type thus providing a mechanism for targeting expression of a novel RNA to a selected cell type. The resulting chimeric RNA may provide a desired function, or may produce a gene product in the specific cell type. The specific cells may include, but are not limited to those infected with viral or other infectious agents, benign or malignant neoplasms, or components of the immune system which are involved in

autoimmune disease or tissue rejection. Specificity is achieved by modification of the binding domain of the PTM to bind to the target endogenous pre-mRNA. The gene products encoded by the chimeric RNA can be any gene, including genes having clinical usefulness, for example, therapeutic or marker genes, and genes encoding toxins.

5.1. STRUCTURE OF THE PRE-TRANS-SPLICING MOLECULES

The present invention provides compositions for use in generating novel chimeric nucleic acid molecules through targeted *trans*-splicing. The PTMs of the invention comprise (i) one or more target binding domains that targets binding of the PTM to a pre-mRNA (ii) a 3' splice region that includes a branch point, pyrimidine tract and a 3' splice acceptor site and/or 5' splice donor site; and (iii) a spacer region to separate the RNA splice site from the target binding domain. Additionally, the PTMs can be engineered to contain any nucleotide sequence encoding a translatable protein product. In yet another embodiment of the invention, the PTMs can be engineered to contain nucleotide sequences that inhibit the translation of the chimeric RNA molecule. For example, the nucleotide sequences may contain translational stop codons or nucleotide sequences that form secondary structures and thereby inhibit translation. Alternatively, the chimeric RNA may function as an antisense molecule thereby inhibiting translation of the RNA to which it binds.

The target binding domain of the PTM may contain one or two binding domains of at least 15 to 30 (up to several hundred) nucleotides which are complementary to and in anti-sense orientation to the targeted region of the selected pre-mRNA. This confers specificity of binding and anchors the pre-mRNA closely in space so that the spliceosome processing machinery of the nucleus can *trans*-splice a portion of the PTM to a portion of the pre-mRNA. A second target binding region may be placed at the 3' end of the molecule and can be incorporated into the PTM of the invention. Absolute complementarity, although preferred, is not required. A sequence "complementary" to a portion of an RNA, as referred to herein, means a sequence having sufficient complementarity to be able to hybridize with the RNA, forming a stable duplex. The ability to hybridize will depend on both the degree of

complementarity and the length of the nucleic acid (See, for example, Sambrook et al., 1989, Molecular Cloning, A Laboratory Manual, 2d Ed., Cold Spring Harbor Laboratory Press, Cold Spring Harbor, New York). Generally, the longer the hybridizing nucleic acid, the more base mismatches with an RNA it may contain and
5 still form a stable duplex. One skilled in the art can ascertain a tolerable degree of mismatch by use of standard procedures to determine the melting point of the hybridized complex.

Where the PTMs are designed for use in intron-exon tagging or for peptide affinity tagging, a library of PTMs is genetically engineered to contain random
10 nucleotide sequences in the target binding domain. The goal of generating such a library of PTM molecules is that the library will contain a population of PTM molecules capable of binding to each RNA molecule expressed in the cell. A recombinant expression vector can be genetically engineered to contain a coding region for a PTM including a restriction endonuclease site that can be used for
15 insertion of random DNA fragments into the PTM to form random target binding domains. The random nucleotide sequences to be included in the PTM as target binding domains can be generated using a variety of different methods well known to those of skill in the art, including but not limited to, partial digestion of DNA with restriction enzymes or mechanical shearing of DNA to generate random fragments of
20 DNA. Random binding domain regions may also be generated by degenerate oligonucleotide synthesis. The degenerate oligonucleotides can be engineered to have restriction endonuclease recognition sites on each end to facilitate cloning into a PTM molecule for production of a library of PTM molecules having degenerate binding domains.

25 Binding may also be achieved through other mechanisms, for example, through triple helix formation or protein/nucleic acid interactions such as those in which the PTM is engineered to recognize a specific RNA binding protein, *i.e.*, a protein bound to a specific target pre-mRNA. Alternatively, the PTMs of the invention may be designed to recognize secondary structures, such as for example,
30 hairpin structures resulting from intramolecular base pairing between nucleotides within an RNA molecule.

The PTM molecule also contains a 3' splice region that includes a branch point, pyrimidine tract and a 3' splice acceptor AG site and/or a 5' splice donor site. Consensus sequences for the 5' splice donor site and the 3' splice region used in RNA splicing are well known in the art (See, Moore, *et al.*, 1993, The RNA World, Cold Spring Harbor Laboratory Press, p. 303-358). In addition, modified consensus sequences that maintain the ability to function as 5' donor splice sites and 3' splice regions may be used in the practice of the invention. Briefly, the 5' splice site consensus sequence is AG/GURAGU (where A=adenosine, U=uracil, G=guanine, C=cytosine, R=purine and /=the splice site). The 3' splice site consists of three separate sequence elements: the branch point or branch site, a polypyrimidine tract and the 3' consensus sequence (YAG). The branch point consensus sequence in mammals is YNYURAC (Y=pyrimidine). The underlined A is the site of branch formation. A polypyrimidine tract is located between the branch point and the splice site acceptor and is important for different branch point utilization and 3' splice site recognition.

A spacer region to separate the RNA splice site from the target binding domain is also included in the PTM. The spacer region can have features such as stop codons which would block any translation of an unspliced PTM and/or sequences that enhance *trans*-splicing to the target pre-mRNA.

In a preferred embodiment of the invention, a "safety" is also incorporated into the spacer, binding domain, or elsewhere in the PTM to prevent non-specific *trans*-splicing. This is a region of the PTM that covers elements of the 3' and/or 5' splice site of the PTM by relatively weak complementarity, preventing non-specific *trans*-splicing. The PTM is designed in such a way that upon hybridization of the binding /targeting portion(s) of the PTM, the 3' and/or 5' splice site is uncovered and becomes fully active.

The "safety" consists of one or more complementary stretches of *cis*-sequence (or could be a second, separate, strand of nucleic acid) which weakly binds to one or both sides of the PTM branch point, pyrimidine tract, and/or 3' splice site (splicing elements), or could bind to parts of the splicing elements themselves. This "safety" binding prevents the splicing elements from being active (i.e. block U2

snRNP or other splicing factors from attaching to the PTM splice site recognition elements). The binding of the "safety" may be disrupted by the binding of the target binding region of the PTM to the target pre-mRNA, thus exposing and activating the PTM splicing elements (making them available to *trans*-splice into the target pre-mRNA).

A nucleotide sequence encoding a translatable protein capable of producing an effect, such as cell death, or alternatively, one that restores a missing function or acts as a marker, is included in the PTM of the invention. For example, the nucleotide sequence can include those sequences encoding gene products missing or altered in known genetic diseases. Alternatively, the nucleotide sequences can encode marker proteins or peptides which may be used to identify or image cells. In yet another embodiment of the invention nucleotide sequences encoding affinity tags such as, HIS tags (6 consecutive histidine residues) (Janknecht, et al., 1991, Proc. Natl. Acad. Sci. USA 88:8972-8976), the C-terminus of glutathione-S-transferase (GST) (Smith and Johnson, 1986, Proc. Natl. Acad. Sci. USA 83:8703-8707) (Pharmacia) or FLAG (Asp-Tyr-Lys-Asp-Asp-Asp-Lys) (Eastman Kodak/IBI, Rochester, NY) can be included in PTM molecules for use in affinity purification. The use of PTMs containing such nucleotide sequences results in the production of a chimeric RNA encoding a fusion protein containing peptide sequences normally expressed in a cell linked to the peptide affinity tag. The affinity tag provides a method for the rapid purification and identification of peptide sequences expressed in the cell. In a preferred embodiment the nucleotide sequences may encode toxins or other proteins which provide some function which enhances the susceptibility of the cells to subsequent treatments, such as radiation or chemotherapy.

In a highly preferred embodiment of the invention a PTM molecule is designed to contain nucleotide sequences encoding the Diphtheria toxin subunit A (Greenfield, L., et al., 1983, Proc. Nat'l. Acad. Sci. USA 80: 6853-6857). Diphtheria toxin subunit A contains enzymatic toxin activity and will function if expressed or delivered into human cells resulting in cell death. Furthermore, various other known peptide toxins may be used in the present invention, including but not limited to, ricin, *Pseudomonas* toxin, *Shiga* toxin and exotoxin A.

Additional features can be added to the PTM molecule either after, or before, the nucleotide sequence encoding a translatable protein, such as polyadenylation signals or 5' splice sequences to enhance splicing, additional binding regions, "safety"-self complementary regions, additional splice sites, or protective groups to modulate the stability of the molecule and prevent degradation.

Additional features that may be incorporated into the PTMs of the invention include stop codons or other elements in the region between the binding domain and the splice site to prevent unspliced pre-mRNA expression. In another embodiment of the invention, PTMs can be generated with a second anti-sense binding domain downstream from the nucleotide sequences encoding a translatable protein to promote binding to the 3' target intron or exon and to block the fixed authentic *cis*-5' splice site (U5 and/or U1 binding sites). PTMs may also be made that require a double *trans*-splicing reaction for expression of the *trans*-spliced product. Such PTMs could be used to replace an internal exon which could be useful for RNA repair. Further elements such as a 3' hairpin structure, circularized RNA, nucleotide base modification, or a synthetic analog can be incorporated into PTMs to promote or facilitate nuclear localization and spliceosomal incorporation, and intra-cellular stability.

The PTMs of the invention can be used in methods designed to produce a novel chimeric RNA in a target cell. The methods of the present invention comprise delivering to the target cell a PTM which may be in any form used by one skilled in the art, for example, an RNA molecule, or a DNA vector which is transcribed into a RNA molecule, wherein said PTM binds to a pre-mRNA and mediates a *trans*-splicing reaction resulting in formation of a chimeric RNA comprising a portion of the PTM molecule spliced to a portion of the pre-mRNA.

5.2. SYNTHESIS OF THE TRANS-SPLICING MOLECULES

The nucleic acid molecules of the invention can be RNA or DNA or derivatives or modified versions thereof, single-stranded or double-stranded. By nucleic acid is meant a PTM molecule or a nucleic acid molecule encoding a PTM molecule, whether composed of deoxyribonucleotides or ribonucleosides, and whether

composed of phosphodiester linkages or modified linkages. The term nucleic acid also specifically includes nucleic acids composed of bases other than the five biologically occurring bases (adenine, guanine, thymine, cytosine and uracil).

The RNA and DNA molecules of the invention can be prepared by any method known in the art for the synthesis of DNA and RNA molecules. For example, the nucleic acids may be chemically synthesized using commercially available reagents and synthesizers by methods that are well known in the art (see, e.e., Gait, 1985, Oligonucleotide Synthesis: A Practical Approach, IRL Press, Oxford, England). Alternatively, RNA molecules can be generated by *in vitro* and *in vivo* transcription of DNA sequences encoding the RNA molecule. Such DNA sequences can be incorporated into a wide variety of vectors which incorporate suitable RNA polymerase promoters such as the T7 or SP6 polymerase promoters. RNAs may be produced in high yield via *in vitro* transcription using plasmids such as SPS65 (Promega Corporation, Madison, WI). In addition, RNA amplification methods such as Q- β amplification can be utilized to produce RNAs.

The nucleic acid molecules can be modified at the base moiety, sugar moiety, or phosphate backbone, for example, to improve stability of the molecule, hybridization, transport into the cell, etc. For example, modification of a PTM to reduce the overall charge can enhance the cellular uptake of the molecule. In addition modifications can be made to reduce susceptibility to nuclease degradation. The nucleic acid molecules may include other appended groups such as peptides (e.g., for targeting host cell receptors *in vivo*), or agents facilitating transport across the cell membrane (see, e.g., Letsinger *et al.*, 1989, Proc. Natl. Acad. Sci. U.S.A. 86:6553-6556; Lemaitre *et al.*, 1987, Proc. Natl. Acad. Sci. 84:648-652; PCT Publication No. W088/09810, published December 15, 1988) or the blood-brain barrier (see, e.g., PCT Publication No. W089/10134, published April 25, 1988), hybridization-triggered cleavage agents. (See, e.g., Krol *et al.*, 1988, BioTechniques 6:958-976) or intercalating agents. (See, e.g., Zon, 1988, Pharm. Res. 5:539-549). To this end, the nucleic acid molecules may be conjugated to another molecule, e.g., a peptide, hybridization triggered cross-linking agent, transport agent, hybridization-triggered cleavage agent, etc. Various other well-known modifications to the DNA molecules

can be introduced as a means of increasing intracellular stability and half-life. Possible modifications include, but are not limited to, the addition of flanking sequences of ribo- or deoxy- nucleotides to the 5' and/or 3' ends of the molecule. In some circumstances where increased stability is desired, nucleic acids having modified internucleoside linkages such as 2'-O-methylation may be preferred. Nucleic acids containing modified internucleoside linkages may be synthesized using reagents and methods that are well known in the art (see, Uhlmann *et al.*, 1990, Chem. Rev. 90:543-584; Schneider *et al.*, 1990, Tetrahedron Lett. 31:335 and references cited therein).

10 The nucleic acids may be purified by any suitable means, as are well known in the art. For example, the nucleic acids can be purified by reverse phase chromatography or gel electrophoresis. Of course, the skilled artisan will recognize that the method of purification will depend in part on the size of the nucleic acid to be purified.

15 In instances where a nucleic acid molecule encoding a PTM is utilized, cloning techniques known in the art may be used for cloning of the nucleic acid molecule into an expression vector. Methods commonly known in the art of recombinant DNA technology which can be used are described in Ausubel *et al.* (eds.), 1993, Current Protocols in Molecular Biology, John Wiley & Sons, NY; and
20 Kriegler, 1990, Gene Transfer and Expression, A Laboratory Manual, Stockton Press, NY.

 The DNA encoding the PTM of interest may be recombinantly engineered into a variety of host vector systems that also provide for replication of the DNA in large scale and contain the necessary elements for directing the transcription
25 of the PTM. The use of such a construct to transfect target cells in the patient will result in the transcription of sufficient amounts of PTMs that will form complementary base pairs with the endogenously expressed pre-mRNA targets and thereby facilitate a *trans*-splicing reaction between the complexed nucleic acid molecules. For example, a vector can be introduced *in vivo* such that it is taken up by
30 a cell and directs the transcription of the PTM molecule. Such a vector can remain episomal or become chromosomally integrated, as long as it can be transcribed to

produce the desired RNA. Such vectors can be constructed by recombinant DNA technology methods standard in the art.

Vectors encoding the PTM of interest can be plasmid, viral, or others known in the art, used for replication and expression in mammalian cells. Expression of the sequence encoding the PTM can be regulated by any promoter known in the art to act in mammalian, preferably human cells. Such promoters can be inducible or constitutive. Such promoters include but are not limited to: the SV40 early promoter region (Benoist, C. and Chambon, P. 1981, Nature 290:304-310), the promoter contained in the 3' long terminal repeat of Rous sarcoma virus (Yamamoto *et al.*, 1980, Cell 22:787-797), the herpes thymidine kinase promoter (Wagner *et al.*, 1981, Proc. Natl. Acad. Sci. U.S.A. 78:1441-1445), the regulatory sequences of the metallothionein gene (Brinster *et al.*, 1982, Nature 296:39-42), the viral CMV promoter, the human chorionic gonadotropin- β promoter (Hollenberg *et al.*, 1994, Mol. Cell. Endocrinology 106:111-119), etc. Any type of plasmid, cosmid, YAC or viral vector can be used to prepare the recombinant DNA construct which can be introduced directly into the tissue site. Alternatively, viral vectors can be used which selectively infect the desired target cell.

For use of PTMs encoding peptide affinity purification tags, it is desirable to insert nucleotide sequences containing random target binding sites into the PTMs and clone them into a selectable mammalian expression vector system. A number of selection systems can be used, including but not limited to selection for expression of the herpes simplex virus thymidine kinase, hypoxanthine-guanine phosphoribosyltransferase and adenine phosphoribosyl transferase protein in tk-, hgppt- or appt- deficient cells, respectively. Also, anti-metabolic resistance can be used as the basis of selection for dihydrofolate transferase (*dhfr*), which confers resistance to methotrexate; xanthine-guanine phosphoribosyl transferase (*gpt*), which confers resistance to mycophenolic acid; neomycin (*neo*), which confers resistance to aminoglycoside G-418; and hygromycin B phosphotransferase (*hygro*) which confers resistance to hygromycin. In a preferred embodiment of the invention, the cell culture is transformed at a low ratio of vector to cell such that there will be only a single vector, or a limited number of vectors, present in any one cell. Vectors for use in the

practice of the invention include any eukaryotic expression vectors, including but not limited to viral expression vectors such as those derived from the class of retroviruses or adeno-associated viruses.

5.3. USES AND ADMINISTRATION OF TRANS-SPLICING MOLECULES

5 5.3.1 USE OF PTM MOLECULES FOR GENE REGULATION, GENE REPAIR AND TARGETED CELL DEATH

The compositions and methods of the present invention will have a variety of different applications including gene regulation, gene repair and targeted cell death. For example, *trans*-splicing can be used to introduce a protein with toxic
10 properties into a cell. In addition, PTMs can be engineered to bind to viral mRNA and destroy the function of the viral mRNA, or alternatively, to destroy any cell expressing the viral mRNA. In yet another embodiment of the invention, PTMs can be engineered to place a stop codon in a deleterious mRNA transcript thereby decreasing the expression of that transcript.

15 Targeted *trans*-splicing can be used to repair or correct transcripts that are either truncated or contain point mutations. The PTMs of the invention are designed to cleave a targeted transcript upstream or downstream of a specific mutation or upstream of a premature 3' and correct the mutant transcript via a *trans*-splicing reaction which replaces the portion of the transcript containing the mutation with a
20 functional sequence.

Cystic fibrosis (CF) is the most common fatal genetic disease in humans. Based on both genetic and molecular analyses, the gene associated with cystic fibrosis has been isolated and its protein product deduced (Kerem, B.S. et al., 1989, Science 245:1073-1080; Riordan et al., 1989, Science 245:1066-
25 1073; Rommans, et al., 1989, Science 245:1059-1065). The protein product of the CF associated gene is called the cystic fibrosis transmembrane conductance regulator (CFTR). In a specific embodiment of the invention, a *trans*-splicing reaction will be used to correct a genetic defect in the DNA sequence encoding the cystic fibrosis transmembrane regulator (CFTR) whereby the DNA sequence encoding the cystic

fibrosis trans-membrane regulator protein is expressed and a functional chloride ion channel is produced in the airway epithelial cells of a patient.

Population studies have indicated that the most common cystic fibrosis mutation is a deletion of the three nucleotides in exon 10 that encode phenylalanine at position 508 of the CFTR amino acid sequence. As indicated in Figure 15, a *trans*-splicing reaction was capable of correcting the deletion at position 508 in the CFTR amino acid sequence. The PTM used for correction of the genetic defect contained a CFTR BD intron 9 sequence, a spacer sequence, a branch point, a polypyrimidine tract, a 3' splice site and a wild type CFTR BD exon 10 sequence (Figure 13). The successful correction of the mutated DNA encoding CFTR utilizing a *trans*-splicing reaction supports the general application of PTMs for correction of genetic defects.

Various delivery systems are known and can be used to transfer the compositions of the invention into cells, *e.g.* encapsulation in liposomes, microparticles, microcapsules, recombinant cells capable of expressing the composition, receptor-mediated endocytosis (see, *e.g.*, Wu and Wu, 1987, J. Biol. Chem. 262:4429-4432), construction of a nucleic acid as part of a retroviral or other vector, injection of DNA, electroporation, calcium phosphate mediated transfection, etc.

The compositions and methods can be used to treat cancer and other serious viral infections, autoimmune disorders, and other pathological conditions in which the alteration or elimination of a specific cell type would be beneficial. Additionally, the compositions and methods may also be used to provide a gene encoding a functional biologically active molecule to cells of an individual with an inherited genetic disorder where expression of the missing or mutant gene product produces a normal phenotype.

In a preferred embodiment, nucleic acids comprising a sequence encoding a PTM are administered to promote PTM function, by way of gene delivery and expression into a host cell. In this embodiment of the invention, the nucleic acid mediates an effect by promoting PTM production. Any of the methods for gene delivery into a host cell available in the art can be used according to the present invention. For general reviews of the methods of gene delivery see Strauss, M. and

Barranger, J.A., 1997, Concepts in Gene Therapy, by Walter de Gruyter & Co., Berlin; Goldspiel *et al.*, 1993, Clinical Pharmacy 12:488-505; Wu and Wu, 1991, Biotherapy 3:87-95; Tolstoshev, 1993, Ann. Rev. Pharmacol. Toxicol. 33:573-596; Mulligan, 1993, Science 260:926-932; and Morgan and Anderson, 1993, Ann. Rev. Biochem. 62:191-217; 1993, TIBTECH 11(5):155-215. Exemplary methods are described below.

Delivery of the nucleic acid into a host cell may be either direct, in which case the host is directly exposed to the nucleic acid or nucleic acid-carrying vector, or indirect, in which case, host cells are first transformed with the nucleic acid *in vitro*, then transplanted into the host. These two approaches are known, respectively, as *in vivo* or *ex vivo* gene delivery.

In a specific embodiment, the nucleic acid is directly administered *in vivo*, where it is expressed to produce the PTM. This can be accomplished by any of numerous methods known in the art, *e.g.*, by constructing it as part of an appropriate nucleic acid expression vector and administering it so that it becomes intracellular, *e.g.* by infection using a defective or attenuated retroviral or other viral vector (see U.S. Patent No. 4,980,286), or by direct injection of naked DNA, or by use of microparticle bombardment (*e.g.*, a gene gun; Biolistic, Dupont), or coating with lipids or cell-surface receptors or transfecting agents, encapsulation in liposomes, microparticles, or microcapsules, or by administering it in linkage to a peptide which is known to enter the nucleus, by administering it in linkage to a ligand subject to receptor-mediated endocytosis (see *e.g.*, Wu and Wu, 1987, J. Biol. Chem. 262:4429-4432).

In a specific embodiment, a viral vector that contains the PTM can be used. For example, a retroviral vector can be utilized that has been modified to delete retroviral sequences that are not necessary for packaging of the viral genome and integration into host cell DNA (see Miller *et al.*, 1993, Meth. Enzymol. 217:581-599). Alternatively, adenoviral or adeno-associated viral vectors can be used for gene delivery to cells or tissues. (See, Kozarsky and Wilson, 1993, Current Opinion in Genetics and Development 3:499-503 for a review of adenovirus-based gene delivery).

Another approach to gene delivery into a cell involves transferring a gene to cells in tissue culture by such methods as electroporation, lipofection, calcium phosphate mediated transfection, or viral infection. Usually, the method of transfer includes the transfer of a selectable marker to the cells. The cells are then placed
5 under selection to isolate those cells that have taken up and are expressing the transferred gene. The resulting recombinant cells can be delivered to a host by various methods known in the art. In a preferred embodiment, the cell used for gene delivery is autologous to the host cell.

The present invention also provides for pharmaceutical compositions
10 comprising an effective amount of a PTM or a nucleic acid encoding a PTM, and a pharmaceutically acceptable carrier. In a specific embodiment, the term "pharmaceutically acceptable" means approved by a regulatory agency of the Federal or a state government or listed in the U.S. Pharmacopeia or other generally recognized pharmacopeia for use in animals, and more particularly in humans. The term "carrier"
15 refers to a diluent, adjuvant, excipient, or vehicle with which the therapeutic is administered. Examples of suitable pharmaceutical carriers are described in "Remington's Pharmaceutical sciences" by E.W. Martin.

In specific embodiments, pharmaceutical compositions are administered: (1) in diseases or disorders involving an absence or decreased (relative
20 to normal or desired) level of an endogenous protein or function, for example, in hosts where the protein is lacking, genetically defective, biologically inactive or underactive, or under expressed; or (2) in diseases or disorders wherein, *in vitro* or *in vivo*, assays indicate the utility of PTMs that inhibit the function of a particular protein. The activity of the protein encoded for by the chimeric mRNA resulting from the
25 PTM mediated *trans*-splicing reaction can be readily detected, *e.g.*, by obtaining a host tissue sample (*e.g.*, from biopsy tissue) and assaying it *in vitro* for mRNA or protein levels, structure and/or activity of the expressed chimeric mRNA. Many methods standard in the art can be thus employed, including but not limited to immunoassays to detect and/or visualize the protein encoded for by the chimeric
30 mRNA (*e.g.*, Western blot, immunoprecipitation followed by sodium dodecyl sulfate polyacrylamide gel electrophoresis, immunocytochemistry, etc.) and/or hybridization

assays to detect formation of chimeric mRNA expression by detecting and/or visualizing the presence of chimeric mRNA (*e.g.*, Northern assays, dot blots, in situ hybridization, and Reverse-Transcription PCR, etc.), etc.

The present invention also provides for pharmaceutical compositions comprising an effective amount of a PTM or a nucleic acid encoding a PTM, and a pharmaceutically acceptable carrier. In a specific embodiment, the term "pharmaceutically acceptable" means approved by a regulatory agency of the Federal or a state government or listed in the U.S. Pharmacopeia or other generally recognized pharmacopeia for use in animals, and more particularly in humans. The term "carrier" refers to a diluent, adjuvant, excipient, or vehicle with which the therapeutic is administered. Examples of suitable pharmaceutical carriers are described in "Remington's Pharmaceutical sciences" by E.W. Martin. In a specific embodiment, it may be desirable to administer the pharmaceutical compositions of the invention locally to the area in need of treatment. This may be achieved by, for example, and not by way of limitation, local infusion during surgery, topical application, *e.g.*, in conjunction with a wound dressing after surgery, by injection, by means of a catheter, by means of a suppository, or by means of an implant, said implant being of a porous, non-porous, or gelatinous material, including membranes, such as sialastic membranes, or fibers. Other control release drug delivery systems, such as nanoparticles, matrices such as controlled-release polymers, hydrogels.

The PTM will be administered in amounts which are effective to produce the desired effect in the targeted cell. Effective dosages of the PTMs can be determined through procedures well known to those in the art which address such parameters as biological half-life, bioavailability and toxicity. The amount of the composition of the invention which will be effective will depend on the nature of the disease or disorder being treated, and can be determined by standard clinical techniques. In addition, *in vitro* assays may optionally be employed to help identify optimal dosage ranges.

The present invention also provides a pharmaceutical pack or kit comprising one or more containers filled with one or more of the ingredients of the pharmaceutical compositions of the invention optionally associated with such

container(s) can be a notice in the form prescribed by a governmental agency regulating the manufacture, use or sale of pharmaceuticals or biological products, which notice reflects approval by the agency of manufacture, use or sale for human administration.

5 5.3.2 USE OF PTM MOLECULES FOR EXON TAGGING

In view of current efforts to sequence and characterize the genomes of humans and other organisms, there is a need for methods that facilitate such characterization. A majority of the information currently obtained by genomic mapping and sequencing is derived from complementary DNA (cDNA) libraries, which are made by reverse transcription of mRNA into cDNA. Unfortunately, this process causes the loss of information concerning intron sequences and the location of exon/intron boundaries.

The present invention encompasses a method for mapping exon-intron boundaries in pre-mRNA molecules comprising (i) contacting a pre-transplicing molecule containing a random target binding domain with a pre-mRNA molecule under conditions in which a portion of the pre-trans-splicing molecule is trans-spliced to a portion of the target pre-mRNA to form a chimeric mRNA; (ii) amplifying the chimeric mRNA molecule; (iii) selectively purifying the amplified molecule; and (iv) determining the nucleotide sequence of the amplified molecule thereby identifying the intron-exon boundaries.

In an embodiment of the present invention, PTMs can be used in trans-splicing reactions to locate exon-intron boundaries in pre-mRNAs molecules. PTMs for use in mapping of intron-exon boundaries have structures similar to those described above in Section 5.1. Specifically, the PTMs contain (i) a target binding domain that is designed to bind to many pre-mRNAs: (ii) a 3' splice region that includes a branch point, pyrimidine tract and a 3' splice acceptor site, or a 5' splice donor site; (iii) a spacer region that separates the mRNA splice site from the target binding domain; and (iv) a tag region that will be trans-spliced onto a pre-mRNA. For purposes of intron-exon mapping, the PTMs are genetically engineered to contain target binding domains comprising random nucleotide sequences. The random

nucleotide sequences contain at least 15-30 and up to several hundred nucleotide sequences capable of binding and anchoring a pre-mRNA so that the spliceosome processing machinery of the nucleus can trans-splice a portion (tag or marker region) of the PTM to a portion of the pre-mRNA. PTMs containing short target binding
5 domains, or containing inosines bind under less stringent conditions to the pre-mRNA molecules. In addition, strong branch point sequences and pyrimidine tracts serve to increase the non-specificity of PTM trans-splicing.

The random nucleotide sequences used as target binding domains in the PTM molecules can be generated using a variety of different methods, including,
10 but not limited to, partial digestion of DNA with restriction endonucleases or mechanical shearing of the DNA. The use of such random nucleotide sequences is designed to generate a vast array of PTM molecules with different binding activities for each target pre-mRNA expressed in a cell. Randomized libraries of oligonucleotides can be synthesized with appropriate restriction endonucleases
15 recognition sites on each end for cloning into PTM molecules genetically engineered into plasmid vectors. When the randomized oligonucleotides are ligated and expressed, a randomized binding library of PTMs is generated.

In a specific embodiment of the invention, an expression library encoding PTM molecules containing target binding domains comprising random
20 nucleotide sequences can be generated using a variety of methods which are well known to those of skill in the art. Ideally, the library is complex enough to contain PTM molecules capable of interacting with each target pre-mRNA expressed in a cell.

By way of example, Figure 9 is a schematic representation of two forms of PTMs which can be utilized to map intron-exon boundaries. The PTM on
25 the left is capable of non-specifically trans-splicing into a pre-mRNA 3' splice site, while the PTM on the right is capable of trans-splicing into a pre-mRNA 5' splice site. Trans-splicing between the PTM and the target pre-mRNA results in the production of a chimeric mRNA molecule having a specific nucleotide sequence "tag" on either the 3' or 5' end of an authentic exon.

30 Following selective purification, a DNA sequencing reaction is then performed using a primer which begins in the tag nucleotide sequence of the PTM and

proceeds into the sequence of the tagged exon. The sequence immediately following the last nucleotide of the tag nucleotide sequence represents an exon boundary. For identification of intron-exon tags, the trans-splicing reactions of the invention can be performed either *in vitro* or *in vivo* using methods well known to those of skill in the art.

5.3.3 USE OF PTM MOLECULES FOR IDENTIFICATION OF PROTEINS EXPRESSED IN A CELL

In yet another embodiment of the invention, PTM mediated trans-splicing reactions can be used to identify previously undetected and unknown proteins expressed in a cell. This method is especially useful for identification of proteins that cannot be detected by a two-dimensional electrophoresis, or by other methods, due to *inter alia* the small size of the protein, low concentration of the protein, or failure to detect the protein due to similar migration patterns with other proteins in two-dimensional electrophoresis.

The present invention relates to a method for identifying proteins expressed in a cell comprising (i) contacting a pre-transplicing molecule containing a random target binding domain and a nucleotide sequence encoding a peptide tag with a pre-mRNA molecule under conditions in which a portion of the pre-trans-splicing molecule is trans-spliced to a portion of the target pre-mRNA to form a chimeric mRNA encoding a fusion polypeptide or separating it by gel electrophoresis (ii) affinity purifying the fusion polypeptide; and (iii) determining the amino acid sequence of the fusion protein.

To identify proteins expressed in a cell, the PTMs of the invention are genetically engineered to contain: (i) a target binding domain comprising randomized nucleotide sequences; (ii) a 3' splice region that includes a branch point, pyrimidine tract and a 3' splice acceptor site and/or a 5' splice donor site; (iii) a spacer region that separates the PTM splice site from the target binding domain; and (iv) nucleotide sequences encoding a marker or peptide affinity purification tag. Such peptide tags include, but are not limited to, HIS tags (6 histidine consecutive residues) (Janknecht, et al., 1991 Proc. Natl. Acad. Sci. USA 88:8972-8976), glutathione-S-transferase

(GST) (Smith, D.B. and Johnson K.S., 1988, Gene 67:31) (Pharmacia) or FLAG (Kodak/IBI) tags (Nisson, J. et al. J. Mol. Recognit., 1996, 5:585-594)

Trans-splicing reactions using such PTMs results in the generation of chimeric mRNA molecules encoding fusion proteins comprising protein sequences normally expressed in a cell linked to a marker or peptide affinity purification tag. The desired goal of such a method is that every protein synthesized in a cell receives a marker or peptide affinity tag thereby providing a method for identifying each protein expressed in a cell.

In a specific embodiment of the invention, PTM expression libraries encoding PTMs having different target binding domains comprising random nucleotide sequences are generated. The desired goal is to create a PTM expression library that is complex enough to produce a PTM capable of binding to each pre-mRNA expressed in a cell. In a preferred embodiment, the library is cloned into a mammalian expression vector that results in one, or at most, a few vectors being present in any one cell.

To identify the expression of chimeric proteins, host cells are transformed with the PTM library and plated so that individual colonies containing one PTM vector can be grown and purified. Single colonies are selected, isolated, and propagated in the appropriate media and the labeled chimeric protein exon(s) fragments are separated away from other cellular proteins using, for example, an affinity purification tag. For example, affinity chromatography can involve the use of antibodies that specifically bind to a peptide tag such as the FLAG tag. Alternatively, when utilizing HIS tags, the fusion proteins are purified using a Ni^{2+} nitriloacetic acid agarose columns, which allows selective elution of bound peptide eluted with imidazole containing buffers. When using GST tags, the fusion proteins are purified using glutathione-S-transferase agarose beads. The fusion proteins can then be eluted in the presence of free glutathione.

Following purification of the chimeric protein, an analysis is carried out to determine the amino acid sequence of the fusion protein. The amino acid sequence of the fusion protein is determined using techniques well known to those of skill in the art, such as Edman Degradation followed by amino acid analysis using

HPLC, mass spectrometry or an amino acid analyzation. Once identified, the peptide sequence is compared to those sequences available in protein databases, such as GenBank. If the partial peptide sequence is already known, no further analysis is done. If the partial protein sequence is unknown, then a more complete sequence of that protein can be carried out to determine the full protein sequence. Since the fusion protein will contain only a portion of the full length protein, a nucleic acid encoding the full length protein can be isolated using conventional methods. For example, based on the partial protein sequence oligonucleotide primers can be generated for use as probes or PCR primers to screen a cDNA library.

6. EXAMPLE: PRODUCTION OF TRANS-SPLICING MOLECULES

The following section describes the production of PTMs and the demonstration that such molecules are capable of mediating *trans*-splicing reactions resulting in the production of chimeric mRNA molecules.

6.1 MATERIALS AND METHODS

6.1.1. CONSTRUCTION OF PRE-mRNA MOLECULES

Plasmids containing the wild type diphtheria toxin subunit A (DT-A, wild-type accession #K01722) and a DT-A mutant (CRM 197, no enzymatic activity) were obtained from Dr. Virginia Johnson, Food and Drug Administration, Bethesda, Maryland (Uchida *et al.*, 1973 J. Biol. Chem 248:3838). For *in vitro* experiments, DT-A was amplified using primers: DT-1F (5'-GGCGCTGCAGGGCGCTGATGATGTTGTTG); and DT-2R (5'-GGCGAAGCTTGGATCCGACACGATTTCTGCACAGG), cut with PstI and HindIII, and cloned into PstI and HindIII digested pBS(-) vector (Stratagene, La Jolla, CA). The resulting clone, pDTA was used to construct the individual PTMs. (1) pPTM+: Targeted construct. Created by inserting IN3-1 (5'AATTCTCTAGATGCTT CACCCGGGCCTGACTCGAGTACTAACTGGTACCTCTTCTTTTTTTTCTGCA) and IN2-4 (5'-GGAAAAAAGAAGAGGTACCAGTTAGTACTCGAGTCAGG CCCGGGTGAAGCATCTAGAG) primers into EcoRI and PstI digested pDTA. (2) pPTM+Sp: As pPTM+ but with a 30 bp spacer sequence between the BD and BP.

- Created by digesting pPTM+ with XhoI and ligating in the oligonucleotides, spacer S (5'-TCGAGCAACGTTATAATAATGTTC) and spacer AS (5'-TCGAGAACATTATT ATAACGTTGC). For *in vivo* studies, an EcoRI and HindIII fragment of pcPTM+Sp was cloned into mammalian expression vector pcDNA3.1 (Invitrogen), under the control of a CMV promoter. Also, the methionine at codon 14 was changed into isoleucine to prevent initiation of translation. The resulting plasmid was designated as pcPTM+Sp. (3) pPTM+CRM: As pPTM+Sp but the wild type DT-A was substituted with CRM mutant DT-A (T. Uchida, et al., 1973, J. Biol. Chem. 248:3838). This was created by PCR amplification of a DT-A mutant (mutation at G52E) using primers DT-1F and DT-2R. For *in vivo* studies, an EcoRI HindIII fragment of PTM+CRM was cloned into pc3.1DNA that resulted in pcPTM+ARM. (4) PTM-: Non-targeted construct. Created by digestion of PTM+ with EcoRI and Pst I, gel purified to remove the binding domain followed by ligation of the oligonucleotides, IN-5 (5'-ATCTCTAGATCAGGCCCGGGTGAAGCC CGAG) and IN-6 (5'-TGCTTCACCC GGCCTGATCTAGAG). (5) PTM-Sp, is an identical version of the PTM-, except it has a 30 bp spacer sequence at the PstI site. Similarly, the splice mutants [Py(-)AG(-) and BP(-)Py(-)AG(-)] and safety variants [PTM+SF-Py1, PTM+SF-Py2, PTM+SFBP3 and PTM+SFBP3-Py1] were constructed either by insertion or deletion of specific sequences (see Table 1).

| Table 1. Binding/non-binding domain, BP, PPT and 3' as sequences of different PTMs. | | | | |
|---|--|-------------------------|----------------------|------|
| PTM construct | BD/NBD | BP | PPT | 3'ss |
| PTM+Sp (targeted) | :TGCTTCACCCGGGCCTGA | TACTA <u>A</u> C | CTCTTCTTTTTTTTCC | CAG |
| PTM-Sp (non-targeted) | :CAACGTTATAATAATGTT | TACTA <u>A</u> C | CTCTTCTTTTTTTTCC | CAG |
| PTM+Py (-)AG(-)BP(-) | :TGCTTCACCCGGGCCTGA | GGCTG <u>A</u> T | CTGTGATTAATAGC GG | ACG |
| PTM+Py(-)AG(-) | :TGCTTCACCCGGGCCTGA | TACTA <u>A</u> C | CCTGGACGCGGAA GTT | ACG |
| PTM+SF | :CTGGGACAAGGACACTGC TTCACCCGGTTAGTAGACC ACAGCCCTGAAGCC | TACTA <u>A</u> C | CTTCTGTTTTTTTCTC | CAG |
| PTM+SF-Py | :As in PTM+SF | TACTA <u>A</u> C | CTTCTGTATTATTCT C | CAG |
| PTM+SF-Py | :As in PTM+SF | TACTA <u>A</u> C | GTTCTGTCCTTGCT C | CAG |
| PTM+SF-BP3 | :As in PTM+SF | TGCTG <u>A</u> C | CTTCTGTTTTTTTCTC | CAG |
| PTM+SFBP3-Py | :As in PTM+SF | TGCTG <u>A</u> C | CTTCTGTATTATTCT C | CAG |

Nucleotides in bold indicate the mutations compared to normal BP, PPT and 3' splice site.

- 15 Branch site A is underlined. The nucleotides in italics indicates the mismatch introduced into safety BD to mask the BP sequence in the PTM.

20 A double *trans*-splicing PTM construct (DS-PTM) was also made adding a 5' splice site and a second target binding domain complementary to the second intron of β HCG pre-mRNA to the 3' end of the toxin coding sequence of PTM+SF (Figure A).

6.1.2. βHCG6 TARGET PRE-mRNA

To produce the *in vitro* target pre-mRNA, a SacI fragment of βHCG gene 6 (accession #X00266) was cloned into pBS(-). This produced an 805 bp insert from nucleotide 460 to 1265, which includes the 5' untranslated region, initiation
5 codon, exon 1, intron 1, exon 2, and most of intron 2. For *in vivo* studies, an EcoRI and BamHI fragment was cloned into mammalian expression vector (pc3.1DNA), producing βHCG6.

6.1.3. mRNA PREPARATION

For *in vitro* splicing experiments, βHCG6, β-globin pre-mRNA and
10 different PTM mRNAs were synthesized by *in vitro* transcription of BamHI and HindIII digested plasmid DNAs respectively, using T7 mRNA polymerase (Pasman & Garcia-Blanco, 1996, Nucleic Acids Res. 24:1638). Synthesized mRNAs were purified by electrophoresis on a denaturing polyacrylamide gel, and the products were excised and eluted.

15 6.1.4. IN VITRO SPLICING

PTMs and target pre-mRNA were annealed by heating at 98°C followed by slow cooling to 30-34°C. Each reaction contained 4 μl of annealed mRNA complex (100 ng of target and 200 ng of PTM), 1X splice buffer (2 Memorandum MgCl₂, 1 Memorandum ATP, 5 Memorandum creatinine phosphate,
20 and 40 Memorandum KCl) and 4 μl of HeLa splice nuclear extract (Promega) in a 12.5 μl final volume. Reactions were incubated at 30°C for the indicated times and stopped by the addition of an equal volume of high salt buffer (7 M urea, 5% SDS, 100 Memorandum LiCl, 10 Memorandum EDTA and 10 Memorandum TrisHCl, pH 7.5). Nucleic acids were purified by extraction with phenol:chloroform:isoamyl
25 alcohol (50:49:1) followed by ethanol precipitation.

6.1.5. REVERSE TRANSCRIPTION-PCR REACTIONS

RT-PCR analysis was performed using EZ-RT PCR kit (Perkin-Elmer, Foster City, CA). Each reaction contained 10 ng of *cis*- or *trans*-spliced mRNA, or 1-2 μg of total mRNA, 0.1 μl of each 3' and 5' specific primer, 0.3 Memorandum of

each dNTP, 1X EZ buffer (50 Memorandum bicine, 115 Memorandum potassium acetate, 4% glycerol, pH 8.2), 2.5 Memorandum magnesium acetate and 5 U of *rTth* DNA polymerase in a 50 μ l reaction volume. Reverse transcription was performed at 60°C for 45 min followed by PCR amplification of the resulting cDNA as follows:

- 5 one cycle of initial denaturation at 94°C for 30 sec, and 25 cycles of denaturation at 94°C for 18 sec and annealing and extension at 60°C for 40 sec, followed by a 7 min final extension at 70°C. Reaction products were separated by electrophoresis in agarose gels.

Primers used in the study were as follows:

- 10 DT-1F: GGCGCTGCAGGGCGCTGATGATGTTGTTG
 DT-2R: GGCGAAGCTTGGATCCGACACGATTCCTGCACAGG
 DT-3R: CATCGTCATAATTCCTTGTG
 DT-4R: ATGGAATCTACATAACCAGG
 DT-5R: GAAGGCTGAGCACTACACGC
- 15 HCG-R2: CGGCACCGTGGCCGAAGTGG,
 Bio-HCG-F: ACCGGAATTCATGAAGCCAGGTACACCAGG
 β -globulin-F: GGGCAAGGTGAACGTGGATG
 β -globulin-R: ATCAGGAGTGGACAGATCC

6.1.6 CELL GROWTH, TRANSFECTION AND mRNA

20

ISOLATION

Human lung cancer cell line H1299 (ATCC accession # CRL-5803)

was grown in RPMI medium supplemented with 10% fetal bovine serum at 37°C in a

- 5% CO₂ environment. Cells were transfected with pcSp+CRM (CRM is a non-functional toxin), a vector expressing a PTM, or vector alone (pcDNA3.1) using
- 25 lipofectamine reagent (Life Technologies, Gaithersburg, MD). The assay was scored for neomycin resistance (neo^r) colony formation two weeks after transfection. Four neo^r colonies were selected and expanded under continued neo selection. Total cellular mRNA was isolated using RNA exol (BioChain Institute, Inc., San Leandro, CA) and used for RT-PCR.

6.1.7. TRANS-SPLICING IN TUMORS IN NUDE MICE

Eleven nude mice were bilaterally injected (except B10, B11 and B12 had 1 tumor) into the dorsal flank subcutaneous space with 1×10^7 H1299 human lung tumor cells (day 1). On day 14, the mice were given an appropriate dose of anesthesia and injected with, or without electroporation (T820, BTX Inc., San Diego, CA) in several orientations with a total volume of 100 μ l of saline containing 100 μ g pcSp+CRM with or without pc β HCG6 or pcPTM+Sp. Solutions injected into the right side tumors also contained India ink to mark needle tracks. The animals were sacrificed 48 hours later and the tumor excised and immediately frozen at -80°C . For analysis, 10 mg of each tumor was homogenized and mRNA was isolated using a Dynabeads mRNA direct kit (Dyna) following the manufacturers directions. Purified mRNA (2 μ l of 10 μ l total volume) was subjected to RT-PCR using β HCG-F and DT-5R primers as described earlier. All samples were re-amplified using DT-3R, a nested DT-A primer and biotinylated β HCG-F and the products were analyzed by electrophoresis on a 2% agarose gel. Samples that produced a band were processed into single stranded DNA using M280 Streptavidin Dynabeads and sequenced using a toxin specific primer (DT-3R).

6.2. RESULTS

6.2.1. SYNTHESIS OF PTM

A prototypical *trans*-splicing mRNA molecule, pcPTM+Sp (Figure 1A) was constructed that included: an 18 nt target binding domain (complementary to β HCG6 intron 1), a 30 nucleotide spacer region, branch point (BP) sequence, a polypyrimidine tract (PPT) and an AG dinucleotide at the 3' splice site immediately upstream of an exon encoding diphtheria toxin subunit A (DT-A) (Uchida *et al.*, 1973, J. Biol. Chem. 248:3838). Later DT-A exons were modified to eliminate translation initiation sites at codon 14. The PTM constructs were designed for maximal activity in order to demonstrate *trans*-splicing; therefore, they included potent 3' splice elements (yeast BP and a mammalian PPT) (Moore *et al.*, 1993, In The mRNA World, R.F. Gesteland and J.F. Atkins, eds. (Cold Spring Harbor, New York: Cold Spring Harbor Laboratory Press). β HCG6 pre-mRNA (Talmadge *et al.*, 1984, Nucleic Acids

Res. 12:8415) was chosen as a model target as this gene is expressed in most tumor cells. It is not expressed in normal adult cells, with the exception of some in the pituitary gland and gonads. (Acevedo *et al.*, 1992, Cancer 76:1467; Hoon *et al.*, 1996, Int J. Cancer 69:369; Bellet *et al.*, 1997, Cancer Res. 57:516). As shown in Figure 1C, pcPTM+Sp forms conventional Watson-Crick base pairs by its binding domain with the 3' end of β HCG6 intron 1, masking the intronic 3' splice signals of the target. This feature is designed to facilitate *trans*-splicing between the target and the PTM.

HeLa nuclear extracts were used in conjunction with established splicing procedures (Pasman & Garcia-Blanco, 1996, Nucleic Acids Res. 24:1638) to test if a PTM construct could invade the β HCG6 pre-mRNA target. The products of *in vitro trans*-splicing were detected by RT-PCR, using primers specific for chimeric mRNA molecules. The predicted product of a successful *trans*-splicing reaction is a chimeric mRNA comprising the first exon of β HCG6, followed immediately by the exon contributed from pcPTM+Sp encoding DT-A (Figure 1C). Such chimeric mRNAs were readily detected by RT-PCR using primers β HCG-F (specific to β HCG6 exon 1) and DT-3R (specific to DT-A, Figure 2, lanes 1-2). At time zero or in the absence of ATP, no 466 bp product was observed, indicating that this reaction was both ATP and time dependent.

The target binding domain of pcPTM+Sp contained 18 nucleotides complementary to β HCG6 intron 1 pre-mRNA and demonstrated efficient *trans*-splicing (Figure 2A, lanes 1-2). *Trans*-splicing efficiency decreased at least 8 fold (Figure 2, lanes 3-4) using non-targeted PTM-Sp, which contains a non-complementary 18 nucleotide "non-binding domain". *Trans*-splicing efficiencies of PTM mRNAs with or without a spacer between the binding domain and BP were also compared. This experiment demonstrated a significant increase in the efficiency of *trans*-splicing by the addition of a spacer (Figure 2B, lanes 2 + 5). To facilitate the recruitment of splicing factors required for efficient *trans*-splicing, some space may be needed between the 3' splice site and the double stranded secondary structure produced by the binding domain/target interaction.

To investigate the effect of PTM length on *trans*-splicing specificity, shorter PTMs were synthesized from *AccI* cut PTM plasmid (see Figure 1). This

eliminated 479 nt from the 3' end of the DT-A coding sequence. Figure 2B shows the trans-splicing ability of a targeted short PTM(+) (lanes 10-12), compared to a non-targeted short PTM(-) (lanes 14-17). Short PTM+ produced substantially more *trans*-spliced product (Figure 2B, lane 12) than its counterpart, non-targeted short PTM (Figure 2B, lane 17). These experiments indicate that longer PTMs may have increased potential to mediate *trans*-splicing non-specifically.

6.2.2. ACCURACY OF PTM SPLICEOSOME MEDIATED TRANS SPLICING

To confirm that *trans*-splicing between the pcPTM+Sp and β HCG6 target is precise, RT-PCR amplified product was produced using 5' biotinylated β HCG-F and nonbiotinylated DT-3R primers. This product was converted into single stranded DNA and sequenced directly with primer DT-3R (DT-A specific reverse primer) using the method of Mitchell and Merrill (1989, Anal. Biochem. 178:239). *Trans*-splicing occurred exactly between the predicted splice sites (Figure 3), confirming that a conventional pre-mRNA can be invaded by an engineered PTM construct during splicing; moreover, this reaction is precise.

In addition selective *trans*-splicing of a double splicing PTM (DS-PTM) was observed (Figure 8B). The DS-PTM can produce *trans*-splicing by contributing either a 3' or 5' splice site. Further, DS-PTMs can be constructed which will be capable of simultaneously double trans-splicing, at both a 3' and 5' site, thereby permitting exon replacement. Figure 8B demonstrates that in this construct the 5' splice site is most active at a 1:1 concentration of target β HCG pre-mRNA:DS-PTM. At a 1:6 ratio the 3' splice site is more active.

6.2.3. 3' SPLICE SITES ARE ESSENTIAL FOR PTM TRANS-SPLICING

In general, the 3' splice site contains three elements: 1) a BP sequence located 5' of the acceptor site, 2) a PPT consisting of a short run of pyrimidine residues, and 3) a YAG trinucleotide splice site acceptor at the intron-exon border (Senapathy *et al.*, 1990, Cell 91:875; Moore *et al.*, 1993). Deletion or alteration of

one of these sequence elements are known to either decrease or abolish splicing (Aebi *et al.*, 1986; Reed & Maniatis 1988, Genes Dev. 2:1268; Reed, 1989, Genes Dev. 3:2113; Roscigno *et al.*, 1993, J. Biol. Chem. 268:11222; Coolidge *et al.*, 1997, Nucleic Acids Res. 25:888). The role of these conserved elements in targeted *trans*-splicing was addressed experimentally. In one case [(BP(-)Py(-)AG(-))], all three *cis* - elements (BP, PPT and AG dinucleotide) were replaced by random sequences. A second splicing mutant [(Py(-)AG(-))] was constructed in which the PPT and the 3' splice site acceptor were mutated and substituted by random sequences. Neither construct was able to support *trans*-splicing *in vitro* (Figure 2A, lanes 5-8), suggesting that, as in the case of conventional *cis*-splicing, the PTM *trans*-splicing process also requires a functional BP, PPT and AG acceptor at the 3' splice site.

6.2.4. DEVELOPMENT OF A "SAFETY" SPLICE SITE TO INCREASE SPECIFICITY

To improve the levels of target specificity achieved by the inclusion of a binding domain or by shortening the PTM, the target-binding domain of several PTM constructs was modified to create an intra-molecular stem to mask the 3' splice site (termed a "safety PTM"). The safety stem is formed by portions of the binding domain that partially base pair with regions of the PTM 3' splice site or sequences adjacent to them, thereby blocking the access of spliceosomal components to the PTM 3' splice site prior to target acquisition (Figure 4A, PTM+SF). Base pairing between free portions of the PTM binding domain and β HCG6 target region unwinds the safety stem, allowing splicing factors such as U2AF to bind to the PTM 3' splice site and initiate *trans*-splicing (Figure 4B).

This concept was tested in splicing reactions containing either PTM+SF (safety) or pcPTM+Sp (linear), and both target (β HCG6) and non-target (β -globin) pre-mRNA. The spliced products were subsequently analyzed by RT-PCR and gel electrophoresis. Using β HCG-F and DT-3R primers, the specific 196 bp *trans*-spliced band was demonstrated in reactions containing β HCG target and either linear PTM (pcPTM+Sp, Figure 5, lane 2) or safety PTM (PTM+SF, Figure 5, lane 8). Comparison of the targeted *trans*-splicing between linear PTM (Figure 5, lane 2) and

safety PTM (Figure 5, lane 8) demonstrated that the safety PTM *trans*-spliced less efficiently than the linear PTM.

Non-targeted reactions were amplified using β -globin-F (specific to exon 1 of β -globin) and DT-3R primers. The predicted product generated by non-specific PTM *trans*-splicing with β -globin pre-mRNA is 189 bp. Non-specific *trans*-splicing was evident between linear PTM and β -globin pre-mRNA (Figure 5, lane 5). In contrast, non-specific *trans*-splicing was virtually eliminated by the use of safety PTM (Figure 5, lane 11). This was not unexpected, since the linear PTM was designed for maximal activity to prove the concept of spliceosome-mediated *trans*-splicing. The open structure of the linear PTM combined with its potent 3' splice sites strongly promotes the binding of splicing factors. Once bound, these splicing factors can potentially initiate *trans*-splicing with any 5' splice site, in a process similar to *trans*-splicing in trypanosomes. The safety stem was designed to prevent splicing factors, such as U2AF from binding to the PTM prior to target acquisition. This result is consistent with a model that base-pairing between the free portion of the binding domain and the β HCG6 target unwinds the safety stem (by mRNA-mRNA interaction), uncovering the 3' splice site, permitting the recruitment of splicing factors and initiation of *trans*-splicing. No *trans*-splicing was detected between β -globin and β HCG6 pre-mRNAs (Figure 5, lanes 3, 6, 9 and 12).

6.2.5 IN VITRO TRANS-SPLICING OF SAFETY PTM AND VARIANTS

To better understand the role of *cis*-elements at the 3' splice site in *trans*-splicing a series of safety PTM variants were constructed in which either the PPT was weakened by substitution with purines and/or the BP was modified by base substitution (see Table I). *In vitro trans*-splicing efficiency of the safety (PTM+SF) was compared to three safety variants, which demonstrated a decreased ability to *trans*-splice. The greatest effect was observed with variant 2 (PTM+SFPy2), which was *trans*-splicing incompetent (Figure 4C, lanes 5-6). This inhibition of *trans*-splicing may be attributed to a weakened PPT and/or the higher T_m of the safety stem. In contrast, variations in the BP sequence (PTM+SFBP3) did not markedly effect *trans*-splicing (Figure 4C, lanes 7-8). This was not surprising since the modifications

introduced were within the mammalian branch point consensus range YNYURAC (where Y = pyrimidine, R = purine and N = any nucleotide) (Moore *et al.*, 1993). This finding indicates that the branch point sequence can be removed without affecting splicing efficiency. Alterations in the PPT (PTM+SF-Pyl) decreased the level of *trans*-splicing (lanes 3-4). Similarly, when both BP and PPT were altered PTM+SFBP3-Pyl, they caused a further reduction in *trans*-splicing (Figure 4C, lanes 9-10). The order of *trans*-splicing efficiency of these safety variants is PTM+SF>PTM+SFBP3> PTM+SFPyl>PTM+SFBP3-Pyl>PTM+SFPy2. These results confirm that both the PPT and BP are important for efficient *in vitro trans*-splicing (Roscigno *et al.*, 1993, J. Biol. Chem. 268:11222).

6.2.6 COMPETITION BETWEEN CIS- AND TRANS- SPLICING

To determine if it was possible to block pre-mRNA *cis*-splicing by increasing concentrations of PTM, experiments were performed to drive the reaction towards *trans*-splicing. Splicing reactions were conducted with a constant amount of β HCG6 pre-mRNA target and various concentrations of *trans*-splicing PTM. *Cis*-splicing was monitored by RT-PCR using primers to β HCG-F (exon 1) and β HCG-R2 (exon 2). This amplified the expected 125 bp *cis*-spliced and 478 bp unspliced products (Figure 6A). The primers β HCG-F and DT-3R were used to detect *trans*-spliced products (Figure 6B). At lower concentrations of PTM, *cis*-splicing (Fig. 6A, lanes 1-4) predominated over *trans*-splicing (Figure 6B, lanes 1-4). *Cis*-splicing was reduced approximately by 50% at a PTM concentration 1.5 fold greater than target. Increasing the PTM mRNA concentration to 3 fold that of target inhibited *cis*-splicing by more than 90% (Figure 6A, lanes 7-9), with a concomitant increase in the *trans*-spliced product (Figure 6B, lanes 6-10). A competitive RT-PCR was performed to simultaneously amplify both *cis* and *trans*-spliced products by including all three primers (β HCG-F, HCG-R2 and DT-3R) in a single reaction. This experiment had similar results to those seen in Figure 6, demonstrating that under *in vitro* conditions, a PTM can effectively block target pre-mRNA *cis*-splicing and replace it with the production of an engineered *trans*-spliced chimeric mRNA.

6.2.7. TRANS-SPLICING IN TISSUE CULTURE

To demonstrate the mechanism of *trans*-splicing in a cell culture model, the human lung cancer line H1299 (β HCG6 positive) was transfected with a vector expressing SP+CRM (a non-functional diphtheria toxin) or vector alone (pcDNA3.1) and grown in the presence of neomycin. Four neomycin resistant colonies were individually collected after 14 days and expanded in the continued presence of neomycin. Total mRNA was isolated from each clone and analyzed by RT-PCR using primers β HCG-F and DT-3R. This yielded the predicted 196 bp *trans*-spliced product in three out of the four selected clones (Figure 7A, lanes 2, 3 and 4). The amplified product from clone #2 was directly sequenced, confirming that PTM driven *trans*-splicing occurred in human cells exactly at the predicted splice sites of endogenously expressed β HCG6 target exon 1 and the first nucleotide of DT-A (Figure 7B).

6.2.8. TRANS-SPLICING IN AN *IN VIVO* MODEL

To demonstrate the mechanism of *trans*-splicing *in vivo*, the following experiment was conducted in athymic (nude) mice. Tumors were established by injecting 10^7 H1299 cells into the dorsal flank subcutaneous space. On day 14, PTM expression plasmids were injected into tumors. Most tumors were then subjected to electroporation to facilitate plasmid delivery (see Table 2, below). After 48 hrs, tumors were removed, poly-A mRNA was isolated and amplified by RT-PCR. *Trans*-splicing was detected in 8 out of 19 PTM treated tumors. Two samples produced the predicted *trans*-spliced product (466 bp) from mRNA after one round of RT-PCR. Six additional tumors were subsequently positive for *trans*-splicing by a second PCR amplification using a nested set of primers that produced the predicted 196 bp product (Table 2). Each positive sample was sequenced, demonstrating that β HCG6 exon 1 was precisely *trans*-spliced to the coding sequence of DT-A (wild type or CRM mutant) at the predicted splice sites. Six of the positive samples were from treatment groups that received cotransfected plasmids, pcPTM+CRM and pcHCG6, which artificially increased the concentration of target pre-mRNA. This was done to enhance the probability of detecting *trans*-spliced events. The other two positive

tumors were from a group that received only pcPTM+Sp (wild type DT-A). These tumors were not transfected with β HCG6 expression plasmid, demonstrating once again, as in the tissue culture model described in Section 6.2.7, that *trans*-splicing occurred between the PTM and endogenous β HCG6 pre-mRNA produced by tumor

5 cells.

Table 2. *Trans*-splicing in tumors in nude mice.

| Table 2. <i>Trans</i> -splicing in tumors in nude mice. | | | | | | | | | |
|---|---------------------|-------|-------|-----------------------|------------------------|----------------------------|-----------------------------|-------------------------------|-------------------------------|
| Gro up | Plasmid | Tumor | | Electroporation | RT-PCR (For 466 bp) | Nested PCR (For 196 bp) | Splice junction sequence | | |
| | | Left | Right | | Left | Right | | | |
| 'B1 | pCMV- Sport | B1-1 | B1-2 | - | - | - | - | | |
| B2 | pCMV- Sport | B1-3 | B1-4 | ^a 1000V/cm | - | - | - | | |
| B3 | pcSp+CRM | B3-1 | B3-2 | ^a 1000V/cm | - | - | - | | |
| | | B3-3 | B3-4 | ^a 1000V/cm | - | - | - | | |
| B4 | pcSp+CRM | B4-1 | B4-2 | ^b 50V/cm | - | - | - | | |
| | | B4-3 | B4-4 | ^c 25V/cm | - | - | - | | |
| B5 | pcSp+CRM/ pcHCG6 | B5-1 | B5-2 | ^a 1000V/cm | + | - | + | ATGTTCCAG↓ GGCGTGATG AT | |
| | | B5-3 | B5-4 | ^a 1000V/cm | + | - | + | ATGTTCCAG↓ GGCGTGATG AT | |
| B6 | pcSp+CRM/ pcHCG6 | B6-1 | B6-2 | ^b 50V/cm | - | - | - | - | |
| | | B6-3 | B6-4 | ^c 25V/cm | - | - | + | + | ATGTTCCAG↓ GGCGTGATG AT |
| B7 | pc PTM+Sp | B7-1 | | ^a 1000V/cm | - | - | - | | |
| B8 | pc PTM+Sp | B8-1 | | ^b 50V/cm | - | + | - | ATGTTCCAG↓ GGCGTGATG AT | |
| 'B9 | pc PTM+Sp | B9-1 | | - | - | + | - | ATGTTCCAG↓ GGCGTGATG AT | |

^a: 6 pulses of 99 μ s sets of 3 pulses administered orthogonally^b: 8 pulses of 10ms sets of 4 pulses administered orthogonally

^c: 8 pulses of 50ms sets of 4 pulses administered orthogonally

¹: did not receive electroporation

7. EXAMPLE: lacZ TRANS-SPLICING MODEL

In order to demonstrate and evaluate the generality of the mechanism of spliceosome mediated targeted *trans*-splicing between a specific pre-mRNA target and a PTM, a simple model system based on expression of enzyme β -galactosidase was developed. The following section describes results demonstrating successful spliceosome mediated targeted *trans*-splicing between a specific target and a PTM.

10 7.1 MATERIALS AND METHODS

7.1.1. PRIMER SEQUENCES

The following primers were used for testing the *lacZ* model system:

5' Lac-1F

GCATGAATTCGGTACCATGGGGGGTTCATCATCATC

15 5' Lac-1R CTGAGGATCCTCTTACCTGTAAACGCCCATACTGAC

3' Lac-1F

GCATGGTAACCCTGCAGGGCGGCTTCGTCTGGGACTGG

3' Lac-1R CTGAAAGCTTGTTAACTTATTATTTTGACACCAGACC

3' Lac-Stop

20 GCATGGTAACCCTGCAGGGCGGCTTCGTCTAATAATGGGACTGGGTG

HCG-In1F GCATGGATCCTCCGGAGGGCCCCTGGGCACCTTCCAC

HCG-In1R

CTGACTGCAGGGTAACCGGACAAGGACACTGCTTCACC

HCG-Ex2F GCATGGTAACCCTGCAGGGGCTGCTGCTGTTGCTG

25 HCG-Ex2R CTGAAAGCTTGTTAACCAGCTCACCATGGTGGGGCAG

Lac-TR1 (Biotin): 7-GGCTTTCGCTACCTGGAGAGAC

Lac-TR2 GCTGGATGCGGCGTGCGGTCG

HCG-R2: CGGCACCGTGGCCGAAGTGG

7.1.2. CONSTRUCTION OF THE *lacZ* PRE-mRNA TARGET MOLECULE

The *lacZ* target 1 pre-mRNA (pc3.1 *lacT1*) was constructed by cloning of the following three PCR products: (i) the 5' fragment of *lacZ*; followed by (ii) 5 β HCG6 intron 1; (iii) and the 3' fragment of *lacZ*. The 5' and 3' fragment of the *lacZ* gene were PCR amplified from template pcDNA3.1/His/*LacZ* (Invitrogen, San Diego, CA) using the following primers: 5' *Lac*-1F and 5' *Lac*-1R (for 5' fragment), and 3' *Lac*-1F and 3' *Lac*-1R (for 3' fragment). The amplified *lacZ* 5' fragment is 1788 bp long which includes the initiation codon, and the amplified 3' fragment is 1385 bp long and has the natural 5' and 3' splice sites in addition to a branch point, polypyrimidine tract and β HCG6 intron 1. The β HCG6 intron 1 was PCR amplified using the following primers: HCG-In1F and HCG-In1R.

The *lacZ* target 2 is an identical version of *lacZ* target 1 except it contains two stop codons (TAA TAA) in frame four codons after the 3' splice site. This was created by PCR amplification of the 3' fragment (*lacZ*) using the following primers: 3' *Lac*-Stop and 3' *Lac* 1R and replacing the functional 3' fragment in *LacZ* target 1.

7.1.3. CONSTRUCTION OF pc3.1 PTM1 and pc3.1 PTM2

The pre-trans-splicing molecule, pc3.1 PTM1 was created by digesting pPTM +Sp with PstI and HindIII and replacing the DNA fragment encoding the DT-A toxin with the a DNA fragment encoding the functional 3' end of *lacZ*. This fragment was generated by PCR amplification using the following primers: 3' *Lac*-1F and 3' *Lac*-1R. For cell culture experiments, an EcoRI and HindIII fragment of pc3.1 PTM2 which contains the binding domain to HCG intron 1, a 30 bp spacer, a yeast branch point (TACTAAC), and strong polypyrimidine tract followed by the *lacZ* cloned was cloned into pcDNA3.1.

The pre-trans-splicing molecule, pc3.1 PTM2 was created by digesting pPTM +Sp with PstI and HindIII and replacing the DNA fragment encoding the DT-A toxin with the β HCG6 exon 2. β HCG6 exon 2 was generated by PCR amplification using the following primers: HCG-Ex2F and HCG-Ex2R. For cell culture

experiments, an EcoRI and HindIII fragment of pc3.1 PTM2 which contains the binding domain to HCG intron 1, a 30 bp spacer, a yeast branch point (TACTAAC), and strong polypyrimidine tract followed by the β HCG6 exon 2 cloned was used.

7.1.4. CO-TRANSFECTION OF THE *lacZ* SPLICE TARGET PRE-mRNA AND PTMS INTO 293T CELLS

Human embryonic kidney cells (293T) were grown in DMEM medium supplemented with 10% FBS at 37°C in a 5% CO₂. Cells were co-transfected with pc3.1 LacT1 and pc3.1 PTM2, or pc3.1 LacT2 and pc3.1 PTM, using Lipofectamine Plus (Life Technologies, Gaithersburg, MD) according to the manufacturer's instructions. 24 hours post-transfection, the cells were harvested; total RNA was isolated and RT-PCR was performed using specific primers for the target and PTM molecules. β -galactosidase activity was also monitored by staining the cells using a β -gal staining kit (Invitrogen, San Diego, CA).

7.2. RESULTS

7.2.1 THE *lacZ* SPLICE TARGET *CIS*-SPLICES EFFICIENTLY TO PRODUCE FUNCTIONAL β -GALACTOSIDASE

To test the ability of the splice target pre-mRNA to *cis*-splice efficiently, pc3.1 *lacT1* was transfected into 293 T cells using Lipofectamine Plus reagent (Life Technologies, Gaithersburg, MD) followed by RT-PCR analysis of total RNA. Sequence analysis of the *cis*-spliced RT-PCR product indicated that splicing was accurate and occurred exactly at the predicted splice sites (Fig. 12B). In addition, accurate *cis*-splicing of the target pre-mRNA molecule results in formation of a mRNA capable of encoding active β -galactosidase which catalyzes the hydrolysis of β -galactosidase, *i.e.*, X-gal, producing a blue color that can be visualized under a microscope. Accurate *cis*-splicing of the target pre-mRNA was further confirmed by successfully detecting β -galactosidase enzyme activity.

Repair of defective lacZ target 2 pre-mRNA by trans-splicing of the functional 3' lacZ fragment (PTM1) was measured by staining for β -galactosidase enzyme activity. For this purpose, 293T cells were co-transfected with lacZ target 2 pre-mRNA (containing a defective 3' fragment) and PTM1 (containing functional 3' lacZ activity). 24 hours post-transfection cells were assayed for β -galactosidase enzyme activity. Efficient trans-splicing of PTM1 into the lacZ target 2 pre-mRNA will result in the production of functional β -galactosidase activity. As demonstrated in Figure 11B-E, trans-splicing of PTM 1 into lacZ target 2 results in restoration of β -galactosidase enzyme activity up to 5% to 10% compared to control.

7.2.2. TARGETED *TRANS*-SPLICING BETWEEN
THE lacZ TARGET PRE-mRNA and PTM2.

To assay for *trans*-splicing, lacZ target pre-mRNA and PTM2 were transfected into 293 T cells. Following transfection, total RNA was analyzed using RT-PCR. The following primers were used in the PCR reactions: lacZ-TR1 (lacZ 5' exon specific) and HCGR2 (β HCGR exon 2 specific). The RT PCR reaction produced the expected 195 bp *trans*-spliced product (Fig. 11, lanes 2 and 3) demonstrating efficient *trans*-splicing between the lacZ target pre-mRNA and PTM 2. Lane 1 represents the control, which does not contain PTM 2.

The efficiency of the *trans*-splicing was also measured by staining for β -galactosidase enzyme activity. To assay for trans-splicing, 293T cells were co-transfected with lacZ target pre-mRNA and PTM 2. 24 hours post-transfection, cells were assayed for β -galactosidase activity. If there is efficient *trans*-splicing between the target pre-mRNA and the PTM, a chimeric mRNA is produced consisting of the 5' fragment of the lacZ target pre-mRNA and β HCG6 exon 2 is formed which is incapable of coding for an active β -galactosidase. Results from the co-transfection experiments demonstrated that *trans*-splicing of PTM2 into lacZ target 1 resulted in the reduction of β -galactosidase activity by 10-15% compared to the control.

To further confirm that *trans*-splicing between the lacZ target pre-mRNA and PTM2 is accurate, RT-PCR was performed using 5' biotinylated lacZ-TR1 and non-biotinylated HCGR2 primers. Single stranded DNA was isolated and sequenced directly using HCGR2 primer (HCG exon 2 specific primer). As

evidenced by the sequence of the splice junction, *trans*-splicing occurred exactly as predicted between the splice sites (Fig. 12A and 12B), confirming that a conventional pre-mRNA can be invaded by an engineered PTM during splicing, and moreover, that this reaction is precise.

8. EXAMPLE: CORRECTION OF THE CYSTIC FIBROSIS
TRANSMEMBRANE REGUALTOR GENE

Cystic fibrosis (CF) is one of the most common genetic diseases in the world. The gene associated with CF has been isolated and its protein product deduced (Kerem, B.S. et al., 1989, Science 245:1073-1080; Riordan et al., 1989, Science 245:1066-1073; Rommans, et al., 1989, Science 245:1059-1065). The protein product of the CF associated gene is referred to as the cystic fibrosis trans-membrane conductance regulator (CFTR). The most common disease-causing mutation which accounts for ~70% of all mutant alleles is a deletion of three nucleotides in exon 10 that encode for a phenylalanine at position 508 ($\Delta F508$). The following section describes the successful repair of the cystic fibrosis gene using spliceosome mediated *trans*-splicing and demonstrates the feasibility of repairing CFTR in a model system.

8.1 MATERIALS AND METHODS

8.1.1 PRE-TRANS-SPLICING MOLECULE

20 The CFTR pre-trans-splicing molecule (PTM) consists of a 23
nucleotide binding domain complimentary to CFTR intron 9 (3' end, -13 to -31), a 30
nucleotide spacer region (to allow efficient binding of spliceosomal components),
branch point (BP) sequence, polypyrimidine tract (PPT) and an AG dinucleotide at
the 3' splice site immediately upstream of the sequence encoding CFTR exon 10 (wild
25 type sequence containing F508). This initial PTM was designed for maximal activity
in order to demonstrate *trans*-splicing; therefore the PTM included a UACUAAAC
yeast consensus BP sequence and an extensive PPT. An 18 nucleotide HIS tag (6
histamine codons) was included after wild type exon 10 coding sequence to allow
specific amplification and isolation of the *trans*-spliced products and not the
30 endogenous CFTR. The oligonucleotides used to generate the two fragments

included unique restriction sites. (ApaI and PstI, and PstI and NotI, respectively) to facilitate directed cloning of amplified DNA into the mammalian expression vector pcDNA3.1.

8.1.2. THE TARGET CFTR PRE-mRNA MINI- GENE

The CFTR mini-gene target is shown in Figure 13 and consists of CFTR exon 9; the functional 5' and 3' regions of intron 9 (260 and 265 nucleotides from each end, respectively); exon 10 [Δ F508]; and the 5' region of intron 10 (96 nucleotides). In addition, as depicted in Figure 16, a mini-target gene comprising CFTR exons 1-9 and 10-24 can be used to test the use of spliceosome mediated *trans*-splicing for correction of the cystic fibrosis mutation. Figure 17, shows a double splicing PTM that may also be used for correction of the cystic fibrosis mutation. As shown, the double splicing PTM contains CFTR BD intron 9, a spacer, a branch point, a polypyrimidine tract, a 3' splice site, CFTR exon 10, a spacer, a branch point, a polypyrimidine tract, a 5' splice site and CFTR BD exon 10.

8.1.3 OLIGONUCLEOTIDES

The following oligonucleotides were used to create CFTR PTM:

| | | |
|----|----------------|---|
| 20 | <u>Forward</u> | <u>CF3</u> |
| | | ACCT GGGCCC ACC CAT TAT TAG GTC ATT AT CCGCGG AAC ATT ATA |
| | | ApaI site. Intron 9 CFTR, -12 to -34. |
| | <u>Reverse</u> | <u>CF4</u> |
| | | ACCT <u>CTGCAGGTGACC</u> CTG CAG GAA AAA AAA GAA G |
| 25 | | PstI. BstEI. PPT. |

ForwardCF5

ACCT CTGCAG ACT TCA CTT CTA ATG ATG AT
PstI. Exon 10 CFTR, +1 to +24

ReverseCF6

5 ACCT GCGGCCGC CTA ATG ATG ATG ATG ATG CTC TTC TAG
TTG GCA TGC
Not I. Stop Polyhistamine tag Exon 10 CFTR, +15 to +132

The following nucleotides were used to create the CFTR TARGET
pre-mRNA mini gene (Exon 9 + mini-Intron 9 + Exon 10 + 5' end Intron 10):

10 ForwardCF18

GACCT CTCGAG GGA TTT GGG GAA TTA TTT GAG
XhoI Exon 9 CFTR, 1 to 21.

ReverseCF19

15 CTGACCT GCGGCCGC TAC AGT GTT GAA TGT GGT GC
NotI. Intron 9 5' end.

ForwardCF20

CTGACCT GCGGCCGC CCA ACT ATC TGA ATC ATG TG
NotI. Intron 9 3' end.

ReverseCF21

20 GACCT CTTAAG TAG ACT AAC CGA TTG AAT ATG
AflII Intron 10 5' end.

The following oligonucleotides were used for detection of trans-
spliced products:

ReverseBio-His

25 CTA ATG ATG ATG ATG ATG ATG
Stop. Polyhistidine tag (5' biotin label).

Reverse Bio-His(2)
 CGC CTA ATG ATG ATG ATG ATG
 3' UT Stop. Polyhistidine tag (5' biotin label).

Forward CF8
 5 CTT CTT GGT ACT CCT GTC CTG
 Exon 9 CFTR.

Forward CF18
 GACCT CTCGAG GGA TTT GGG GAA TTA TTT GAG
 XhoI. Exon 9 CFTR.

10 Reverse CF28
 AAC TAG AAG GCA CAG TCG AGG
 Pc3.1 vector sequence (present in PTM 3' UT but not target).

8.2. RESULTS

The PTM and target pre-mRNA were co-transfected in 293 embryonic
 15 kidney cells using lipofectamine (Life Technologies, Gaithersburg, MD). Cells were
 harvested 24 h post transfection and RNA was isolated. Using PTM and target-
 specific primers in RT-PCR reactions, a *trans*-spliced product was detected in which
 mutant exon 10 of the target pre-mRNA was replaced by the wild type exon 10 of the
 PTM (Figure 14). Sequence analysis of the *trans*-spliced product confirmed the
 20 restoration of the three nucleotide deletion and that splicing was accurate, occurring at
 the predicted splice sites (Figure 15), demonstrating for the first time RNA repair of
 the cystic fibrosis gene, CFTR.

The present invention is not to be limited in scope by the specific
 embodiments described herein. Indeed, various modifications of the invention in
 25 addition to those described herein will become apparent to those skilled in the art
 from the foregoing description and accompanying Figures. Such modifications are

intended to fall within the scope of the appended claims. Various references are cited herein, the disclosure of which are incorporated by reference in their entireties.

CLAIMS

1. A *pre-trans*-splicing molecule comprising:
- 5 a) one or more target binding domains that target binding of the *pre-trans*-splicing molecule to a target pre-mRNA;
- b) a 3' splice region comprising a branch point, a pyrimidine tract and a 3' splice acceptor site;
- c) a spacer region that separates the mRNA splice region from the target binding domain; and
- 10 d) a nucleotide sequence to be trans-spliced to the target pre-mRNA.
2. A *pre-trans*-splicing molecule comprising:
- 15 a) one or more target binding domains that target binding of the *pre-trans*-splicing molecule to a target pre-mRNA;
- b) a 3' splice region comprising a branch point, a pyrimidine tract and a 3' splice acceptor site and or a 5' splice donor site;
- 20 c) a spacer region that separates the splice region from the target binding domain; and
- d) a nucleotide sequence to be trans-spliced to the target pre-mRNA.
3. The molecule of claim 1 or 2 further comprising nucleotide
- 25 sequences encoding the cystic fibrosis trans-membrane regulator.
4. The molecule of claim 1 or 2 further comprising exon 10 cystic fibrosis trans-membrane regulator nucleotide sequences.

5. An isolated nucleic acid molecule comprising a nucleotide sequence encoding a *pre-trans*-splicing molecule.
6. A recombinant vector expressing a nucleotide sequence encoding a *pre-trans*-splicing molecule.
- 5 7. The molecule of claim 1 further comprising a safety nucleotide sequence comprising one or more complementary sequences that bind to one or more sides of the *pre-trans*-splicing molecule branch point, pyrimidine tract, or 3' splice site.
- 10 8. A method of producing a chimeric mRNA molecule comprising contacting a *pre-trans*-splicing molecule with a target pre-mRNA under conditions in which a portion of the *pre-trans*-splicing molecule is *trans*-spliced to a portion of the target pre-mRNA to form said chimeric mRNA.
- 15 9. The method of claim 8 wherein the *pre-trans*-splicing mRNA comprises nucleotide sequences encoding the cystic fibrosis trans-membrane regulator.
- 20 10. The method of claim 8 wherein the *pre-trans*-splicing mRNA comprises exon 10 cystic fibrosis trans-membrane regulator nucleotide sequences.
- 25 11. A method of providing a host cell with a chimeric mRNA molecule, said method comprising:
transferring a *pre-trans*-splicing molecule to a host cell
expressing a target pre-mRNA wherein the *pre-trans*-splicing molecule binds to the target pre-mRNA under conditions in

which a portion of the *pre-trans*-splicing molecule is *trans*-spliced to a portion of the target pre-mRNA to form a chimeric mRNA molecule.

12. The method of claim 11 wherein the host cell is a human cell.
13. The method of claim 12 wherein the *pre-trans*-splicing molecule comprises nucleotide sequences encoding a protein that is defective or lacking in the host cell.
14. The method of claim 13 wherein the *pre-trans*-splicing molecule comprises nucleotide sequences encoding a fragment of the cystic fibrosis trans-membrane regulator protein.
15. A pharmaceutical composition comprising a *pre-trans*-splicing molecule and a pharmaceutically acceptable carrier.

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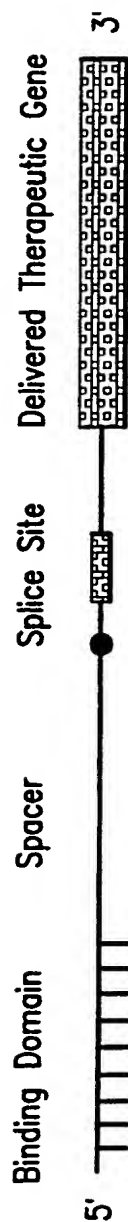


FIG. 1A

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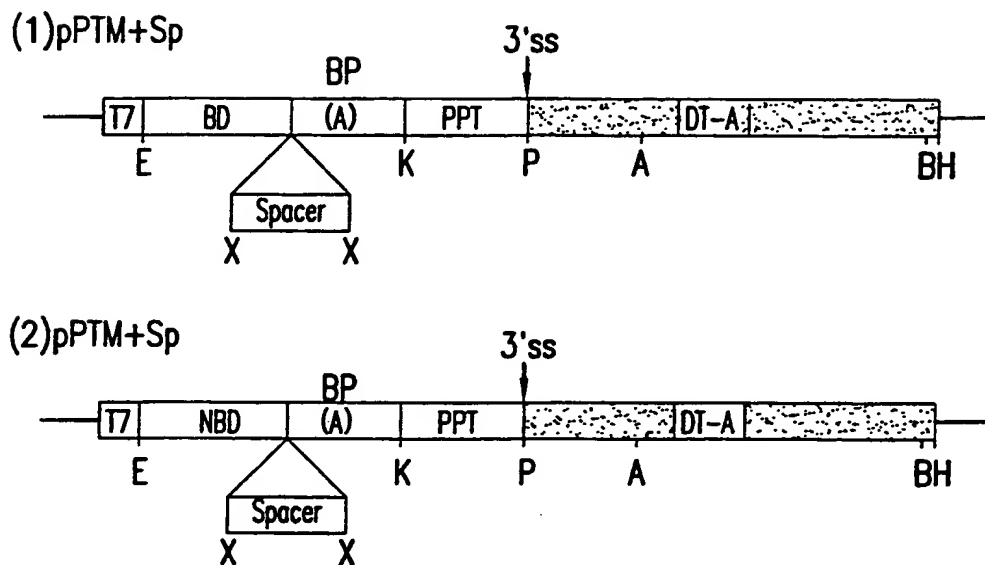


FIG.1B

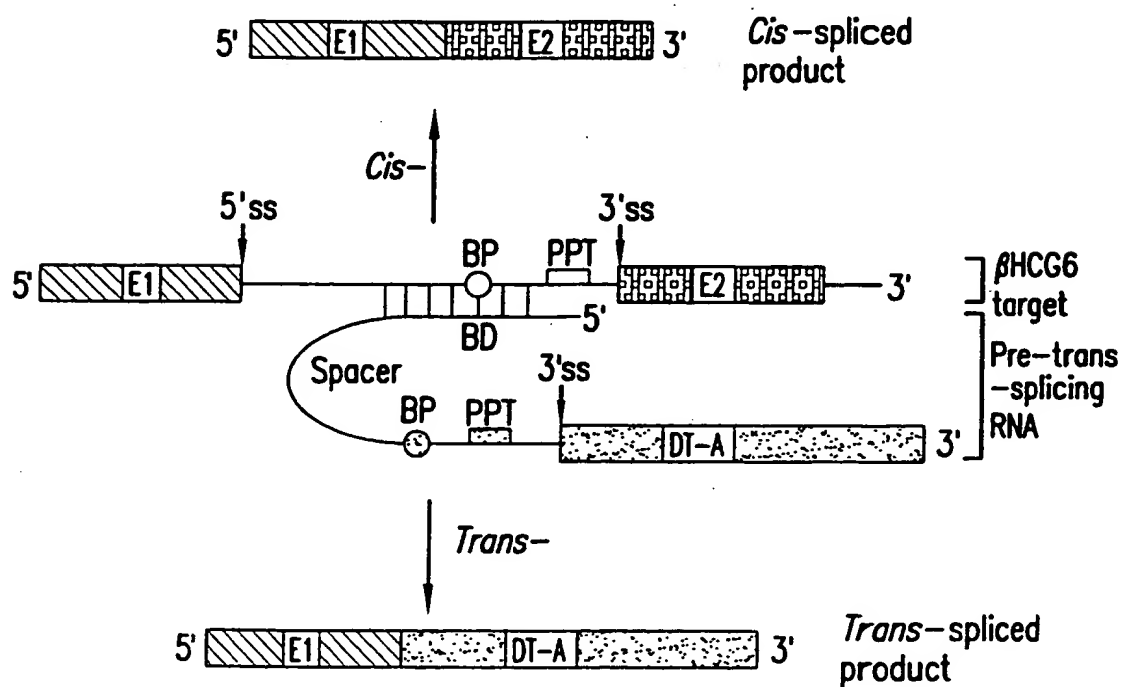


FIG.1C

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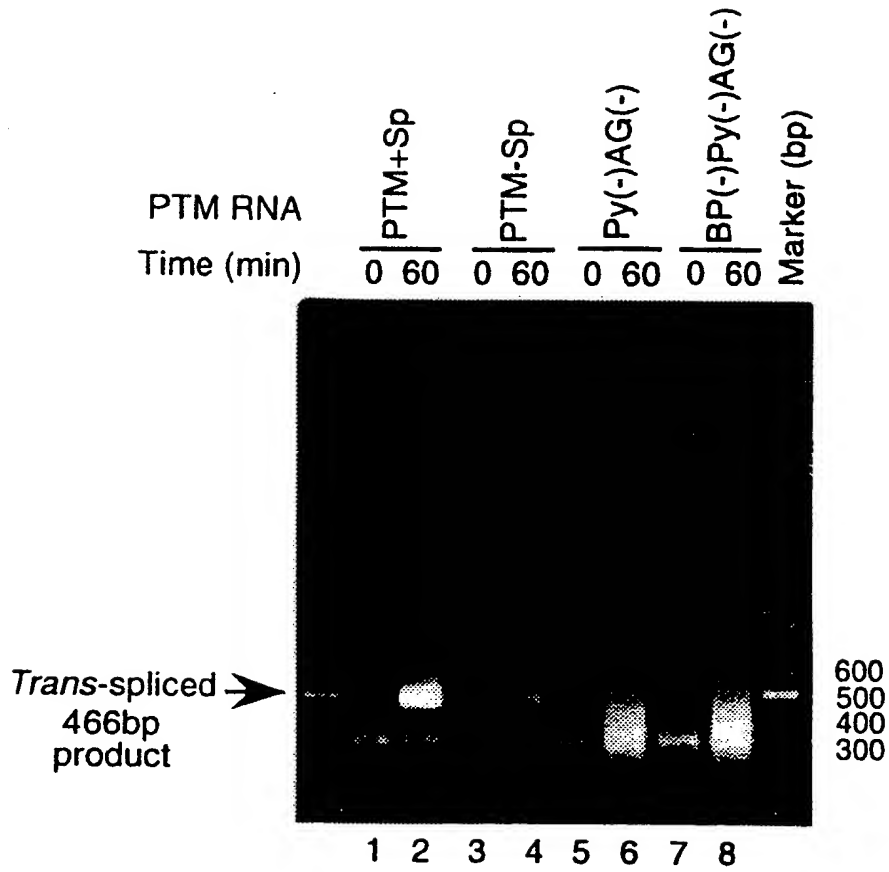


FIG.2A

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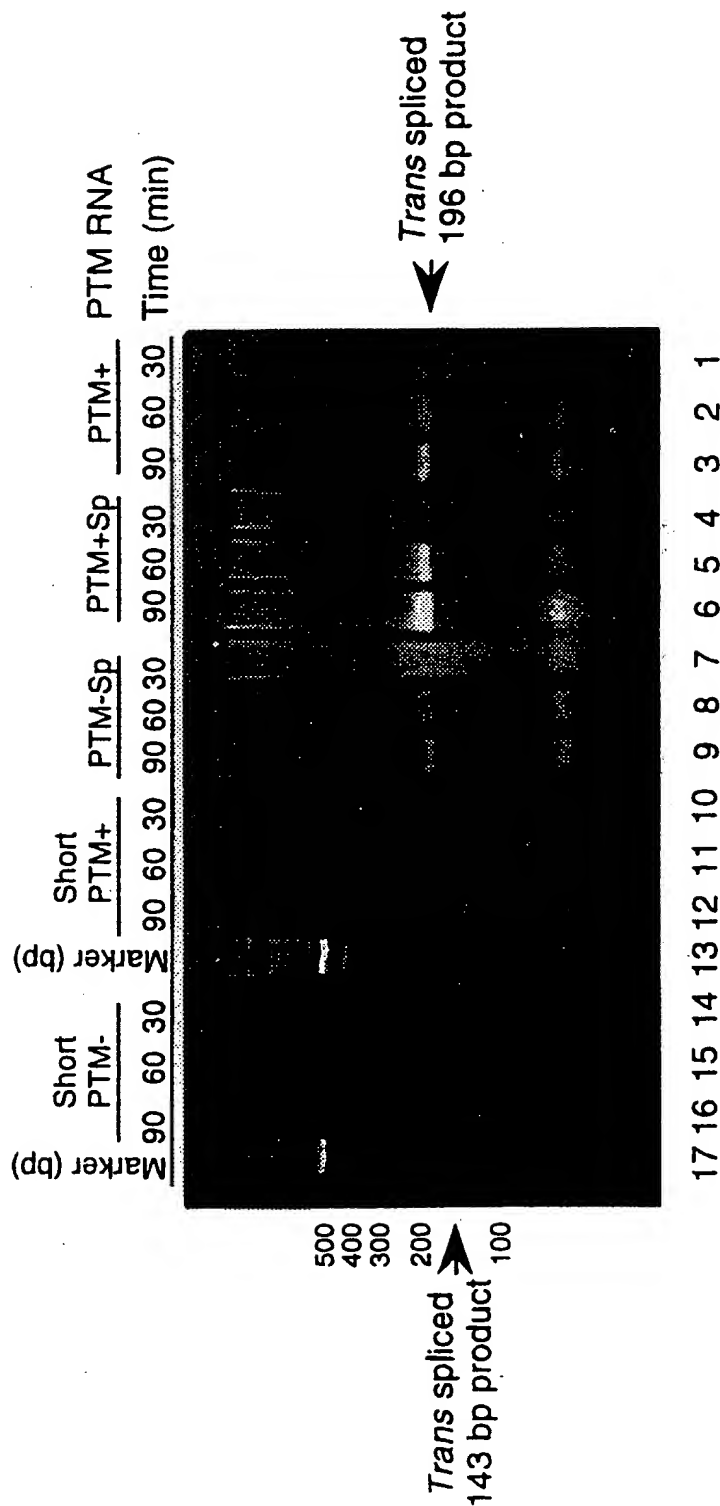


FIG.2B

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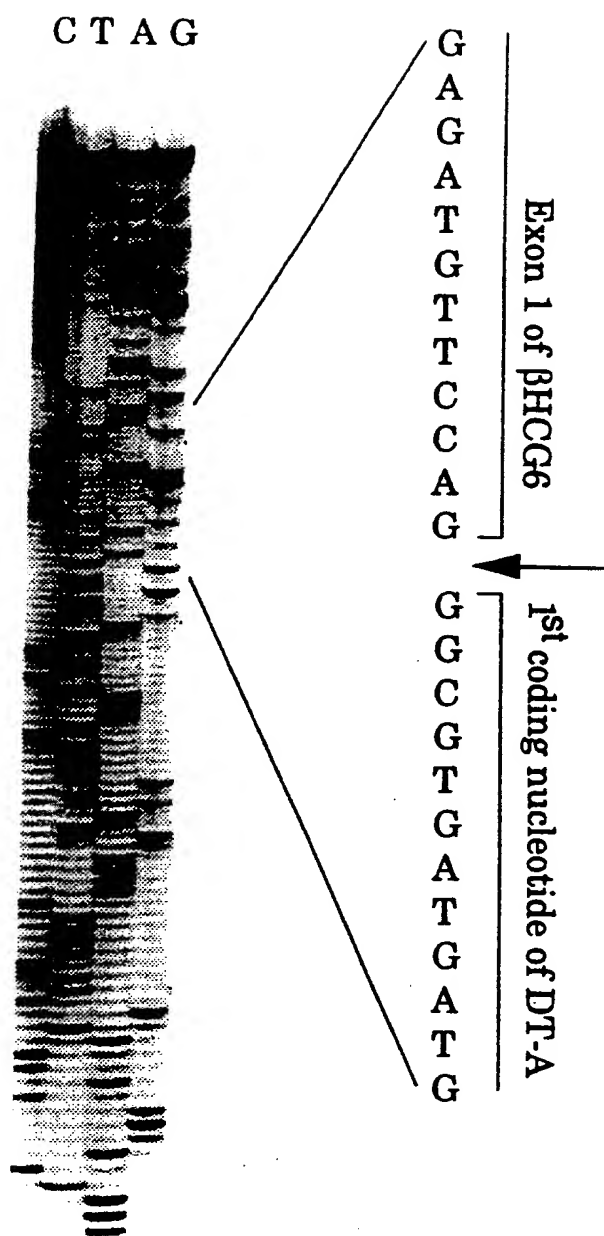
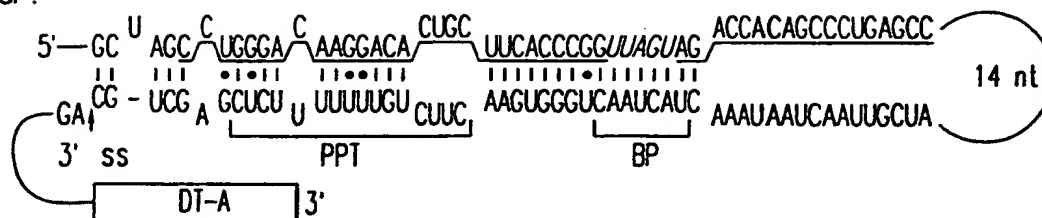


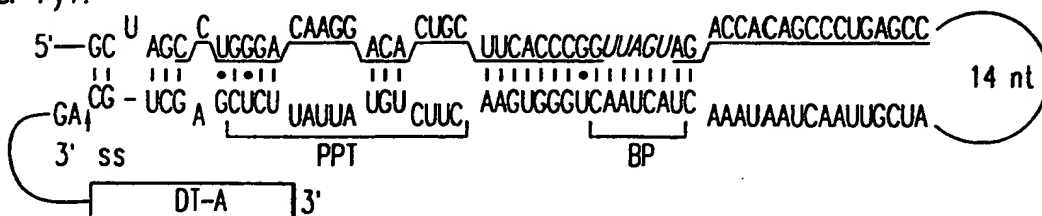
FIG.3

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1. PTM+SF:



2. PTM+SF-Py1:



3. PTM+SF-Py2:

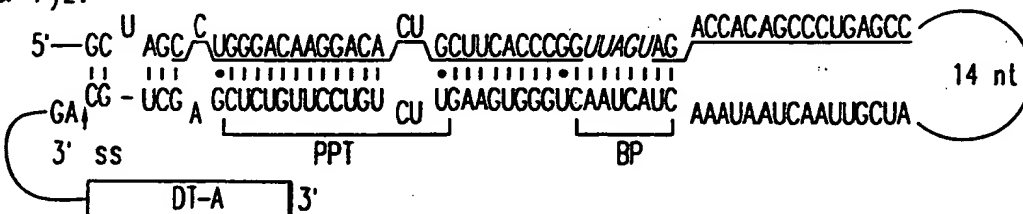


FIG.4A

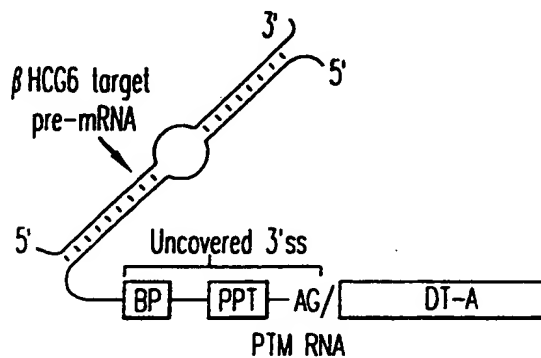


FIG.4B

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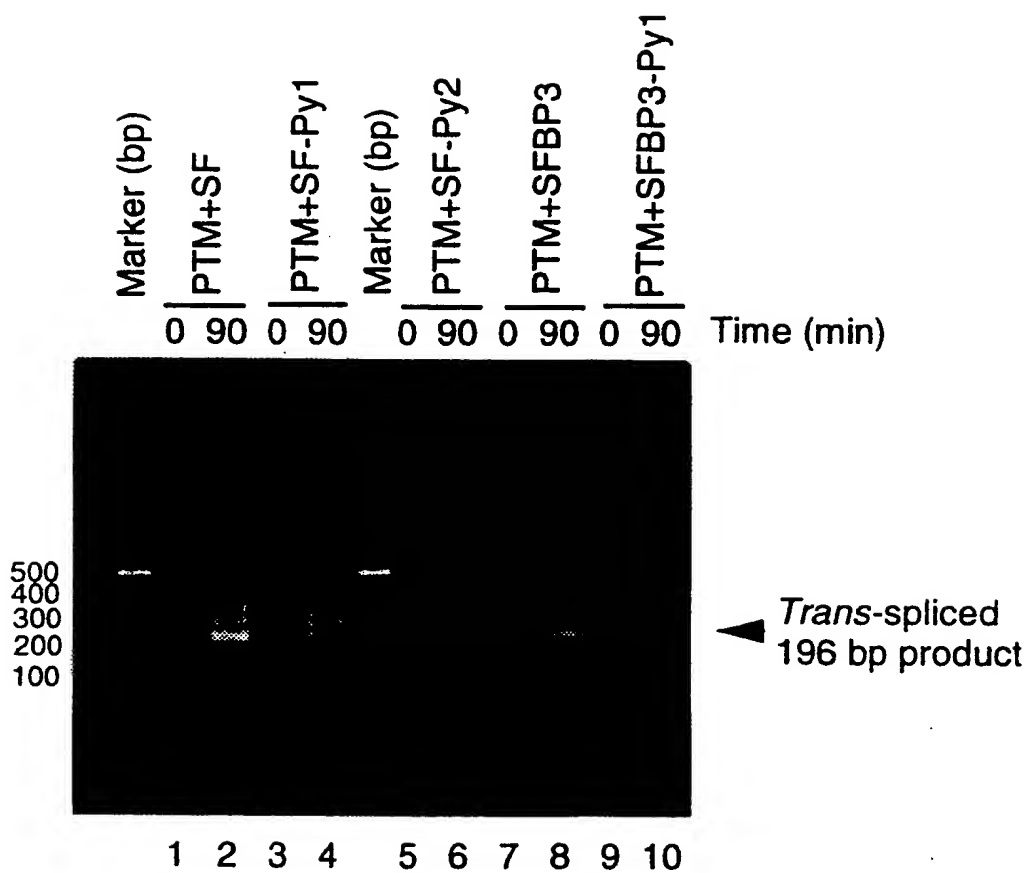


FIG.4C

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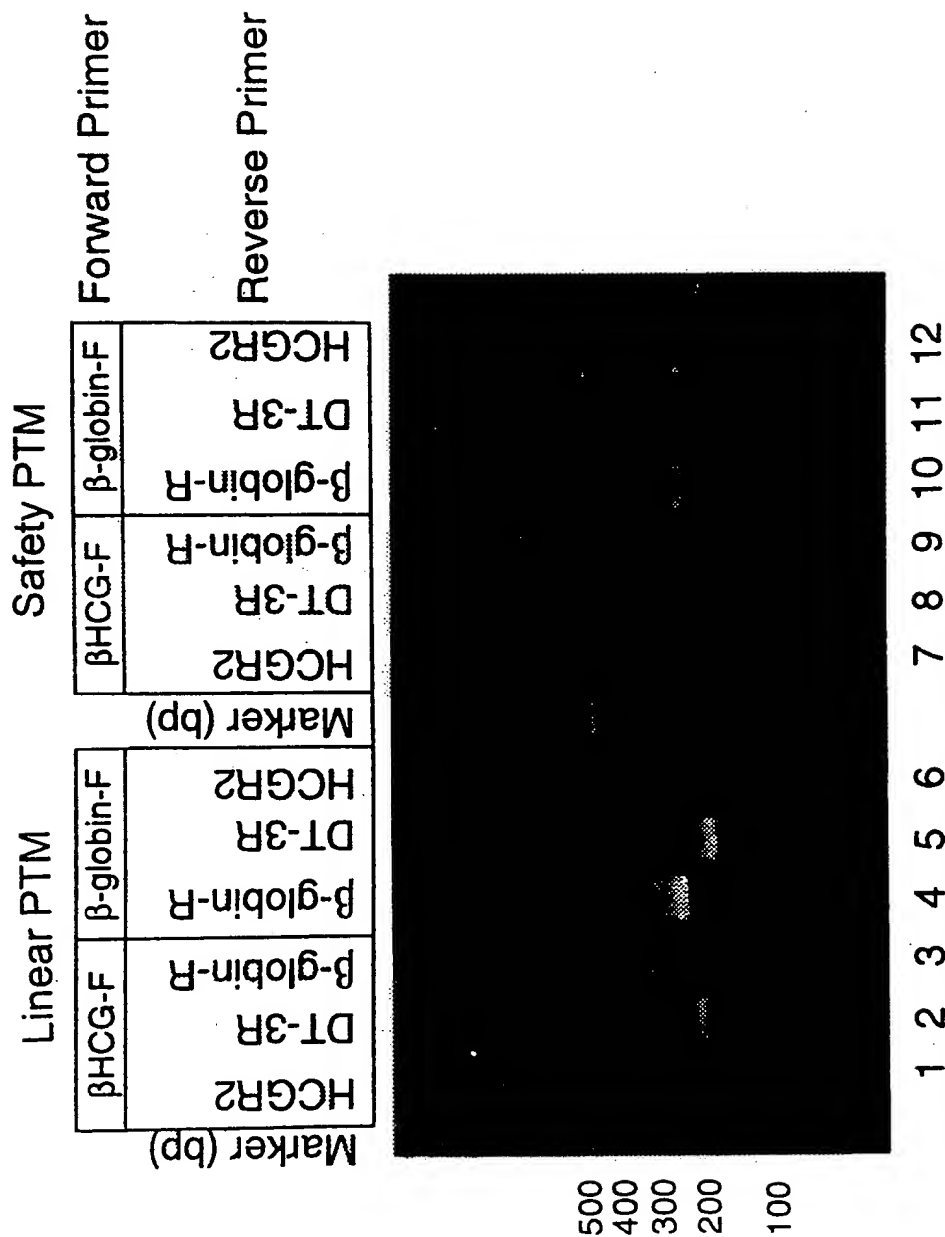


FIG.5

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BNSDOCID: <WO_____0009734A3_IA>

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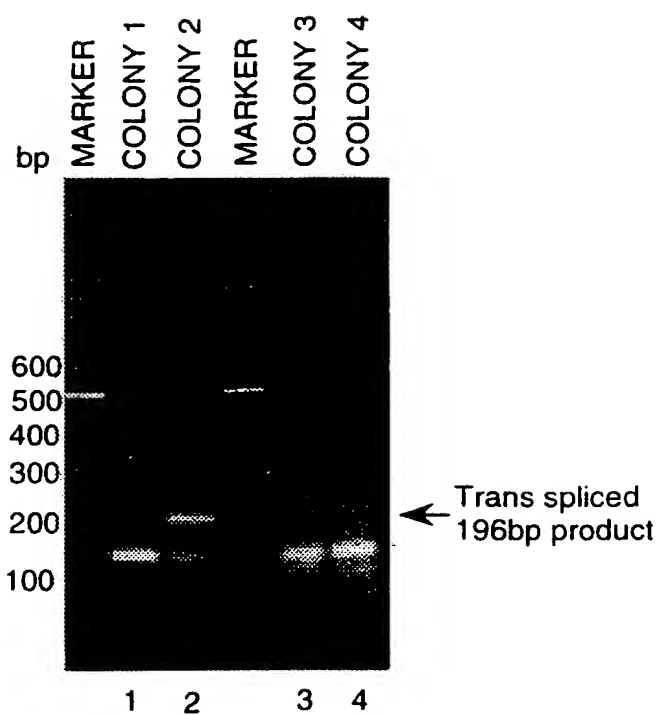


FIG.7A

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EXON 1 OF β HCG6 ↓
5'-CAGGGACGCCACCACGATGCAGATGTTCAG-GGGCTGATGTTGTT
 ↑ 1ST CODING NUCLEOTIDE OF DT-A
GATTCTTCTTAATCTTTTG TGATGGAAC TTTTCTTCGTACCACGGGACTA
AACCTGGTTATGTAGATTCCATTCAAAA-3'

FIG. 7B

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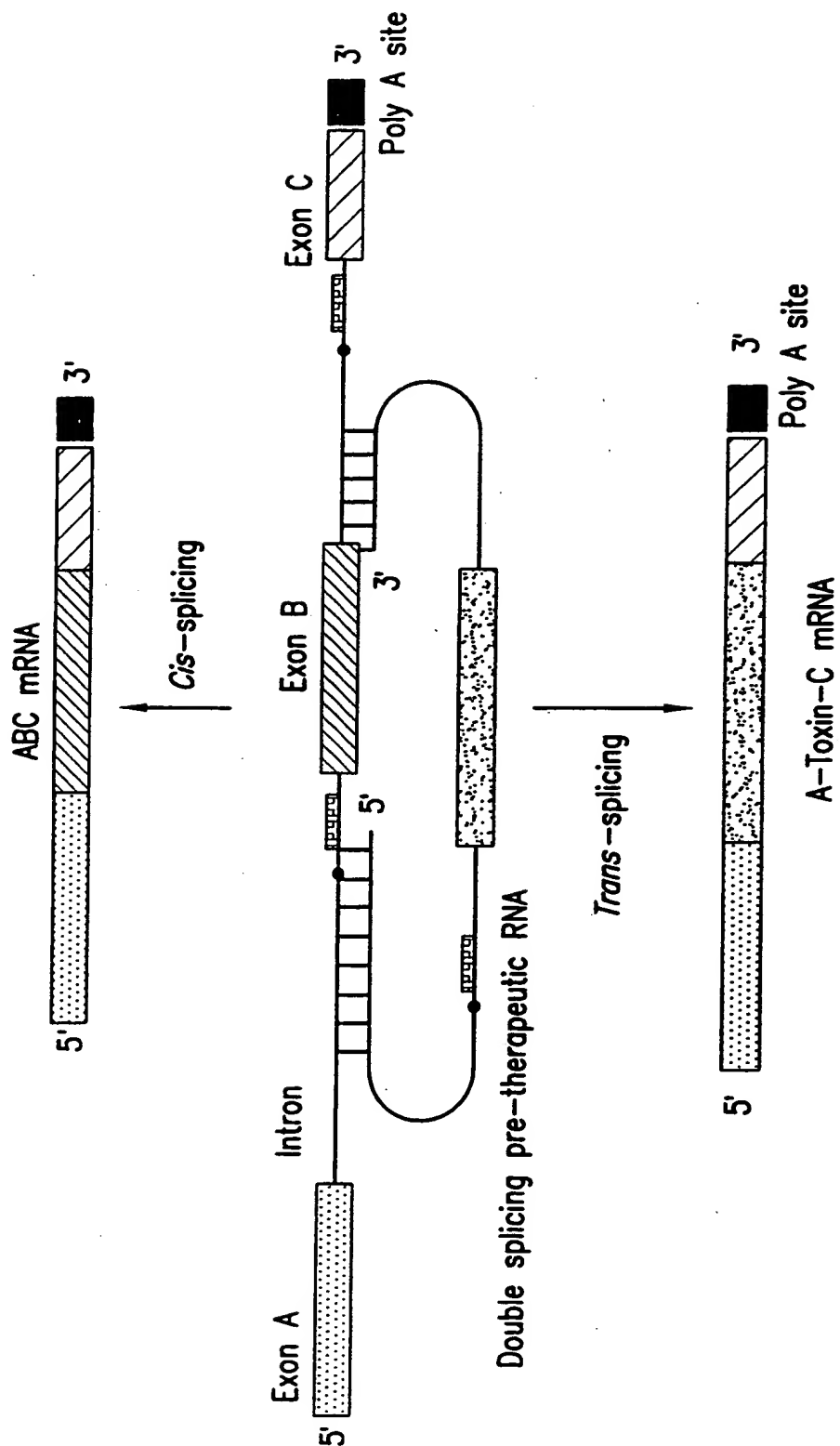
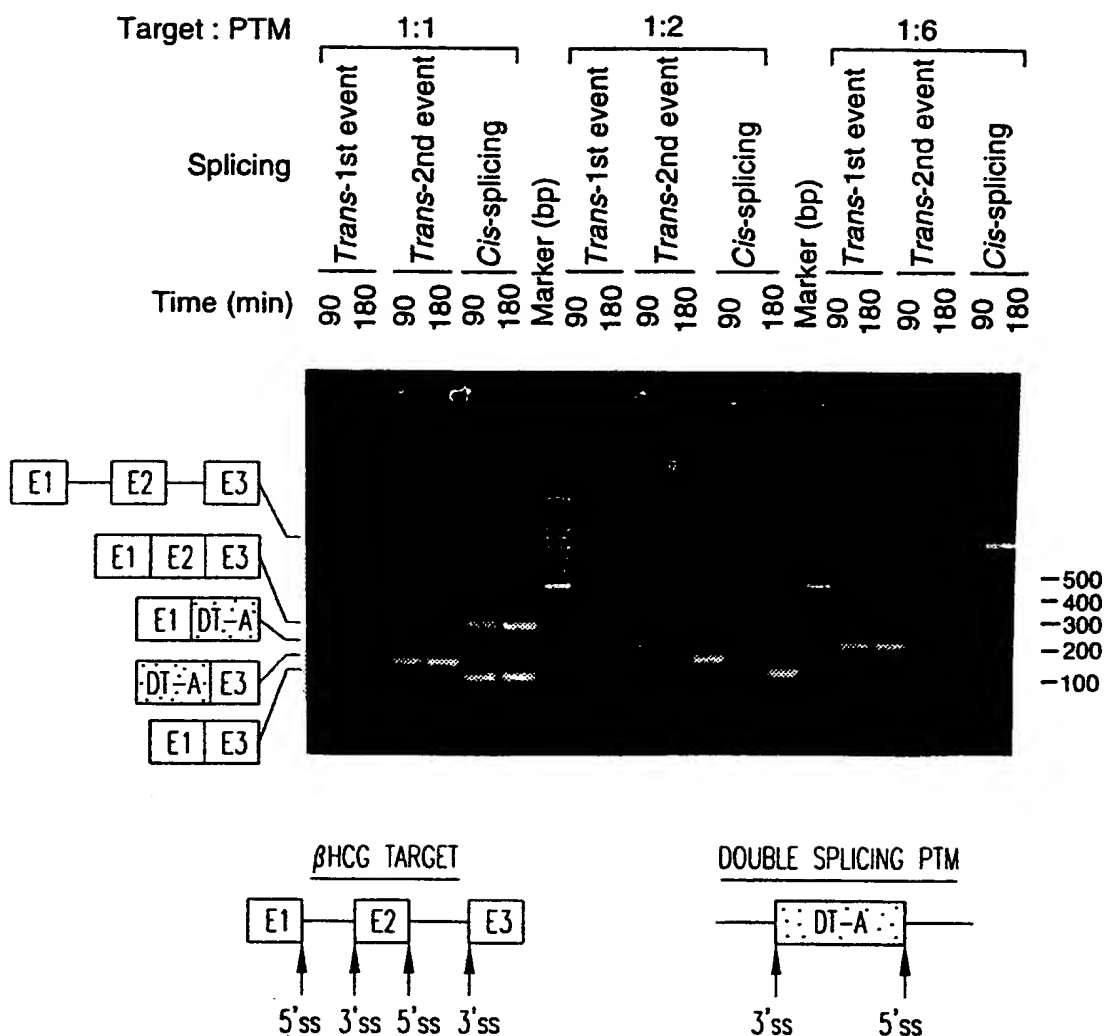


FIG.8A

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Cis-spliced products

E1 E2 E3 = NORMAL *cis*-SPLICING (277bp)

E1 E3 = Exon SKIPPING (110bp)

Trans-spliced products

E1 DT-A = 1st EVENT, 196bp. *Trans*-SPLICING BETWEEN 5' ss OF TARGET & 3' ss OF PTM.

DT-A E3 = 2nd EVENT, 161bp. *Trans*-SPLICING BETWEEN 3' ss OF TARGET & 5' ss OF PTM.

FIG.8B

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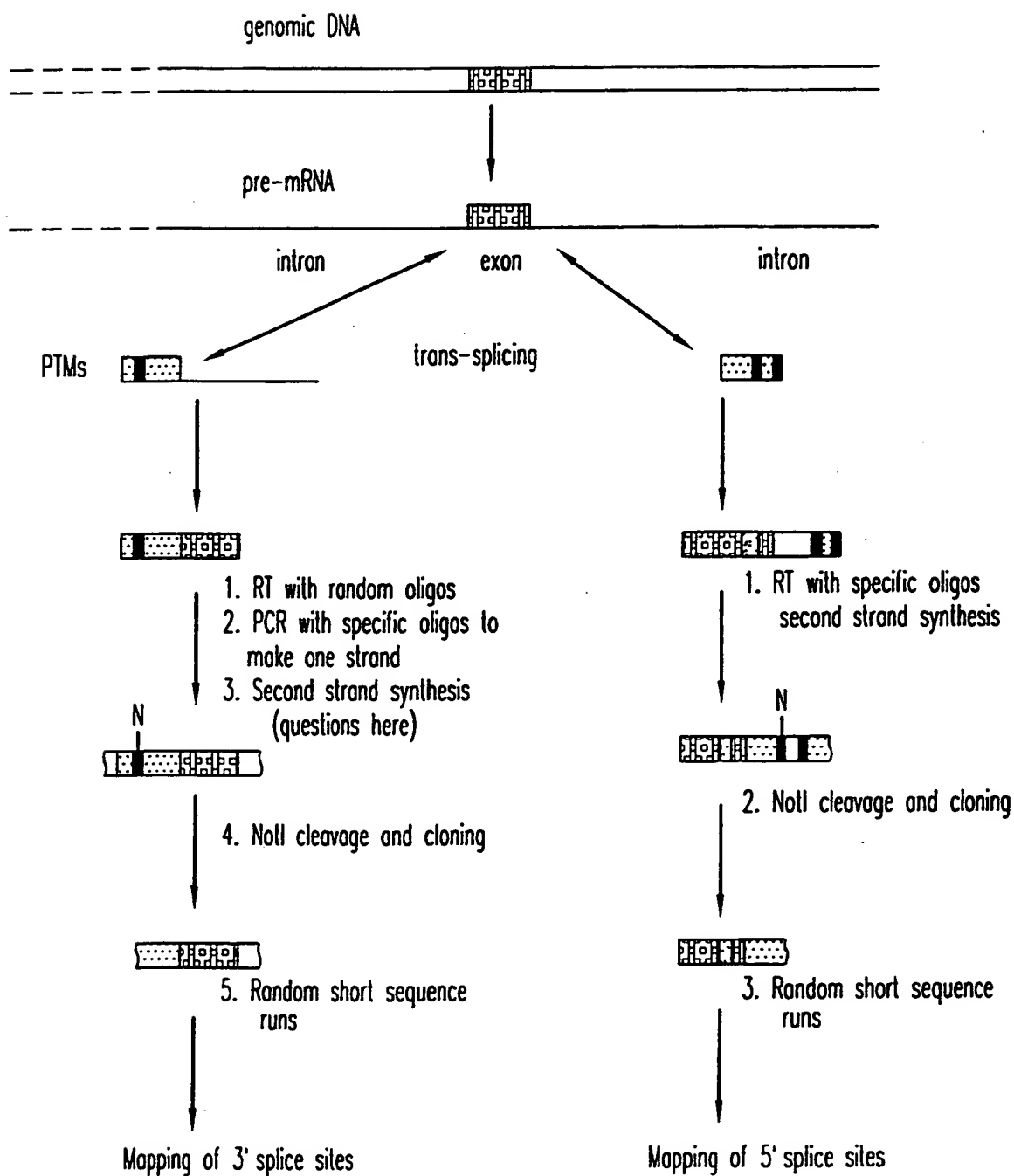


FIG.9



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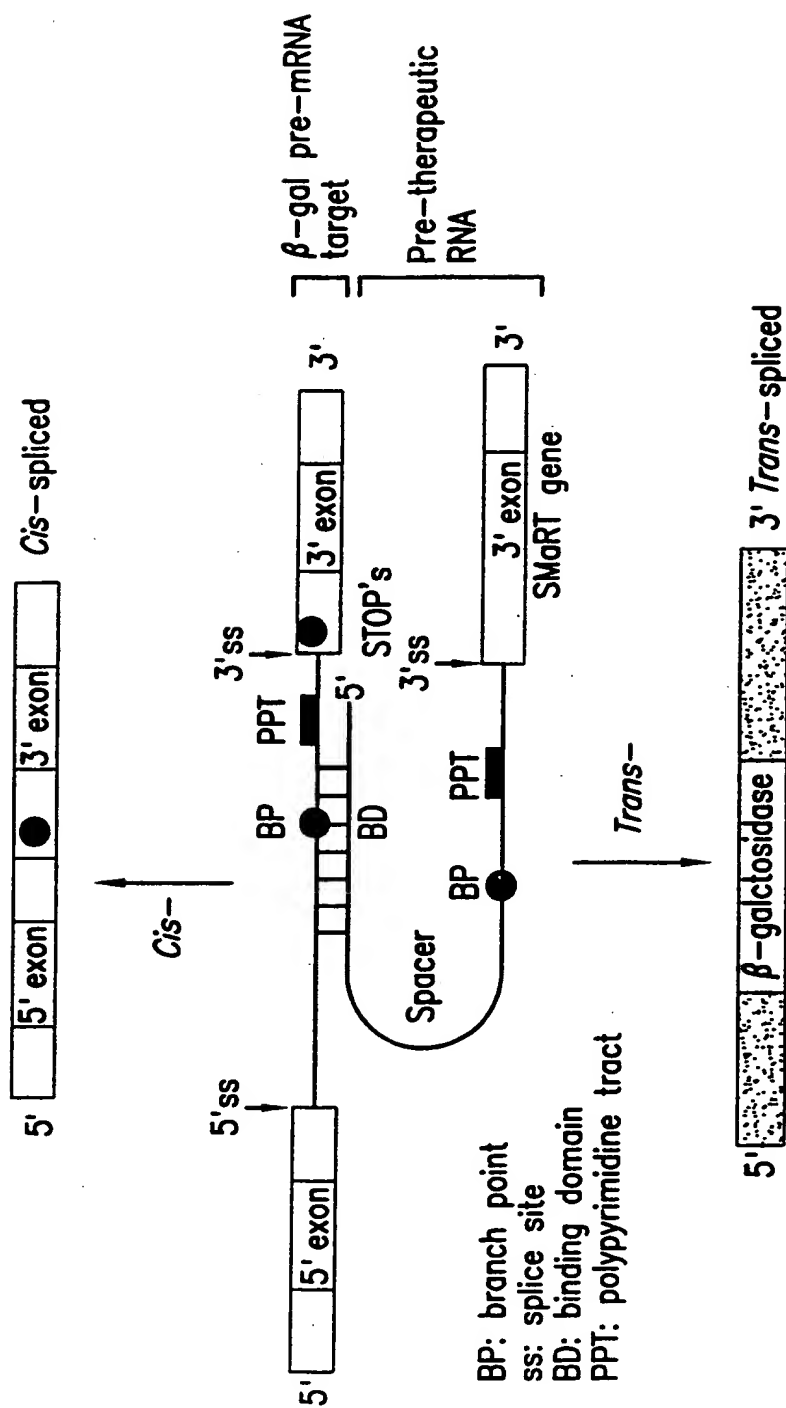


FIG.10B

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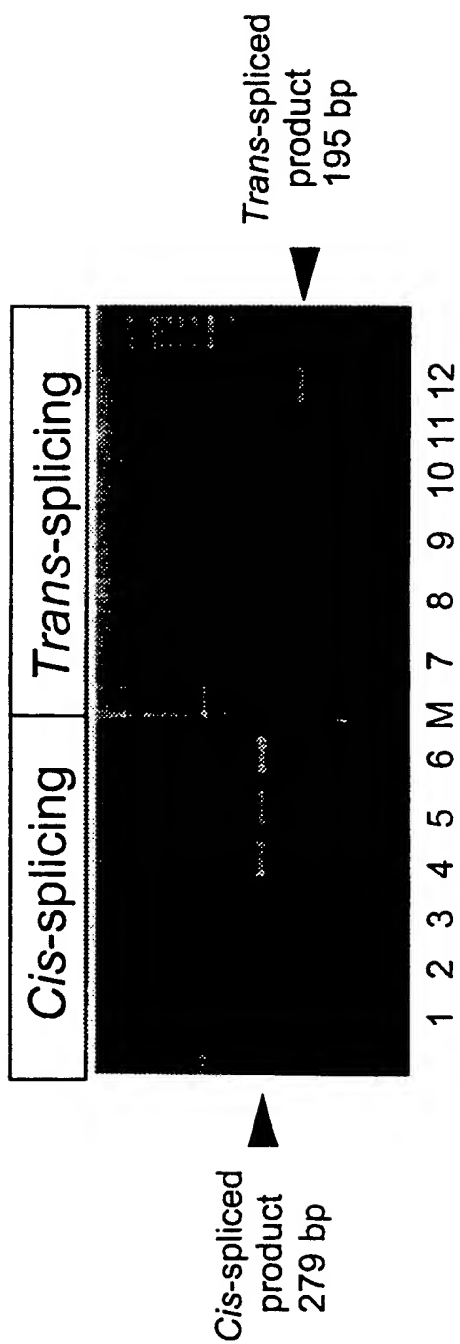


FIG.11A

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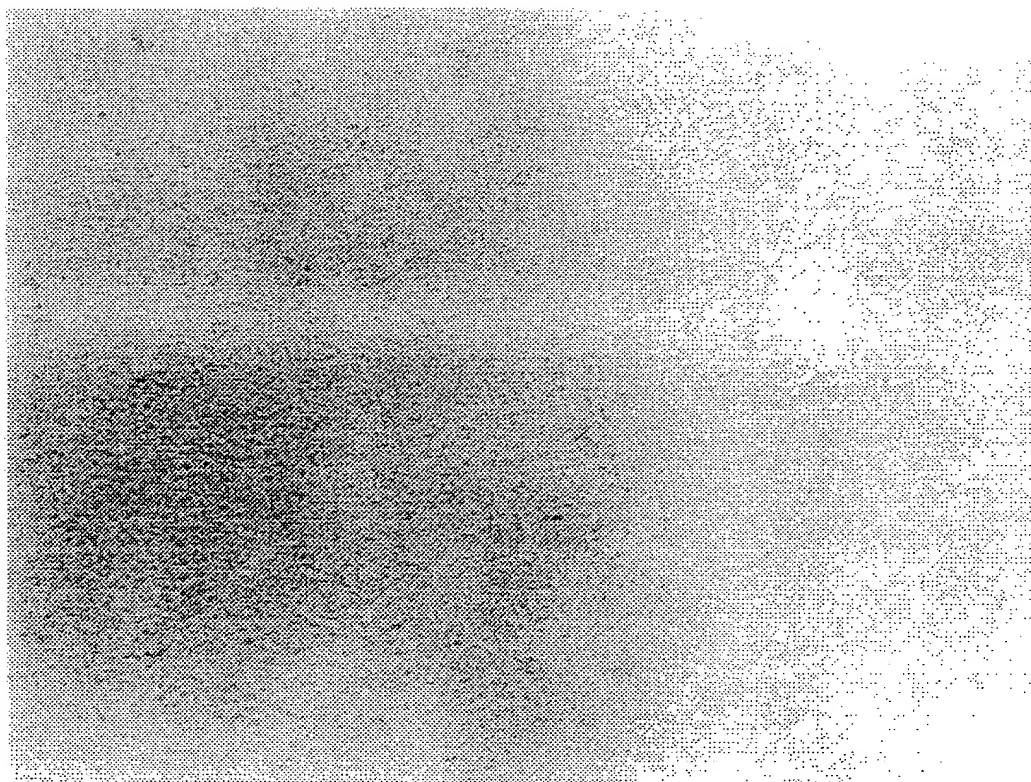


FIG. 11B

SUBSTITUTE SHEET (RULE 26)

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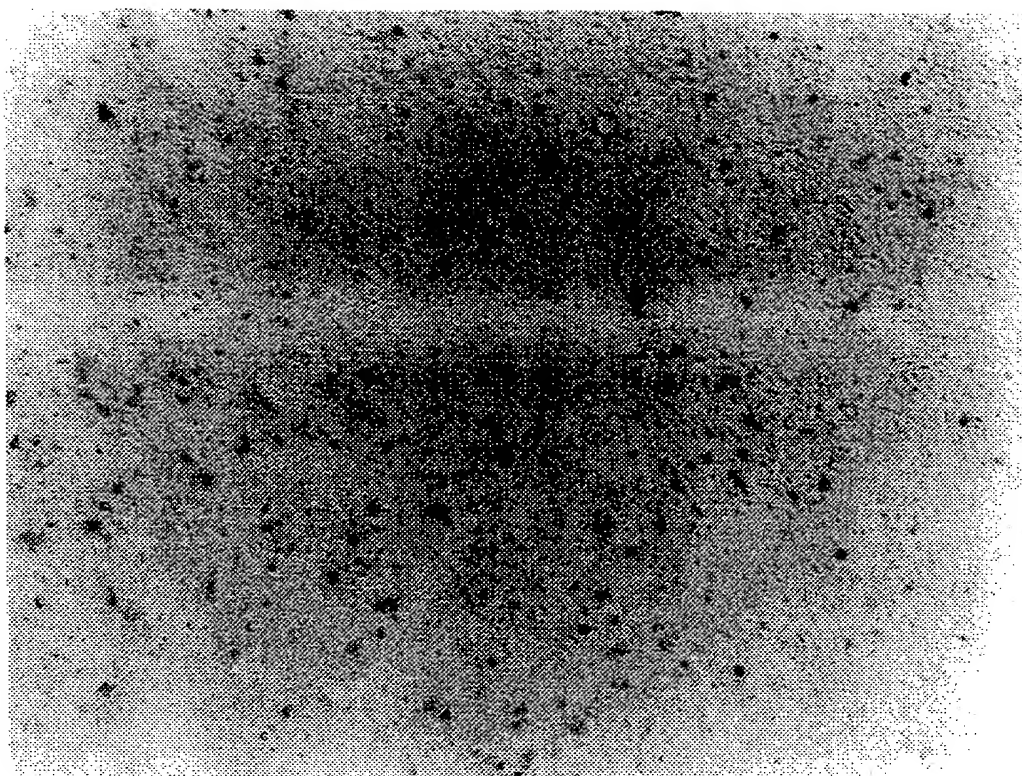


FIG.11C

SUBSTITUTE SHEET (RULE 26)

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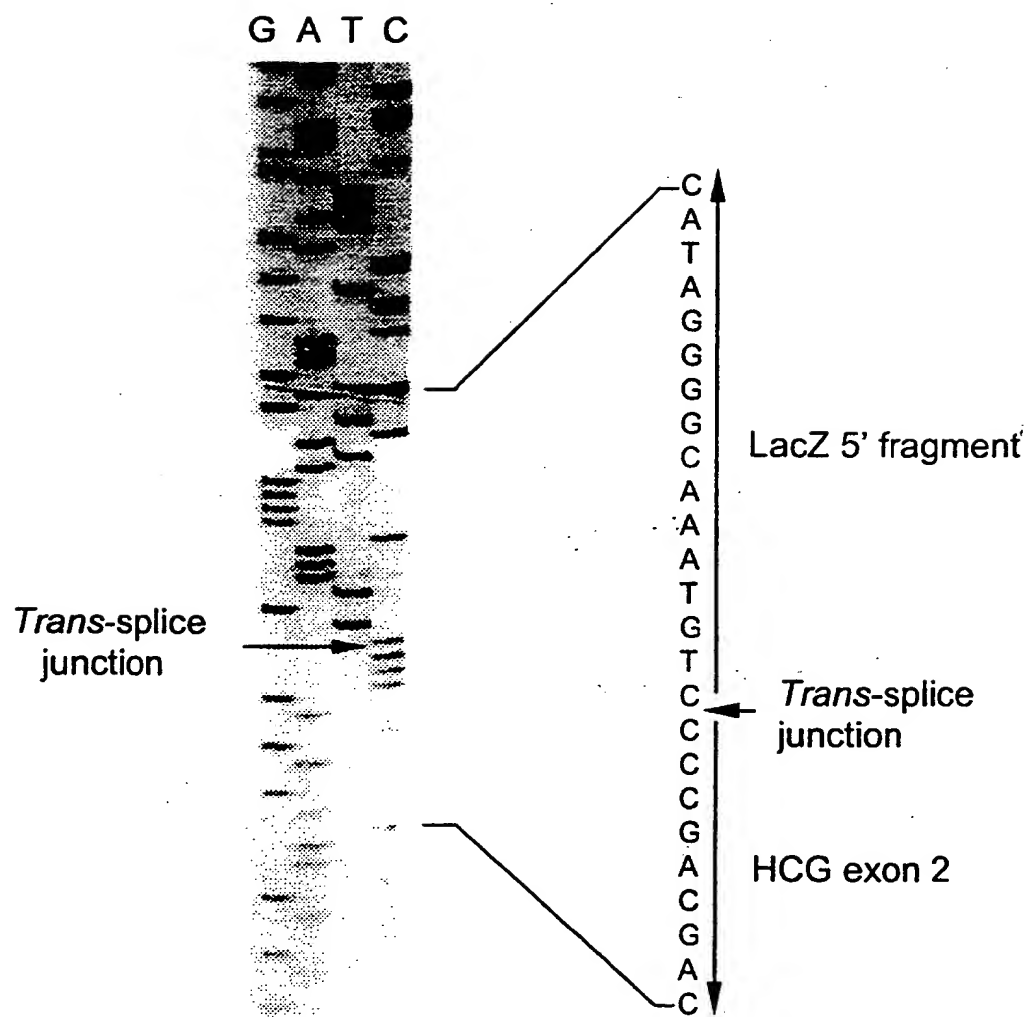


FIG.12A

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1. NUCLEOTIDE SEQUENCES OF THE *cis*-SPLICED PRODUCT (285 bp):

BioLac-TR1

GGCTTCGCTACCTGGAGAGACGCCCGCGCTGATCCTTTGCCAATACGCCACGCCGATGGGTACAGTCTTG

GGGGTTTCGCTAAATACITGGCAGGCGTTTCGTCAGTATCCCGGTTTACAG/GGCCGGCTTCGCTCTAATAATG

GGACTGGGTGGATCAGTCGCTGATTAAATATGATGAAAACGGCAACCGTGGTCGGCTTACGGCGGTGATTT

TGGCGATACGCCGAACGATCGCCAGTTCTGTATGAACGGTCTGGTCTTTGCCGACCGCACGCCGCATCCAG

Lac-TR2

2. NUCLEOTIDE SEQUENCES OF THE *trans*-SPLICED PRODUCT (195 bp)

BioLac-TR1

GGCTTCGCTACCTGGAGAGACGCCCGCGCTGATCCTTTGCCAATACGCCACGCCGATGGGTACAGTCTTGG

CGGTTTCGCTAAATACITGGCAGGCGTTTCGTCAGTATCCCGGTTTACAG/GGGCTGCTGCTGCTGCTGCTGCT

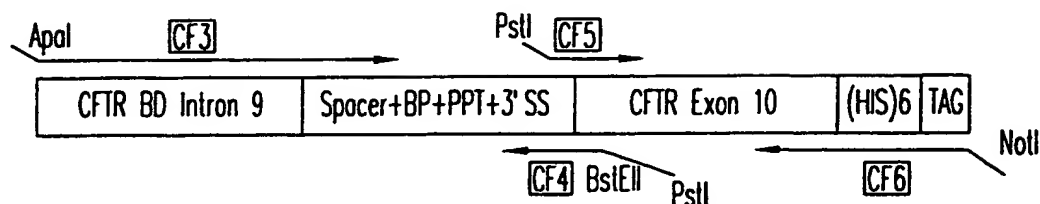
GAGCATGGCGGGACATGGGCATCCCAAGGAGCCACTTCGGCCACGGTGCCG

HCGR2

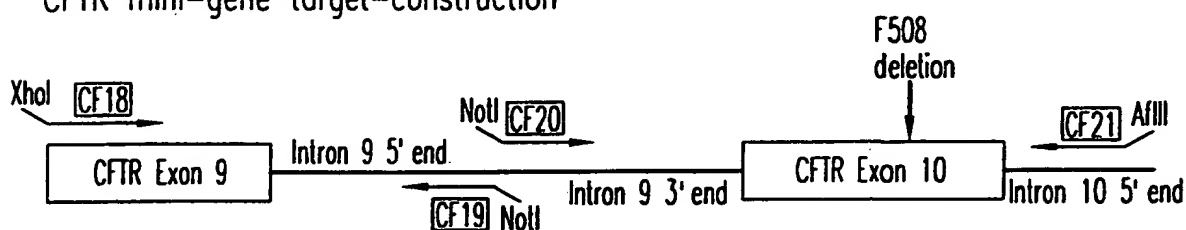
FIG.12B

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CFTR Pre-therapeutic molecule (PTM or "bullet")



CFTR mini-gene target-construction



Trans-splicing Repair

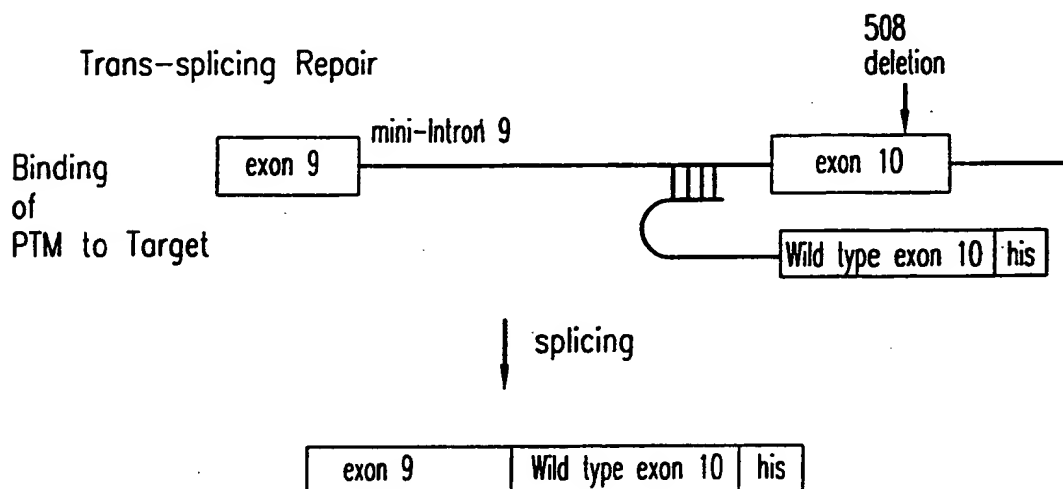


FIG.13

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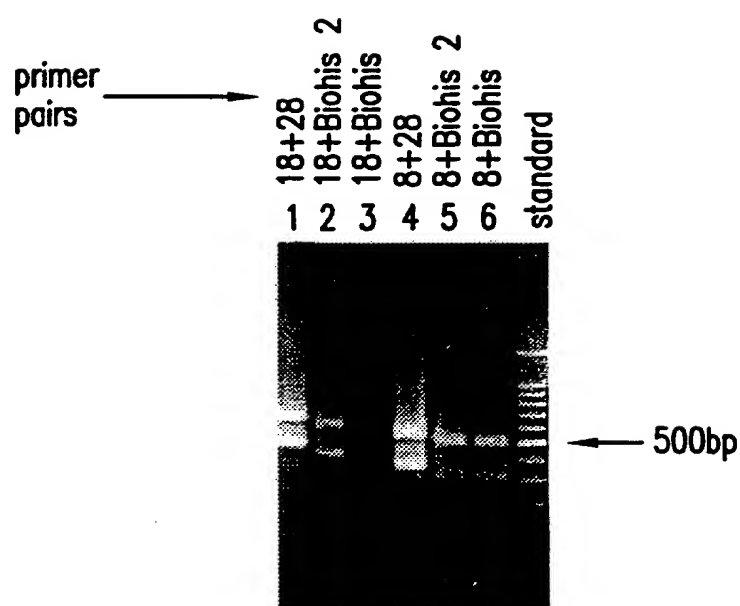
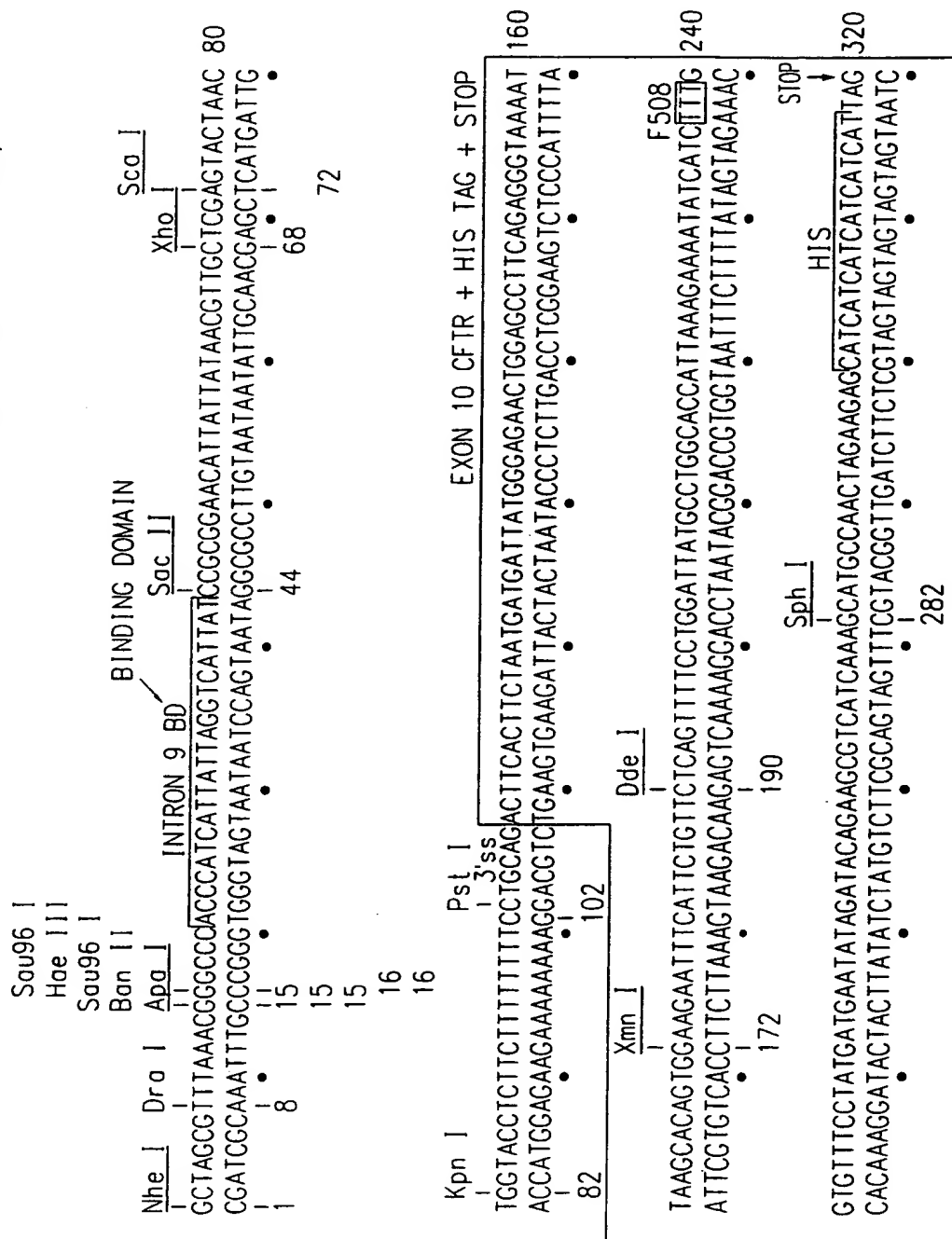


FIG. 14

Positions of Restriction Endonucleases sites (unique sites underlined)



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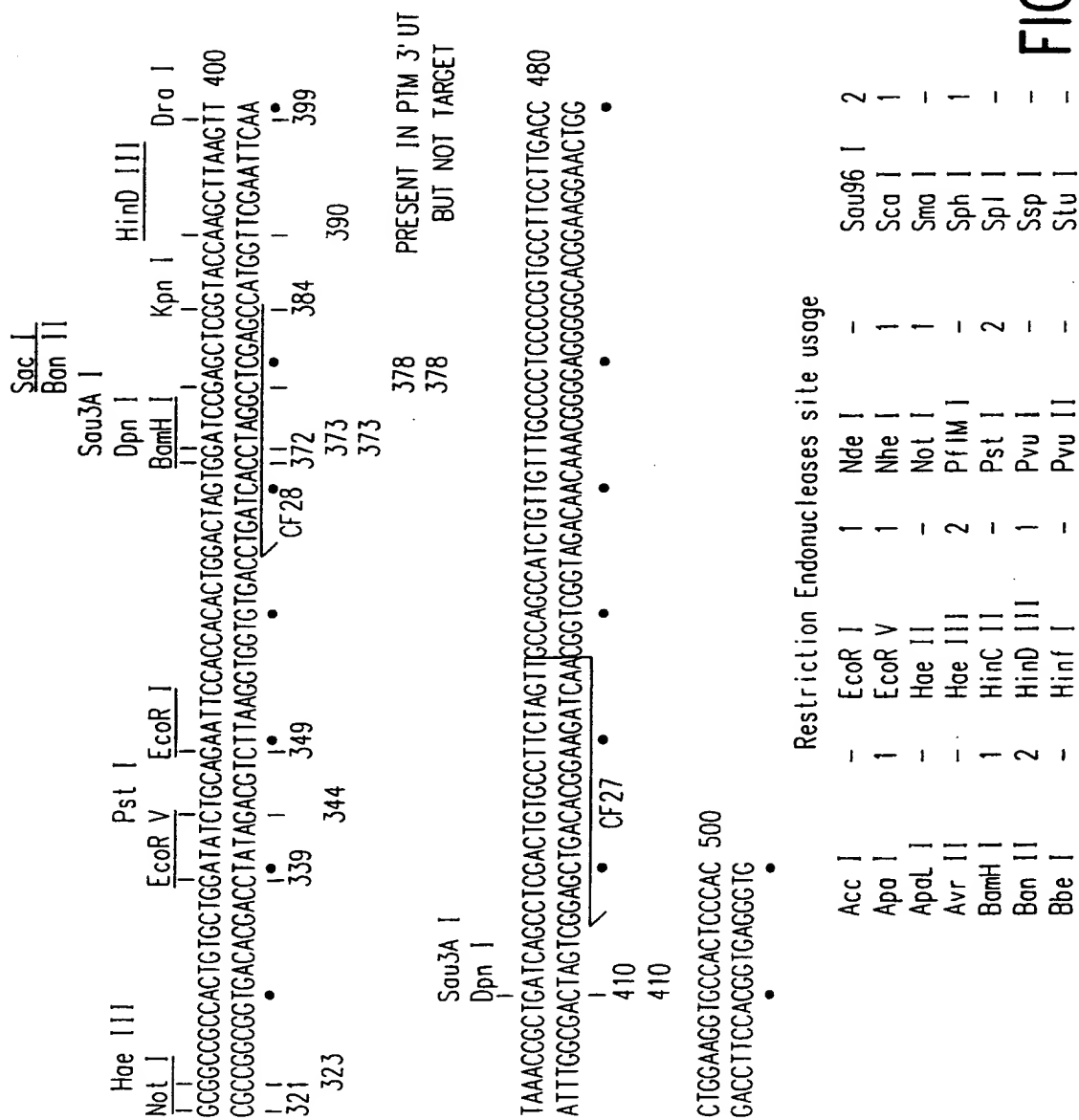


FIG.15B

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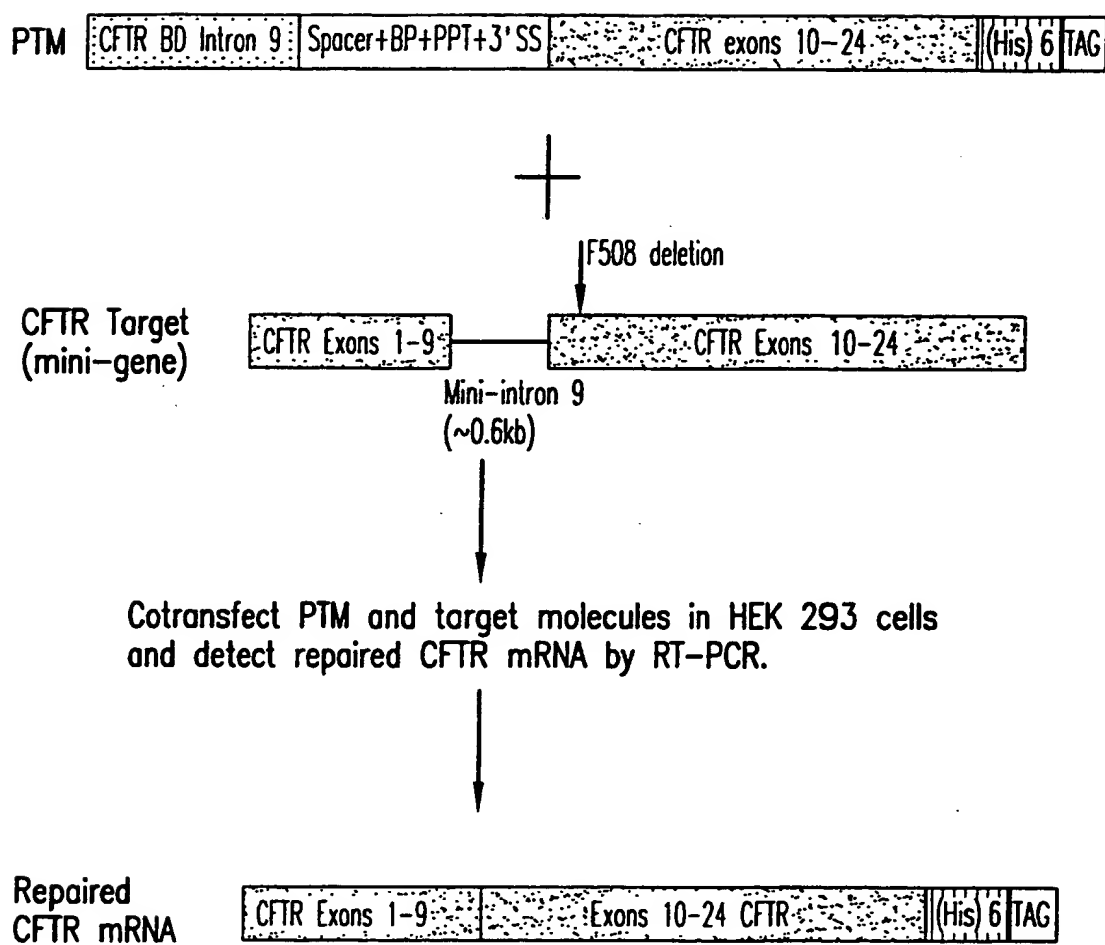
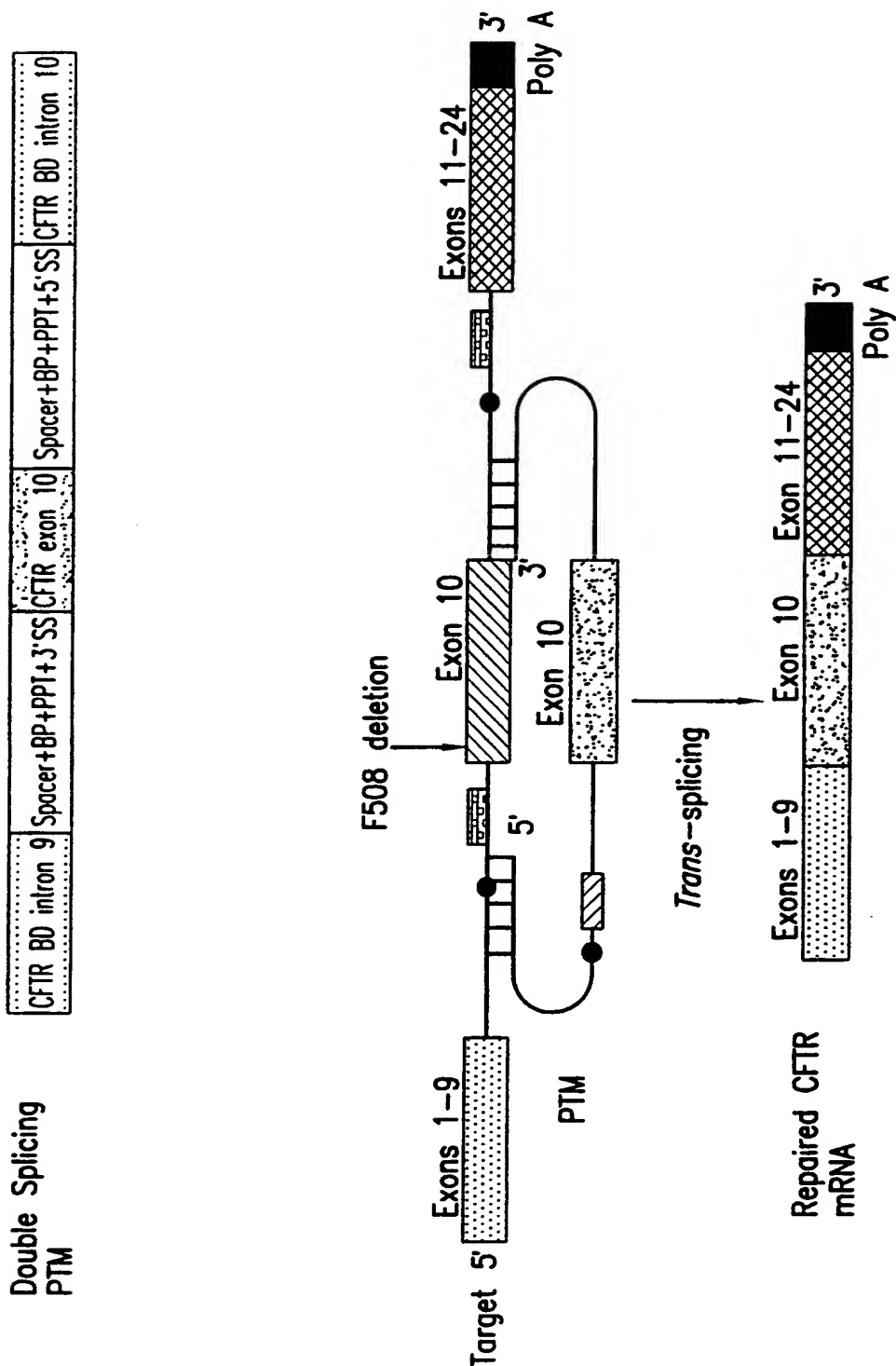


FIG.16

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INTERNATIONAL SEARCH REPORT

International Application No
PCT/US 99/18371

| A. CLASSIFICATION OF SUBJECT MATTER IPC 7 C12N15/90 A61K48/00 C12N15/11 //A61P11/00 | | |
|---|---|--|
| According to International Patent Classification (IPC) or to both national classification and IPC | | |
| B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) IPC 7 C07K C12N A61K | | |
| Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched | | |
| Electronic data base consulted during the international search (name of data base and, where practical, search terms used) | | |
| C. DOCUMENTS CONSIDERED TO BE RELEVANT | | |
| Category * | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
| X | WO 97 22250 A (INTRONN LLC ;MITCHELL LLOYD G (US)) 26 June 1997 (1997-06-26) the whole document | 1,2,5-8, 11-13,15 |
| Y | | 3,4,9, 10,14 |
| X | ANDERSON K. & MOORE M.J.: "Bimolecular exon ligation by the human spliceosome" SCIENCE, vol. 276, no. 5319, 13 June 1997 (1997-06-13), pages 1712-1716, XP002130563 the whole document | 1,2,5-8, 11-13,15 |
| -/- | | |
| <input checked="" type="checkbox"/> Further documents are listed in the continuation of box C. <input checked="" type="checkbox"/> Patent family members are listed in annex. | | |
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| Date of the actual completion of the international search 15 February 2000 | | Date of mailing of the international search report 02/03/2000 |
| Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax (+31-70) 340-3016 | | Authorized officer Gall1, I |

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 99/18371

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

| Category * | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|------------|---|-----------------------|
| P,X | <p>PUTTARAJU M. ET AL.: "Spliceosome-mediated RNA trans-splicing as a tool for gene therapy" NATURE BIOTECHNOL., vol. 17, no. 3, March 1999 (1999-03), pages 246-252, XP002130564 the whole document</p> | 1,2,5-8, 11-13,15 |
| P,Y | <p>MANSFIELD G. ET AL.: "Repair of the cystic fibrosis transmembrane conductance regulator mRNA using spliceosome mediated targeted trans-splicing". Keystone Symposium on Molecular and Cellular Biology of Gene Therapy Abstract 319, presented as a "Late Breaking Science" short talk January 17, 1999 XP002130566 abstract</p> | 3,4,9, 10,14 |
| A | <p>MELO C.A. ET AL.: "Alternative splicing of a previously unidentified CFTR exon introduces an in-frame stop codon 5' of the R region." FEBS LETTERS, vol. 329, no. 1-2, August 1993 (1993-08), pages 159-162, XP002130565 the whole document</p> | 1-15 |
| A | <p>WOAN-YUH T ET AL: "Pre-mRNA splicing: the discovery of a new spliceosome doubles the challenge" TIBS TRENDS IN BIOCHEMICAL SCIENCES,EN,ELSEVIER PUBLICATION, CAMBRIDGE, vol. 22, no. 4, 1 April 1997 (1997-04-01), pages 132-137, XP004058547 ISSN: 0968-0004</p> | 1-15 |

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 99/18371

Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:
Remark: Although claims 11-14 as far as methods in vivo are envisaged, are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2. ☐ Claims Nos.:
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)

This International Searching Authority found multiple inventions in this International application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 99/18371

| Patent document cited in search report | Publication date | Patent family member(s) | Publication date |
|---|---------------------|----------------------------|---------------------|
| WO 9722250 A | 26-06-1997 | US 6013487 A | 11-01-2000 |
| | | AU 1329997 A | 14-07-1997 |
| | | CA 2240494 A | 26-06-1997 |
| | | EP 0883344 A | 16-12-1998 |

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